

GE  
FEB 11 2019  
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## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Carla N Baker

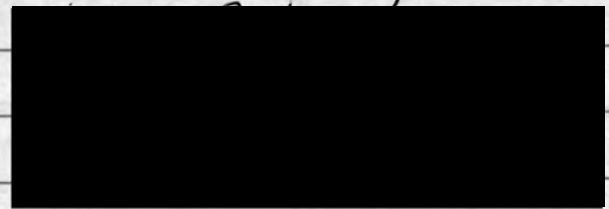
February 5, 2019

To Who it may Concern:

In reference to Class Action No. 5:12-cv-05162-SOH

I am submitting this letter to "request exclusion from the Class in the Walmart Securities Settlement!" Also enclosed is a copy of the Stock Statement of transaction details for the requested time period.

Carla N. Baker



Carla N. Baker

**Walmart**  
**Associate Stock Purchase Plan**  
 Transaction Details

CARLA N BAKER  
 & GARY E BAKER JT TEN



**Transaction History** From: 01 Jan 2012 To: 31 Dec 2012

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
01 Jan 2012	Balance Forward							476.737
03 Jan 2012	Dividend Reinvestment	173.65			173.65	60.785	2.857	479.594
03 Jan 2012	Payroll Deduction	50.00			50.00	60.813	0.822	480.416
03 Jan 2012	Company Contribution	7.50			7.50	60.813	0.123	480.539
17 Jan 2012	Payroll Deduction	50.00			50.00	59.858	0.835	481.374
17 Jan 2012	Company Contribution	7.50			7.50	59.858	0.125	481.499
31 Jan 2012	Payroll Deduction	50.00			50.00	60.880	0.821	482.320
31 Jan 2012	Company Contribution	7.50			7.50	60.880	0.123	482.443
14 Feb 2012	Payroll Deduction	50.00			50.00	62.024	0.806	483.249
14 Feb 2012	Company Contribution	7.50			7.50	62.024	0.121	483.370
28 Feb 2012	Payroll Deduction	50.00			50.00	58.802	0.850	484.220
28 Feb 2012	Company Contribution	7.50			7.50	58.802	0.128	484.348
13 Mar 2012	Payroll Deduction	50.00			50.00	60.791	0.822	485.170
13 Mar 2012	Company Contribution	7.50			7.50	60.791	0.123	485.293
27 Mar 2012	Payroll Deduction	50.00			50.00	61.209	0.817	486.110
27 Mar 2012	Company Contribution	7.50			7.50	61.209	0.123	486.233
04 Apr 2012	Dividend Reinvestment	192.53			192.53	60.253	3.195	489.428
10 Apr 2012	Payroll Deduction	50.00			50.00	60.033	0.833	490.261
10 Apr 2012	Company Contribution	7.50			7.50	60.033	0.125	490.386
24 Apr 2012	Payroll Deduction	50.00			50.00	58.327	0.857	491.243
24 Apr 2012	Company Contribution	7.50			7.50	58.327	0.129	491.372
08 May 2012	Payroll Deduction	50.00			50.00	58.918	0.849	492.221
08 May 2012	Company Contribution	7.50			7.50	58.918	0.127	492.348
22 May 2012	Payroll Deduction	50.00			50.00	63.603	0.786	493.134
22 May 2012	Company Contribution	7.50			7.50	63.603	0.118	493.252
04 Jun 2012	Dividend Reinvestment	195.71			195.71	65.765	2.976	496.228
05 Jun 2012	Payroll Deduction	50.00			50.00	65.734	0.761	496.989
05 Jun 2012	Company Contribution	7.50			7.50	65.734	0.114	497.103
19 Jun 2012	Payroll Deduction	50.00			50.00	67.936	0.736	497.839
19 Jun 2012	Company Contribution	7.50			7.50	67.936	0.110	497.949
03 Jul 2012	Payroll Deduction	50.00			50.00	70.319	0.711	498.660
03 Jul 2012	Company Contribution	7.50			7.50	70.319	0.107	498.767
17 Jul 2012	Payroll Deduction	50.00			50.00	72.851	0.686	499.453
17 Jul 2012	Company Contribution	7.50			7.50	72.851	0.103	499.556
31 Jul 2012	Payroll Deduction	50.00			50.00	74.694	0.669	500.225

06 Dec 2011	Payroll Deduction	50.00	50.00	58.688	0.852	475.634
06 Dec 2011	Company Contribution	7.50	7.50	58.688	0.128	475.762

Carla Baker

7016 2070 0000 5581 9025



UNITED STATES POSTAGE  
PITNEY BOWES  
02 1P \$ 004.050  
0001962290 FEB 05 2019  
MAILED FROM ZIP CODE 40055

Walmart Securities Settlement

Claims Administrator

c/o Gilardi + Co. LLC

EXCLUSIONS

3301 Kerner Blvd.

San Rafael, CA 94901

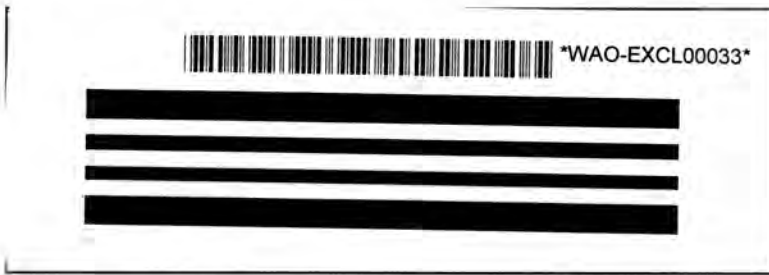
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FEB 11 2019

KCC

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## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Don M Norton

I DON NORTON Request Exclusion From  
Class in The Walmart Securities Settlement"

DON NORTON



Don M. Norton

2011 held 475,229 shares

2012 acquired 1-4 2,908 shares

4-5 3,139 shares

Please send letter that is Request for  
Exclusion was Received

**Shaklee**  
Independent  
Distributor



Kathy and Don Norton

**RECEIVED**

FEB 11 2019

KCC

ATLANTA, GEORGIA 30303

05 FEB 2019 PM 11:11



Walmart Securities Settlement

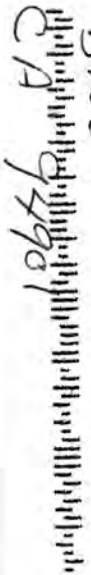
Claims Administrator

% G, Landi & Co. LLC

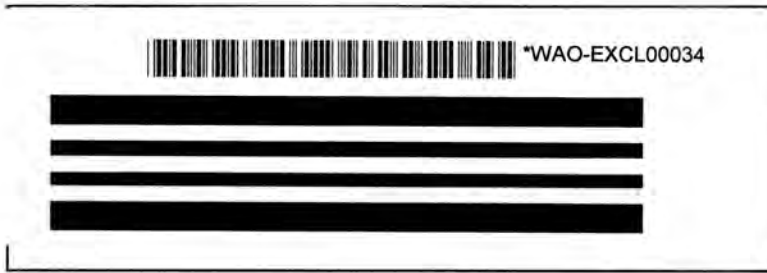
EXCLUSIONS

3301 Kerner Blvd

Atlanta, GA 30301







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FEB 11 2019  
CLAIMS CENTER

## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Concepcion Anchondo

2-3-2019

To Whom It May Concern:

I am excluding myself from Walmart Securities Settlements because I had already left Walmart Industries as of 2010, so I was not there on the dates mentioned December 8, 2011 thru April 20, 2012.

My name is: Concepcion Pachondo



Concepcion Pachondo

I wish not to be contacted anymore about this issue. I am ill and am under doctor's care. Hope you respect my wishes about this.



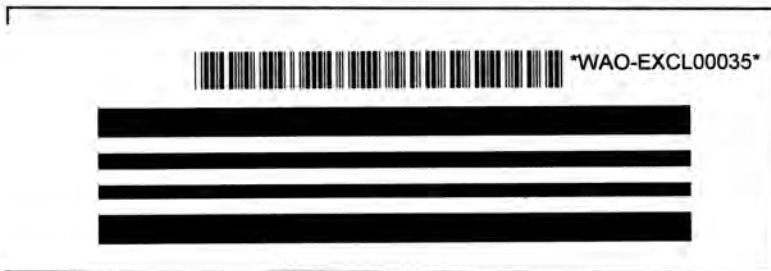
EL PASO TN 759  
RPO GRANDE DISTRICT  
04 FEB 2019 PM 2 L



Walmart Securities Settlement  
Claims Administrator  
% Gilardi & Co. LLC  
3301 Kerner Blvd.  
San Rafael, California

**RECEIVED**  
FEB 11 2019  
KCC

94901-489625



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FEB 11 2019  
CLAIMS CENTER

## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Aaron M Spruell

Aaron M Spruell

ADDRESS

I Aaron M Spruell Request  
exclusion From the Class in the  
Walmart Securities Settlement

Signature Aaron M Spruell

Page 6 Line 119

Excluding  
the Class



mySELF FROM

Carroll Spruell

Thank you



**Associate Stock Purchase Plan**  
Summary Statement



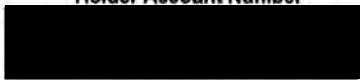
Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
email: walmart@computershare.com  
www.computershare.com/walmart



AARON M SPRUELL



Holder Account Number



001CS0003.d.L.mix.052307\_8389/002667/005034

**Account Summary January 01, 2012 - December 31, 2012 for Account**

	Shares Held	Price Per Share	Market Value
Beginning Balance	5.017	\$59.76	\$299.82
<b>Ending Balance</b>	<b>6.158</b>	<b>\$68.23</b>	<b>\$420.16</b>

<b>Purchases and Additions</b>	Number of Transactions	Shares Added	Amount Invested
Payroll Deductions	26	0.850	\$57.00
Voluntary Cash Purchases	—	—	—
Dividend Reinvestments	5	0.164	\$10.74
Plan Transfers and Other Additions	—	—	—
<b>Benefits Provided by Walmart</b>			
Walmart Contributions	26	0.127	\$8.55

<b>Sales and Deductions</b>	Number of Transactions	Shares	Gross Proceeds	Fees and Commissions	Taxes Withheld	Net Proceeds
Share Sales	—	—	—	—	—	—
Plan Transfers	—	—	—	—	—	—

**Comments**

Please note you are no longer able to use your SSN/SIN to access your account on the automated phone system. Current associates will need their Walmart Identification Number, which can be found on WalmartOne or the WIRE, or the Computershare Account Number printed above. If you no longer work for Walmart you will use your Holder Account Number when calling Computershare. Or, for the easiest way to manage your account, go to [www.computershare.com/walmart](http://www.computershare.com/walmart).

Please save this statement for tax purposes, or access your account over the web.

Computershare Trust Company, N.A., as agent, upon written request, will provide the name of the executing broker dealer associated with the transaction(s), and within a reasonable amount of time will disclose the source and amount of compensation received from third parties in connection with the transaction(s), if any.

**ALL SALE INSTRUCTIONS ARE FINAL AND CANNOT BE MODIFIED, STOPPED OR CANCELLED AFTER COMPUTERSHARE HAS RECEIVED THE REQUEST.**

#### **I. Special Instructions**

If your account is not certified, you must complete a W-9 or W-8BEN tax form or taxes will be withheld from any dividends or sales proceeds per Internal Revenue Service requirements. Faxed W-8BEN forms are not acceptable.

If you would like to write to us, please include your account number, daytime telephone number with area code, and the company name in your correspondence.

**PLEASE KEEP THIS STATEMENT FOR TAX PURPOSES.**

#### **II. Terms and Definitions**

<b>Record Date</b>	The date that establishes ownership on our records to receive the dividend.
<b>Payment Date</b>	The date the dividend is payable.
<b>Dividend Rate</b>	The dollar amount of the dividend paid per share or the rate of stock dividend or stock split.
<b>Price Per Share</b>	The price per share purchased or sold under the plan.
<b>Total Shares</b>	The number of shares acquired or sold through the plan.
<b>Deduction Amount</b>	An aggregate sum of all fees charged.
<b>Loan Collateral</b>	The number of shares held by Computershare as collateral for a loan granted through the Walmart Loan Program. Although these shares are still owned by you, they are not available for transfer, sale or issuance until your entire loan balance is paid. Loans are limited to Associates in the U.S.
<b>Annual Maintenance Fee</b>	If you no longer work for Walmart you may continue your account and buy shares without paying a brokerage fee. However, you will be charged \$35 once a year as a maintenance fee. The \$35 fee is automatically deducted from your account in the first quarter of each year by selling a portion of stock equal to \$35. If you do not wish to maintain your account after you leave the company, be sure to call Computershare.
<b>Value</b>	The dollar amount as of the date referenced of all shares that are held for this security in the account.

#### **III. Privacy Notice**

At Computershare, we take privacy seriously. In the course of providing services to you in connection with employee stock purchase plans, dividend reinvestment plans, direct stock purchase plans, direct registration services and/or custody services, we receive nonpublic, personal information about you. We receive this information through transactions we perform for you, from enrollment forms, automatic debit forms, and through other communications with you in writing, electronically, and by telephone. We may also receive information about you by virtue of your transaction with affiliates of Computershare or other parties. This information may include your name, address (residential and mailing), Social Security number, bank account information, stock ownership information, date of birth, government-issued identification number, and other financial information.

With respect both to current and former customers, Computershare does not share nonpublic personal information with any non-affiliated third-party except as necessary to process a transaction, service your account or as required or permitted by law. Our affiliates and outside service providers with whom we share information are legally bound not to disclose the information in any manner, unless required or permitted by law or other governmental process. We strive to restrict access to your personal information to those employees who need to know the information to provide our services to you. Computershare maintains physical, electronic and procedural safeguards to protect your personal information.

Computershare realizes that you entrust us with confidential personal and financial information and we take that trust very seriously.

Assets are not deposits of Computershare and are not insured by the Federal Deposit Insurance Corporation, the Securities Investor Protection Corporation, or any other federal or state agency.





**Associate Stock Purchase Plan**  
Summary Statement



Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
email: walmart@computershare.com  
www.computershare.com/walmart



AARON M SPRUELL



Holder Account Number



001CS0003.d1mix.052307\_8389/002666/005032

**Account Summary January 01, 2011 - December 31, 2011 for Account**

	Shares Held	Price Per Share	Market Value
Beginning Balance	3.808	\$53.93	\$205.37
<b>Ending Balance</b>	<b>5.017</b>	<b>\$59.76</b>	<b>\$299.82</b>

<b>Purchases and Additions</b>	Number of Transactions	Shares Added	Amount Invested
Payroll Deductions	26	0.954	\$52.00
Voluntary Cash Purchases	—	—	—
Dividend Reinvestments	4	0.111	\$5.84
Plan Transfers and Other Additions	—	—	—
<b>Benefits Provided by Walmart</b>			
Walmart Contributions	26	0.144	\$7.80

<b>Sales and Deductions</b>	Number of Transactions	Shares	Gross Proceeds	Fees and Commissions	Taxes Withheld	Net Proceeds
Share Sales	—	—	—	—	—	—
Plan Transfers	—	—	—	—	—	—

**Comments**

Please note you are no longer able to use your SSN/SIN to access your account on the automated phone system. Current associates will need their Walmart Identification Number, which can be found on WalmartOne or the WIRE, or the Computershare Account Number printed above. If you no longer work for Walmart you will use your Holder Account Number when calling Computershare. Or, for the easiest way to manage your account, go to [www.computershare.com/walmart](http://www.computershare.com/walmart).

Please save this statement for tax purposes, or access your account over the web.



Computershare Trust Company, N.A., as agent, upon written request, will provide the name of the executing broker dealer associated with the transaction(s), and within a reasonable amount of time will disclose the source and amount of compensation received from third parties in connection with the transaction(s), if any.

**ALL SALE INSTRUCTIONS ARE FINAL AND CANNOT BE MODIFIED, STOPPED OR CANCELLED AFTER COMPUTERSHARE HAS RECEIVED THE REQUEST.**

**I. Special Instructions**

If your account is not certified, you must complete a W-9 or W-8BEN tax form or taxes will be withheld from any dividends or sales proceeds per Internal Revenue Service requirements. Faxed W-8BEN forms are not acceptable.

If you would like to write to us, please include your account number, daytime telephone number with area code, and the company name in your correspondence.

**PLEASE KEEP THIS STATEMENT FOR TAX PURPOSES.**

**II. Terms and Definitions**

- Record Date** The date that establishes ownership on our records to receive the dividend.
- Payment Date** The date the dividend is payable.
- Dividend Rate** The dollar amount of the dividend paid per share or the rate of stock dividend or stock split.
- Price Per Share** The price per share purchased or sold under the plan.
- Total Shares** The number of shares acquired or sold through the plan.
- Deduction Amount** An aggregate sum of all fees charged.
- Loan Collateral** The number of shares held by Computershare as collateral for a loan granted through the Walmart Loan Program. Although these shares are still owned by you, they are not available for transfer, sale or issuance until your entire loan balance is paid. Loans are limited to Associates in the U.S.
- Annual Maintenance Fee** If you no longer work for Walmart you may continue your account and buy shares without paying a brokerage fee. However, you will be charged \$35 once a year as a maintenance fee. The \$35 fee is automatically deducted from your account in the first quarter of each year by selling a portion of stock equal to \$35. If you do not wish to maintain your account after you leave the company, be sure to call Computershare.
- Value** The dollar amount as of the date referenced of all shares that are held for this security in the account.

**III. Privacy Notice**

At Computershare, we take privacy seriously. In the course of providing services to you in connection with employee stock purchase plans, dividend reinvestment plans, direct stock purchase plans, direct registration services and/or custody services, we receive nonpublic, personal information about you. We receive this information through transactions we perform for you, from enrollment forms, automatic debit forms, and through other communications with you in writing, electronically, and by telephone. We may also receive information about you by virtue of your transaction with affiliates of Computershare or other parties. This information may include your name, address (residential and mailing), Social Security number, bank account information, stock ownership information, date of birth, government-issued identification number, and other financial information.

With respect both to current and former customers, Computershare does not share nonpublic personal information with any non-affiliated third-party except as necessary to process a transaction, service your account or as required or permitted by law. Our affiliates and outside service providers with whom we share information are legally bound not to disclose the information in any manner, unless required or permitted by law or other governmental process. We strive to restrict access to your personal information to those employees who need to know the information to provide our services to you. Computershare maintains physical, electronic and procedural safeguards to protect your personal information.

Computershare realizes that you entrust us with confidential personal and financial information and we take that trust very seriously.

Assets are not deposits of Computershare and are not insured by the Federal Deposit Insurance Corporation, the Securities Investor Protection Corporation, or any other federal or state agency.



**Associate Stock Purchase Plan**

**Transaction Details**



Computershare Trust Company, N.A.  
 PO Box 43080  
 Providence, RI 02940-3080  
 email: walmart@computershare.com  
 www.computershare.com/walmart

AARON M SPRUELL

Holder Account Number



**Transaction History** From: 01 Jan 2012 To: 31 Dec 2012

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
01 Jan 2012	Balance Forward							5.017
03 Jan 2012	Dividend Reinvestment	1.82			1.82	60.785	0.030	5.047
03 Jan 2012	Payroll Deduction	2.00			2.00	60.813	0.033	5.080
03 Jan 2012	Company Contribution	0.30			0.30	60.813	0.005	5.085
17 Jan 2012	Payroll Deduction	2.00			2.00	59.858	0.033	5.118
17 Jan 2012	Company Contribution	0.30			0.30	59.858	0.005	5.123
31 Jan 2012	Payroll Deduction	2.00			2.00	60.880	0.033	5.156
31 Jan 2012	Company Contribution	0.30			0.30	60.880	0.005	5.161
14 Feb 2012	Payroll Deduction	2.00			2.00	62.024	0.032	5.193
14 Feb 2012	Company Contribution	0.30			0.30	62.024	0.005	5.198
28 Feb 2012	Payroll Deduction	2.00			2.00	58.802	0.034	5.232
28 Feb 2012	Company Contribution	0.30			0.30	58.802	0.005	5.237
13 Mar 2012	Payroll Deduction	2.00			2.00	60.791	0.033	5.270
13 Mar 2012	Company Contribution	0.30			0.30	60.791	0.005	5.275
27 Mar 2012	Payroll Deduction	2.00			2.00	61.209	0.033	5.308
27 Mar 2012	Company Contribution	0.30			0.30	61.209	0.005	5.313
04 Apr 2012	Dividend Reinvestment	2.08			2.08	60.253	0.035	5.348
10 Apr 2012	Payroll Deduction	2.00			2.00	60.033	0.033	5.381
10 Apr 2012	Company Contribution	0.30			0.30	60.033	0.005	5.386
24 Apr 2012	Payroll Deduction	2.00			2.00	58.327	0.034	5.420
24 Apr 2012	Company Contribution	0.30			0.30	58.327	0.005	5.425
08 May 2012	Payroll Deduction	2.00			2.00	58.918	0.034	5.459
08 May 2012	Company Contribution	0.30			0.30	58.918	0.005	5.464
22 May 2012	Payroll Deduction	2.00			2.00	63.603	0.031	5.495
22 May 2012	Company Contribution	0.30			0.30	63.603	0.005	5.500
04 Jun 2012	Dividend Reinvestment	2.17			2.17	65.765	0.033	5.533
05 Jun 2012	Payroll Deduction	2.00			2.00	65.734	0.030	5.563
05 Jun 2012	Company Contribution	0.30			0.30	65.734	0.005	5.568
19 Jun 2012	Payroll Deduction	2.00			2.00	67.936	0.029	5.597
19 Jun 2012	Company Contribution	0.30			0.30	67.936	0.004	5.601
03 Jul 2012	Payroll Deduction	2.00			2.00	70.319	0.028	5.629
03 Jul 2012	Company Contribution	0.30			0.30	70.319	0.004	5.633
17 Jul 2012	Payroll Deduction	2.00			2.00	72.851	0.027	5.660
17 Jul 2012	Company Contribution	0.30			0.30	72.851	0.004	5.664
31 Jul 2012	Payroll Deduction	2.00			2.00	74.694	0.027	5.691
31 Jul 2012	Company Contribution	0.30			0.30	74.694	0.004	5.695
14 Aug 2012	Payroll Deduction	2.00			2.00	73.998	0.027	5.722
14 Aug 2012	Company Contribution	0.30			0.30	73.998	0.004	5.726
28 Aug 2012	Payroll Deduction	2.00			2.00	72.572	0.028	5.754
28 Aug 2012	Company Contribution	0.30			0.30	72.572	0.004	5.758
04 Sep 2012	Dividend Reinvestment	2.26			2.26	73.339	0.031	5.789
11 Sep 2012	Payroll Deduction	2.00			2.00	74.180	0.027	5.816
11 Sep 2012	Company Contribution	0.30			0.30	74.180	0.004	5.820
25 Sep 2012	Payroll Deduction	2.00			2.00	74.802	0.027	5.847
25 Sep 2012	Company Contribution	0.30			0.30	74.802	0.004	5.851
09 Oct 2012	Payroll Deduction	2.00			2.00	74.697	0.027	5.878
09 Oct 2012	Company Contribution	0.30			0.30	74.697	0.004	5.882
23 Oct 2012	Payroll Deduction	3.00			3.00	74.842	0.040	5.922
23 Oct 2012	Company Contribution	0.45			0.45	74.842	0.006	5.928
06 Nov 2012	Payroll Deduction	3.00			3.00	73.889	0.041	5.969
06 Nov 2012	Company Contribution	0.45			0.45	73.889	0.006	5.975
20 Nov 2012	Payroll Deduction	3.00			3.00	68.895	0.044	6.019
20 Nov 2012	Company Contribution	0.45			0.45	68.895	0.007	6.026
04 Dec 2012	Payroll Deduction	3.00			3.00	72.284	0.042	6.068
04 Dec 2012	Company Contribution	0.45			0.45	72.284	0.006	6.074

**Transaction History (cont.)** From: 01 Jan 2012 To: 31 Dec 2012

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
18 Dec 2012	(cont.) Payroll Deduction	3.00			3.00	69.319	0.043	6.117
18 Dec 2012	Company Contribution	0.45			0.45	69.319	0.006	6.123
27 Dec 2012	Dividend Reinvestment	2.41			2.41	67.905	0.035	6.158



**Associate Stock Purchase Plan**

**Transaction Details**



Computershare Trust Company, N.A.  
 PO Box 43080  
 Providence, RI 02940-3080  
 email: walmart@computershare.com  
 www.computershare.com/walmart

AARON M SPRUELL

Holder Account Number



**Transaction History** From: 01 Jan 2011 To: 31 Dec 2011

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
01 Jan 2011	Balance Forward							3.808
03 Jan 2011	Dividend Reinvestment	1.14			1.14	54.736	0.021	3.829
04 Jan 2011	Payroll Deduction	2.00			2.00	54.736	0.037	3.866
04 Jan 2011	Company Contribution	0.30			0.30	54.736	0.005	3.871
18 Jan 2011	Payroll Deduction	2.00			2.00	55.259	0.036	3.907
18 Jan 2011	Company Contribution	0.30			0.30	55.259	0.005	3.912
01 Feb 2011	Payroll Deduction	2.00			2.00	56.281	0.036	3.948
01 Feb 2011	Company Contribution	0.30			0.30	56.281	0.005	3.953
15 Feb 2011	Payroll Deduction	2.00			2.00	54.904	0.036	3.989
15 Feb 2011	Company Contribution	0.30			0.30	54.904	0.005	3.994
01 Mar 2011	Payroll Deduction	2.00			2.00	52.270	0.038	4.032
01 Mar 2011	Company Contribution	0.30			0.30	52.270	0.006	4.038
15 Mar 2011	Payroll Deduction	2.00			2.00	52.097	0.038	4.076
15 Mar 2011	Company Contribution	0.30			0.30	52.097	0.006	4.082
29 Mar 2011	Payroll Deduction	2.00			2.00	52.238	0.038	4.120
29 Mar 2011	Company Contribution	0.30			0.30	52.238	0.006	4.126
04 Apr 2011	Dividend Reinvestment	1.47			1.47	52.564	0.028	4.154
12 Apr 2011	Payroll Deduction	2.00			2.00	53.517	0.037	4.191
12 Apr 2011	Company Contribution	0.30			0.30	53.517	0.006	4.197
26 Apr 2011	Payroll Deduction	2.00			2.00	53.894	0.037	4.234
26 Apr 2011	Company Contribution	0.30			0.30	53.894	0.006	4.240
10 May 2011	Payroll Deduction	2.00			2.00	55.365	0.036	4.276
10 May 2011	Company Contribution	0.30			0.30	55.365	0.005	4.281
24 May 2011	Payroll Deduction	2.00			2.00	54.951	0.036	4.317
24 May 2011	Company Contribution	0.30			0.30	54.951	0.005	4.322
06 Jun 2011	Dividend Reinvestment	1.56			1.56	53.944	0.029	4.351
07 Jun 2011	Payroll Deduction	2.00			2.00	54.150	0.037	4.388
07 Jun 2011	Company Contribution	0.30			0.30	54.150	0.006	4.394
21 Jun 2011	Payroll Deduction	2.00			2.00	53.030	0.038	4.432
21 Jun 2011	Company Contribution	0.30			0.30	53.030	0.006	4.438
05 Jul 2011	Payroll Deduction	2.00			2.00	53.446	0.037	4.475
05 Jul 2011	Company Contribution	0.30			0.30	53.446	0.006	4.481
19 Jul 2011	Payroll Deduction	2.00			2.00	53.766	0.037	4.518
19 Jul 2011	Company Contribution	0.30			0.30	53.766	0.006	4.524
02 Aug 2011	Payroll Deduction	2.00			2.00	52.100	0.038	4.562
02 Aug 2011	Company Contribution	0.30			0.30	52.100	0.006	4.568
16 Aug 2011	Payroll Deduction	2.00			2.00	52.001	0.038	4.606
16 Aug 2011	Company Contribution	0.30			0.30	52.001	0.006	4.612
30 Aug 2011	Payroll Deduction	2.00			2.00	52.882	0.038	4.650
30 Aug 2011	Company Contribution	0.30			0.30	52.882	0.006	4.656
06 Sep 2011	Dividend Reinvestment	1.67			1.67	51.202	0.033	4.689
13 Sep 2011	Payroll Deduction	2.00			2.00	51.489	0.039	4.728
13 Sep 2011	Company Contribution	0.30			0.30	51.489	0.006	4.734
27 Sep 2011	Payroll Deduction	2.00			2.00	52.407	0.038	4.772
27 Sep 2011	Company Contribution	0.30			0.30	52.407	0.006	4.778
11 Oct 2011	Payroll Deduction	2.00			2.00	54.557	0.037	4.815
11 Oct 2011	Company Contribution	0.30			0.30	54.557	0.005	4.820
25 Oct 2011	Payroll Deduction	2.00			2.00	56.911	0.035	4.855
25 Oct 2011	Company Contribution	0.30			0.30	56.911	0.005	4.860
08 Nov 2011	Payroll Deduction	2.00			2.00	58.890	0.034	4.894
08 Nov 2011	Company Contribution	0.30			0.30	58.890	0.005	4.899
22 Nov 2011	Payroll Deduction	2.00			2.00	56.824	0.035	4.934
22 Nov 2011	Company Contribution	0.30			0.30	56.824	0.005	4.939
06 Dec 2011	Payroll Deduction	2.00			2.00	58.688	0.034	4.973
06 Dec 2011	Company Contribution	0.30			0.30	58.688	0.005	4.978

**Transaction History (cont.)**      **From: 01 Jan 2011**      **To: 31 Dec 2011**

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
20 Dec 2011	(cont.) Payroll Deduction	2.00			2.00	58.963	0.034	5.012
20 Dec 2011	Company Contribution	0.30			0.30	58.963	0.005	5.017

From Aaron M Spruell



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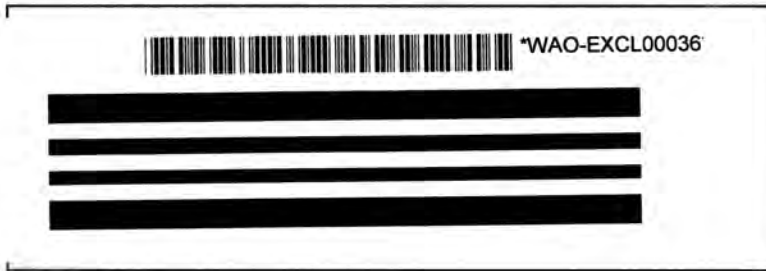
to

Walmart Securities -  
Settlement Claims Administrator  
c/o Gilardi & Co, LLC  
EXCLUSIONS  
3301 Kerner Blvd  
San Rafael, CA 94901

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## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Trena H Adams



Trena H. Adams

January 30, 2019

Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
EXCLUSIONS  
3301 Kerner Boulevard  
San Rafael, CA 94901

Re: City of Pontiac General Employees' Retirement System, Individually and on  
Behalf of All others similarly situated v. Wal-Mart Stores, Inc., et al.  
No. 5:12-cv-05162-SOH

To Whom it May Concern:

In reference to the above matter, I request exclusion from the Class in the Walmart Securities Settlement. My husband owned the stock and he has been deceased since February 20, 2012. The stock that was owned was sold in 2012.

Sincerely yours,



Trena H. Adams

Trena H. Adams



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**FEB 11 2019**

**KCC**

Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
EXCLUSIONS  
3301 Kerner Boulevard  
San Rafael, CA 94901

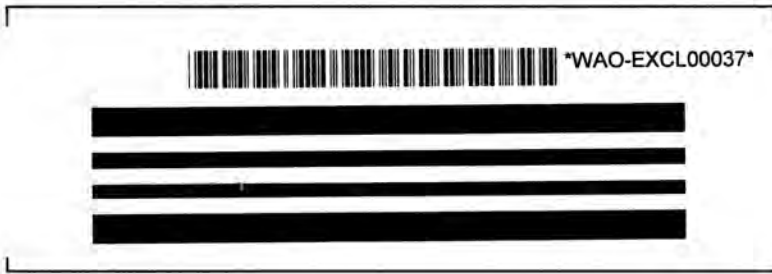
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GE  
FEB 12 2019  
CLAIMS CENTER

## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Paula Dillon

February 7, 2019



Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael, CA 94901


Dear Administrator,

I am writing to "request exclusion from the Class in the Walmart Securities Settlement". I have provided you with documentation of Walmart stock purchases, sales, dates, prices, quantities etc. as you have requested in the stated "Class Period".

Thank you,

A handwritten signature in cursive script that reads "Paula Dillon".

Paula Dillon

Phone #: 

**Walmart**  
Associate Stock Purchase Plan  
Transaction Details

PAULA D DILLON  
& DENISE DILLON JT TEN



**computershare**  
Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
Telephone: 800 438 6278  
email: walmart@computershare.com  
www.computershare.com/walmart

Holder Account Number



**Transaction History** From: 01 Jan 2011 To: 30 Dec 2011

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
01 Jan 2011	Balance Forward	59.72			59.72	54.609	1.094	197.436
03 Jan 2011	Dividend Reinvestment	600.00			600.00	56.281	10.661	198.530
01 Feb 2011	Payroll Deduction	90.00			90.00	56.281	1.599	209.191
15 Feb 2011	Company Contribution	600.00			600.00	54.904	10.928	210.790
15 Feb 2011	Payroll Deduction	90.00			90.00	54.904	1.639	221.718
01 Mar 2011	Company Contribution	600.00			600.00	52.270	11.479	234.836
01 Mar 2011	Payroll Deduction	90.00			90.00	52.270	1.722	236.558
15 Mar 2011	Company Contribution	600.00			600.00	52.097	11.517	248.075
29 Mar 2011	Payroll Deduction	600.00			600.00	52.238	11.486	259.561
04 Apr 2011	Dividend Reinvestment	86.34			86.34	53.517	1.613	261.204
12 Apr 2011	Payroll Deduction	600.00			600.00	53.517	1.211	272.415
12 Apr 2011	Company Contribution	90.00			90.00	53.517	1.682	274.097
06 Jun 2011	Dividend Reinvestment	100.05			100.05	53.944	1.855	275.952
06 Sep 2011	Dividend Reinvestment	100.05			100.05	51.202	1.967	277.919
30 Nov 2011	Dividend Reinvestment Sale	16,368.04	43.90	Transaction Fee	16,324.14	58.895	-277.919	0.000

Dec. 2011 Zero



**Walmart**  
 Associate Stock Purchase Plan  
 Transaction Details

PAULA D DILLON  
 & DENISE DILLON JT TEN

**Computershare**  
 Computershare Trust Company, N.A.  
 PO Box 43080  
 Providence, RI 02940-3080  
 Telephone: 800 438 6278  
 email: walmart@computershare.com  
 www.computershare.com/walmart

Holder Account Number



Transaction History From: 01 Jan 2012 To: 31 Dec 2012

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
01 Jan 2012	Balance Forward							0.000
13 Mar 2012	Payroll Deduction	600.00			600.00	60.791	9.870	9.870
13 Mar 2012	Company Contribution	90.00			90.00	60.791	1.480	11.350
27 Mar 2012	Payroll Deduction	600.00			600.00	61.209	9.803	21.153
27 Mar 2012	Company Contribution	90.00			90.00	61.209	1.470	
18 May 2012	Sale	1,413.94	31.15	Transaction Fee	1,382.79	62.500	-22.623	22.623
04 Jun 2012	Dividend Reinvestment	8.99			8.99	65.765	0.137	0.137
04 Sep 2012	Dividend Reinvestment	0.05			0.05	73.339	0.001	0.138
27 Dec 2012	Dividend Reinvestment	0.05			0.05	67.905	0.001	0.139

*to April 20 2012*

Paula Dillon

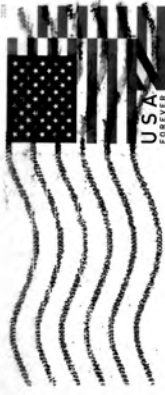


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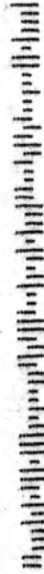
Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael, CA 94901

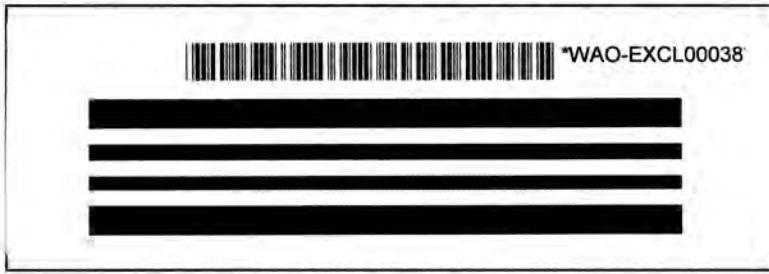


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## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Katherine H Robinson



[REDACTED]  
9 February 2019

Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi and Company, LLC  
EXCLUSIONS  
3301 Kerner Boulevard  
San Rafael, California 94901

SUBJ: Request for Exclusion from the Class in the Walmart Securities Settlement

ENCL: Copies of broker confirmations of transactions

During the class period, 8 Dec 2011 – 20 Apr 2012, I sold two hundred shares of Walmart common which I had purchased 10/19/07. Copies of the confirmation statements are enclosed.

I wish to request exclusion from the class in the Walmart securities settlement.

Sincerely,

*Katherine H. Robinson*

Katherine H. Robinson  
[REDACTED]

Investment Executive (YL19)  
**KENNETH HUBBARD**  
 The Peoples Bank and Trust Company  
 1784 Tallalferro Trail  
 Montgomery AL 36117  
 334-361-3049

KATHERINE H ROBINSON



We are pleased to confirm the following transaction(s) -

Account Number:

Symbol	Cusip	Action	Acct Type	Quantity	Price	Trade Date	Settle Date
POT	73755L107	Sold	Cash	300	112.05	10/19/07	10/24/07
<b>POTASH CORP SASKATCHEWAN INC UNSOLICITED ORDER</b>							PRINCIPAL 33,615.00
Market: 9 Capacity: 1 Tag: 276907							COMMISSION 180.00
							REG. COST 0.52
							HANDLING FEE 4.50
							<b>NET AMOUNT 33,429.98</b>
PGN	743263105	Bought	Cash	1,000	45.1175	10/19/07	10/24/07
<b>PROGRESS ENERGY INC UNSOLICITED ORDER</b>							PRINCIPAL 45,117.50
Market: 9 Capacity: 1 Tag: 278194							COMMISSION 303.71
							HANDLING FEE 4.50
							<b>NET AMOUNT 45,425.71</b>
SAP	803054204	Sold	Cash	200	54.26	10/19/07	10/24/07
<b>SAP AG-SPONSORED ADR UNSOLICITED ORDER</b>							PRINCIPAL 10,852.00
Market: 9 Capacity: 1 Tag: 276892							COMMISSION 119.82
							REG. COST 0.17
							HANDLING FEE 4.50
							<b>NET AMOUNT 10,727.51</b>
X WMT	931142103	Bought	Cash	500	45.07	10/19/07	10/24/07
<b>WAL-MART STORES INCORPORATED UNSOLICITED ORDER</b>							PRINCIPAL 22,535.00
Market: 9 Capacity: 1 Tag: 278212							COMMISSION 213.28
							HANDLING FEE 4.50
							<b>NET AMOUNT 22,752.78</b>



Investment Executive (VG50)  
 BRANDON OAKES  
 BankTrust  
 148 East Main  
 Prattville AL 36067  
 334-361-3062

000380 PVACD011  
 KATHERINE H ROBINSON

We are pleased to confirm the following transaction(s) -

Account Number: [REDACTED]

Symbol	Cusip	Action	Acct Type	Quantity	Price	Trade Date	Settle Date
T	00206R102	Bought	Cash	300	26.0299	10/19/09	10/22/09
AT&T INC SYMBOL ORI LISTED AS SBC, NOW T A/O 12/1/05. UNSOLICITED ORDER						PRINCIPAL	7,808.97
						COMMISSION	119.68
						HANDLING FEE	4.50
						<b>NET AMOUNT</b>	<b>7,933.15</b>
Market: 9 Capacity: 1 Tag: 958727							
MRK	589331107	Bought	Cash	300	33.318	10/19/09	10/22/09
MERCK & COMPANY INCORPORATED UNSOLICITED ORDER						PRINCIPAL	9,995.40
						COMMISSION	143.95
						HANDLING FEE	4.50
						<b>NET AMOUNT</b>	<b>10,143.85</b>
Market: 9 Capacity: 1 Tag: 958726							
WMT	931142103	Sold	Cash	300	51.8901	10/19/09	10/22/09
WAL-MART STORES INCORPORATED UNSOLICITED ORDER						PRINCIPAL	15,567.03
						COMMISSION	157.54
						REG. COST	0.20
						HANDLING FEE	4.50
						<b>NET AMOUNT</b>	<b>15,404.79</b>
Market: 9 Capacity: 1 Tag: 958724							

PrimeVest Financial Services, Inc.  
 Shareholder in the Chicago Stock Exchange Member SIPC

**PLEASE INCLUDE YOUR ACCOUNT NUMBER  
 ON ALL CHECKS AND CORRESPONDENCE**

Securities and insurance products offered by PrimeVest are not FDIC/NCUSIF insured, may lose value, are not financial institution guaranteed, not deposit products, not insured by any federal government agency.

000380 PVACD011 000454

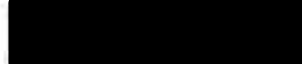


PrimeVest Financial  
Services Inc.  
Member FINRA/SIPC



Investment Executive 10W-VG50  
BRANDON OAKES  
BankTrust  
148 East Main  
Prattville AL 36067  
334-361-3062

KATHERINE H ROBINSON



We are pleased to confirm the following transaction(s) -

Account Number:

Symbol/Cusip: WMT/931142103

Action	Acct Type	Market	Capacity	Trade Date	Settle Date				
X Sold	Cash	9	1	02/24/12	02/29/12				
Tag	Quantity	Price	Principal	Comm	Reg. Cost	Handling Fee	Tax Int	Misc	Net Ttl
920359	200	58.7414	11,748.28	120.00	0.22	5.50	0.00	0.00	11,622.56
	200	58.7414	11,748.28	120.00	0.22	5.50	0.00	0.00	11,622.56

WAL-MART STORES INCORPORATED  
UNSOLICITED ORDER

Symbol/Cusip: TNH/881005201

Action	Acct Type	Market	Capacity	Trade Date	Settle Date				
Sold	Cash	9	1	02/24/12	02/29/12				
Tag	Quantity	Price	Principal	Comm	Reg. Cost	Handling Fee	Tax Int	Misc	Net Ttl
920361	100	220.7426	22,074.26	60.00	0.40	5.50	0.00	0.00	22,008.36
	100	220.7426	22,074.26	60.00	0.40	5.50	0.00	0.00	22,008.36

TERRA NITROGEN COMPANY LP  
COM UNIT  
UNSOLICITED ORDER

Robinson

MONTGOMERY AL 360

09 FEB 2019 PM 4 L



FOREVER / USA

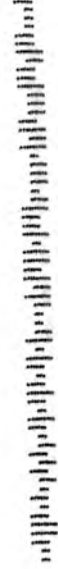
**RECEIVED**

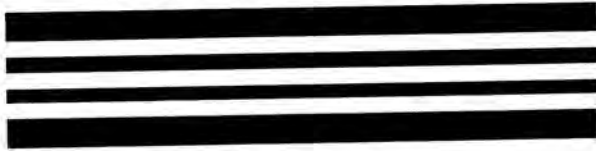
FEB 12 2019

KCC

Walmart Securities Ssettlement  
Claims Administrator  
c/o Gilardi and Company, LLC  
3301 Kerner Boulevard  
San Rafael CA 94901

94901-489625





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FEB 12 2019  
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## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Ryan Barnes

03<sup>RD</sup> FEBRUARY 2019.

TELE ☺

DEAR SIR / MAMAM

"I request exclusion from the class in the Walmart Securities Settlement."  
I have enclosed a copy of my shares. I only have this one dated 2011. I hope this is acceptable. (photo copy)

yours Sincerely

R Barnes

MR RYAN BARNES.



**ASDA Plan**  
Summary Statement



Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
Telephone: 0800 923 1515  
email: walmart@computershare.com  
www.computershare.com/walmart

RYAN BARNES



064923

Holder Account Number



801



**Account Summary 01 January 2011 - 30 December 2011 for Account**

	Shares Held	Price Per Share	Market Value
Beginning Balance	3.287	\$53.93	\$177.27
Ending Balance	3.361	\$59.76	\$200.85

When converted to Pounds Sterling your account has a market value of 126.70 (See back of statement currency exchange information)

Purchases and Additions	Number of Transactions	Shares Added	Amount Invested
Dividend Reinvestments	4	0.074	\$3.94
Plan Transfers and Other Additions	—	—	—

Sales and Deductions	Number of Transactions	Shares	Gross Proceeds	Fees and Commissions	Taxes Withheld	Net Proceeds
Share Sales	—	—	—	—	—	—
Plan Transfers	—	—	—	—	—	—

**Comments**

Still getting paper copies of your statements, annual meeting material, and other communications from Computershare? Go to [www.computershare.com/walmart](http://www.computershare.com/walmart) and log in to your account. Click on the "My Details" tab, select "E-Communications" then agree to the Terms & Conditions to enroll in electronic delivery. Help Walmart's sustainability efforts by eliminating one piece of paper at a time.

Please save this statement for tax purposes, or access your account over the web.

**Transaction History** From: 01 Jan 2011 To: 30 Dec 2011

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
01 Jan 2011	Balance Forward							3.287
03 Jan 2011	Dividend Reinvestment	0.99	0.15	Tax	0.84	54.609	0.015	3.302
04 Apr 2011	Dividend Reinvestment	1.21	0.18	Tax	1.03	52.564	0.020	3.322
06 Jun 2011	Dividend Reinvestment	1.21	0.18	Tax	1.03	53.944	0.019	3.341
06 Sep 2011	Dividend Reinvestment	1.22	0.18	Tax	1.04	51.202	0.020	3.361



---

#### **Selling Shares**

You can sell your shares through Computershare's automated phone system, or by speaking to a Customer Service Representative. You can also sell your shares online at [www.computershare.com/walmart](http://www.computershare.com/walmart).

- To sell your shares over the phone contact Computershare on 0500 8900 11, listen to the message, then dial 800-438-6278 and follow the prompts to sell. Customer Service Representatives are available Monday through Friday, 8:00 am – 6:00 pm CST (2:00 PM – midnight UK time).
- You can also sell online by logging into your account at [www.computershare.com/walmart](http://www.computershare.com/walmart). Click on the "Sell Shares" link to enter your trade.

There are two methods to choose from when selling your shares through Computershare:

**Market Order Trades-** Your stock will be sold as soon as your request can reasonably be processed at the market price in effect at that time. If the market is closed when you enter the request, your sale transaction will be processed at the start of the next day that the stock market is open. There is a \$45 (U.S.) fee for selling, plus five cents per share which will be deducted directly from the sales proceeds. The charge includes a \$15 currency conversion fee to Pound Sterling.

**Batch Trades-** Your stock will not be sold immediately as described above. Generally, if Computershare receives your request to sell shares of stock before 1:00 p.m. Central Time (7 P.M. UK time) on a business day, your sale transaction will take place on the same day. If your request is received on or after 1:00 P.M. Central Time (7 P.M. UK time) or if your request is made on a day the stock market is not open, your sale transaction will take place on the next day that the stock market is open. The sale price for a share of stock sold in this manner will be the average price of all shares of stock sold by Computershare for you and all other ASDA Colleagues on the date of your sale transaction. There is a \$35 (U.S.) fee for selling, plus five cents per share which will be deducted directly from the sales proceeds. The charge includes a \$15 currency conversion fee to Pound Sterling.

**Make sure your account is tax certified to avoid withholding from dividends and sale proceeds. See below for information regarding tax certification.**

---

#### **Tax Certification**

If you are a permanent resident of any country other than the United States, you are required to complete a W8-BEN. The upper right corner of the reverse indicates if your account is certified. If not, Computershare is required by the United States Internal Revenue Service to withhold 28% from dividends and sale proceeds. You can complete an electronic W8-BEN by logging in to your account at [www.computershare.com/walmart](http://www.computershare.com/walmart). You can also contact Computershare or the Share Team at ASDA House to request a paper copy, which will need to be returned to Computershare.

W8-BEN forms expire every three years. Computershare will mail you a new form during the last quarter of the year in which your current form is due to expire. Although you will receive a hard copy in the mail you can also recertify your account online.

---

#### **Currency Conversion**

Sale proceeds from the ASDA plan are automatically converted to Pounds Sterling unless otherwise requested. The funds are typically converted one business day after the sale. The exchange rates displayed in newspapers, online, and other publications are usually intra-bank rates and are not normally what an individual would receive.

The market value of your account in Pounds Sterling shown on the reverse is based on the exchange rate as of the end of the statement period. The rate used is what an individual would have been eligible to receive based on the value of their account in US Dollars at that time.

---

#### **Voluntary Investments**

Once you hold shares in the Plan you can make voluntary investments through Computershare. The stock purchased will be held in your Plan account. Your employer will not make matching contributions to these investments. Voluntary investments cannot exceed \$125,000 USD per Plan year. All amounts invested through the Plan will be converted from Pounds Sterling to U.S. dollars prior to the time the shares of stock are purchased. The exchange rate will be set as of the date of the wire when the funds are actually converted. For more information please contact Computershare on free phone number 0800 923 1515. To obtain a voluntary purchase form, log in to your account at [www.computershare.com/walmart](http://www.computershare.com/walmart). Select "Downloadable Forms" from the Company Info tab, then choose "ASDA Voluntary Purchase Form".

---

#### **Annual Maintenance Fee**

If you no longer work for ASDA or are a spouse of a former Colleague and have a plan account with Computershare, you may maintain your account and purchase shares without paying a brokerage fee. However, you will be charged \$35 once a year as a maintenance fee. The \$35 fee is automatically deducted from your account in the first quarter of each year by selling a portion of stock equal to \$35.

---

#### **Not Sure What to Do?**

ASDA cannot give advice about buying, selling or holding shares. If you are unsure what to do, you should consult an independent financial advisor.

---

Computershare Trust Company, N.A., as agent, upon written request, will provide the name of the executing broker dealer associated with the transaction(s), and within a reasonable amount of time will disclose the source and amount of compensation received from third parties in connection with the transaction(s), if any.

---

#### **Privacy Notice**

At Computershare, we take privacy seriously. In the course of providing services to you in connection with employee stock purchase plans, dividend reinvestment plans, direct stock purchase plans and/or direct registration services, we receive nonpublic, personal information about you. We receive this information through transactions we perform for you, from enrollment forms, automatic debit forms, and through other communications with you in writing, electronically, and by telephone. We may also receive information about you by virtue of your transaction with affiliates of Computershare or other parties. This information may include your name, address (residential and mailing), Social Security number, bank account information, stock ownership information and other financial information. With respect both to current and former customers, Computershare does not share nonpublic personal information with any non-affiliated third-party except as necessary to process a transaction, service your account or as required or permitted by law. Our affiliates and outside service providers with whom we share information are legally bound not to disclose the information in any manner, unless required or permitted by law or other governmental process. We strive to restrict access to your personal information to those employees who need to know the information to provide our services to you. Computershare maintains physical, electronic and procedural safeguards to protect your personal information. Computershare realizes that you entrust us with confidential personal and financial information and we take that trust very seriously.

*Note: Assets are not deposits of Computershare and are not insured by the Federal Deposit Insurance Corporation, the Securities Investor Protection Corporation, or any other federal or state agency.*

BY AIR MAIL  
par avion  
Royal Mail®

To  
WALMART SECURITIES SETTLEMENT.  
CLAIMS ADMINISTRATOR,  
c/o Gilardi & Co. LLC  
EXCLUSIONS,  
3301 KERNER BLVD.  
SAN RAFAEL. CA 94901  
UNITED STATES OF AMERICA.

Royal Mail  
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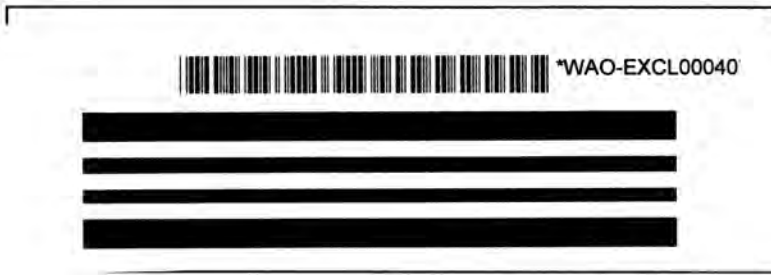
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FEB 12 2019

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FEB 17 2019  
CLAIMS CENTER

## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Somjai Cannon

Somjai Cannon



January 25, 2019

Walmart Securities Settlement  
Claims Administrator  
C/O Gilardi & Co, LLC  
Exclusions  
3301 Kerner Blvd  
San Rafael, CA 94901

Dear Sirs;

I request exclusion from the Class in the Walmart Securities Settlement.

I no longer own Walmart Shares, and do not have the information you requested concerning my purchase and sale of Walmart publicly traded common stock.

Respectfully,

  
Somjai Cannon

Sorniai Cannon



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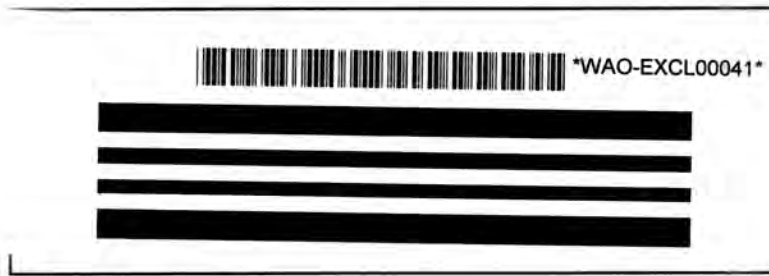
FEB 12 2019

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Walmart Securities Settlement  
Claims Administrator  
C/O Gilardi & Co, LLC  
Exclusions  
3301 Kerner Blvd  
San Rafael, CA 94901

94901-469625





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FEB 13 2019  
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## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Orlene Kantor

Feb 5 2019.

Walmart Securities Settlement  
Claims Administrator,  
c/o Glardi & Co. LLC  
P.O. Box 404094  
Louisville, KY 40233-4094

Dear Sirs: RE: Orlene Kantor  
Holder Account [REDACTED]

Please be advised I retired from Walmart June 1, 2010. During the period from Dec 8, 2011 to April 20, 2012, I did not purchase or sell any Walmart Stocks.

I wish to be excluded from the Class Action in the "Walmart Securities Settlement, or as stated "opting out".

Thank you,  
Orlene Kantor  
[REDACTED]



Mrs. Oriana Kantor

1902081345

3806 M4L



CANADA

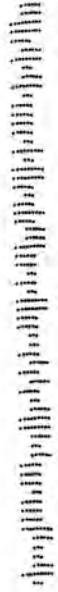
Walmart Securities Settlement,  
Claims Administrator,  
c/o Gilardi & Co. LLC,  
3301 Kerner Blvd.,  
San Rafael, CA 94901

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FEB 13 2019

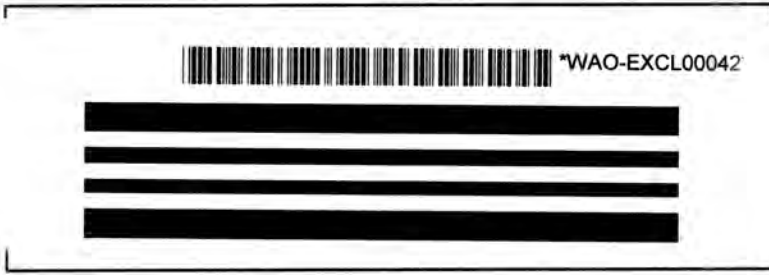
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RE: EXCLUSIONS



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FEB 14 2019  
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## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Patricia L Williams

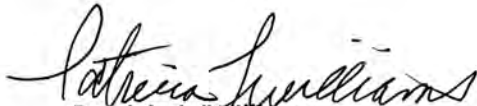
February 5, 2019



3301 Kerner Blvd.  
San Rafael, CA 9491

EXCLUSIONS

I have reviewed the dividends that were reinvested during the class period. Due to the insignificant amounts involved, I request exclusion from the class in the Walmart Securities Settlement.

  
Patricia L. Williams

W

Ms. Patricia Williams

LUBBOCK TX 794

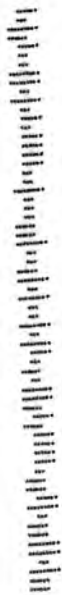
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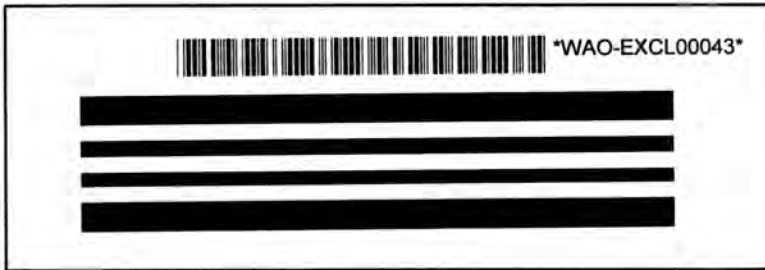


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WALMART Securities Settlement  
Claims Administrator  
% Girard + Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd  
SAN RAFEL, CA 94901

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FEB 15 2019

CLAIMS CENTER

## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Terrie Schneemann &  
Dan Schneemann(JTWROS)(JTTIC)

Dan & Terrie Schneemann



February 12, 2019

Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael, CA 94901

RE: Terrie Schneemann and Dan Schneemann (JTWROS) (JT TIC)  
Request for **EXCLUSION** from Settlement Class

To Whom It May Concern:

We, Terrie Schneemann and Dan Schneemann (JTWROS) (JT TIC), wish to be **EXCLUDED** from the Settlement Class in *CITY OF PONTIAC GENERAL EMPLOYEES' RETIREMENT SYSTEM, Individually and on Behalf of All Others Similarly Situated vs. WAL-MART STORES, INC., et. al.*, Case No. 5:12-cv-05162-SOH.

Transactions during the class period Dec. 8, 2011, through April 20, 2012 include the following purchases:

1/3/12	.126 sh @	\$60.00/sh	\$7.56
4/4/12	.137 sh @	\$60.52/sh	\$8.29

Your prompt attention is appreciated.

Sincerely,

  
Terrie Schneemann

  
Dan Schneemann

Dan & Terrie Schneemann



**CERTIFIED MAIL**



7016 1370 0002 2152 4746

**RECEIVED**

FEB 15 2019

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Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael, CA 94901

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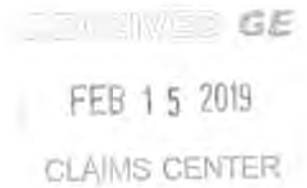
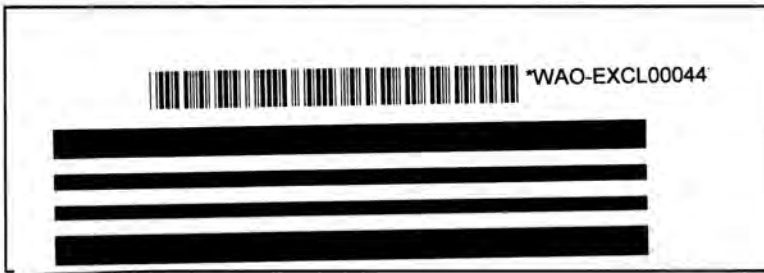


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BIG LAKE, TX  
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FEB 12, 19  
AMOUNT  
**\$4.05**  
R2305E124484-06



## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: John M Fitzgerald  
Norma J Fitzgerald

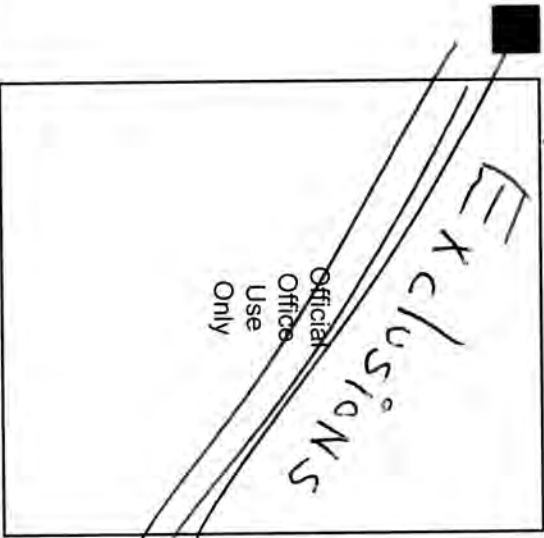
Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 404094  
Louisville, KY 40233-4094

IMPORTANT LEGAL DOCUMENTS ENCLOSED.



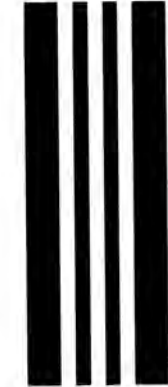
Do Not Mark This Barcode  
P8 1355

WAO



Exclusions

JOHN M FITZGERALD &  
[Redacted]



Must Be Postmarked (if Mailed) or  
Received (if Submitted Electronically)  
No Later Than April 15, 2019

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF ARKANSAS

City of Pontiac General Employees' Retirement  
System v. Wal-Mart Stores, Inc., et al.

No. 5:12-cv-05162

WAO

**PROOF OF CLAIM AND RELEASE**

Please Type or Print in the Boxes Below  
Do NOT use Red Ink, Pencil, or Staples

Presorted  
First-Class Mail  
US Postage  
**PAID**  
Permit #219  
Petaluma, CA

PART I: CLAIMANT IDENTIFICATION



11. How do I opt out of the Class and the proposed Settlement?

To exclude yourself from the Class and the Settlement, you must send a letter by First-Class Mail stating that you "request exclusion from the Class in the Walmart Securities Settlement." You **cannot** exclude yourself by telephone or email. Your letter must include your purchases, acquisitions, and sales of Walmart publicly traded common stock during the Class Period, including the dates, the number of shares of Walmart publicly traded common stock purchased, acquired, or sold and price paid or received for each such purchase, acquisition or sale. In addition, you must include your name, address, telephone number, and your signature. You must submit your exclusion request so that it is **postmarked no later than March 14, 2019** to:

Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael, CA 94901

*Above information unknown? This is your "EXCLUSION"*

Your exclusion request must comply with these requirements in order to be valid and effective. Lead Counsel or the Claims Administrator may, at their discretion, request from any person or entity requesting exclusion documentation sufficient to prove his, her, or its purchases, acquisitions, and/or sales of Walmart publicly traded common stock during the Class Period.

Phone: [REDACTED]

No Email



STONEY FALLS SD 5700

12 FEB 2019 PM 2 L



*Warrant Securities Statement  
Quinn Administrators  
c/o Siland & Co. LLC*

"EXCLUSIONS"

3301 KERNER Blvd.

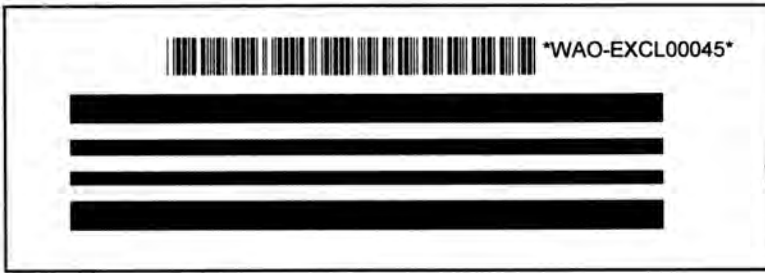
SAN RAFAEL, CA 94901-489625



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FEB 15 2019

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FEB 15 2019  
CLAIMS CENTER

## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Clarence H Swanson Jr estate  
c/o Mark Swanson

From Clarence Hilding Swanson, Jr. estate



February 12, 2019

Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael, CA 94901

Greetings.

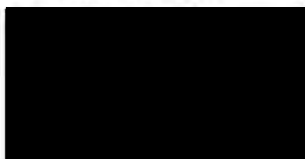
My father, Clarence H. Swanson Jr., passed away on September 29, 2017, and I am the executor for his will. My name is Mark Swanson. I received a letter addressed to my father's living trust from the Walmart Securities Settlement Claims Administrator, and I am responding to that letter.

I hereby request exclusion from the Class in the Walmart Securities Settlement on behalf of my deceased father. I called the Walmart Shareholders Services Line, and I was informed my father never held any shares in Walmart during the Class Period.

The fact that I received a letter addressed to my father indicates my father's name is somehow associated with this suit. I am sending this letter to request exclusion from the Class to clarify that my father, Clarence H. Swanson Jr., is not in the Class.

My contact information is:

Mark Swanson



Sincerely,

A handwritten signature in black ink, appearing to read 'Mark Swanson'.

Mark Swanson



BIRMINGHAM AL 350

12 FEB 2019 PM 5.1



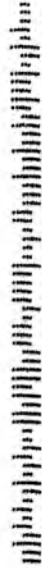
Walmart Securities Settlement +  
Claims Administrator  
c/o Gilardi + Co. LLC  
EXCLUSIONS  
3301 Remner Blvd.  
San Rafael, CA 94901

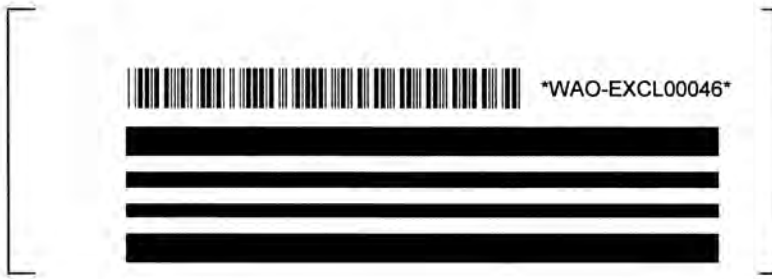
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94901-489625





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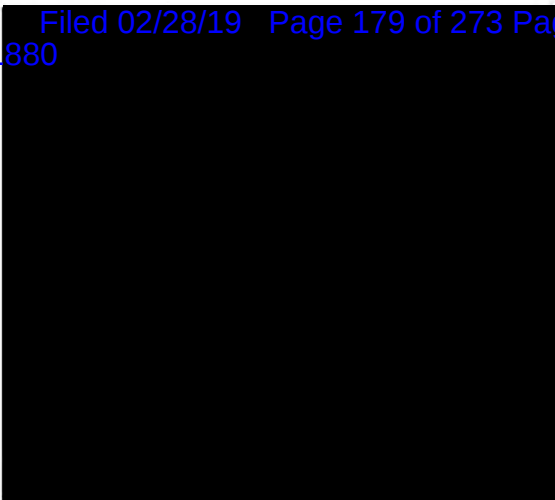
## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Tina Gosling

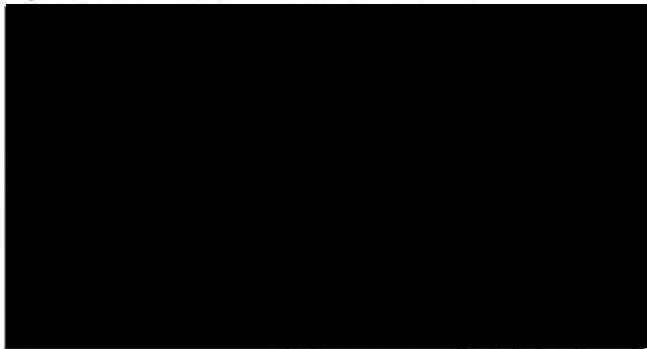


Dear Sir/madam,

I request exclusion from the Class in the Walmart Securities Settlement.

I have made no purchases, acquisitions, and sales of Walmart stock during the class period.

MRS. TINA GOSLING



Telephone number.



Yours faithfully, Tina Gosling.

Royal Mail <sup>ST-CLASS</sup>  
supporting your  
mental health with



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POSTAGE PAID UK3  
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140807 2-2133424

• SINGLE USE ONLY •

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WAMMART SECURITIES SETTLEMENT  
CLAIMS ADMINISTRATOR  
C/O GIARDI & CO LLC  
EXCLUSIONS  
3301 KERNER BLVD  
SAN RAFAEL  
CALIFORNIA 94903  
UNITED STATES OF AMERICA

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\*WAO-EXCL00047\*



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FEB 19 2019

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## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Ryan Casey

February 14, 2019

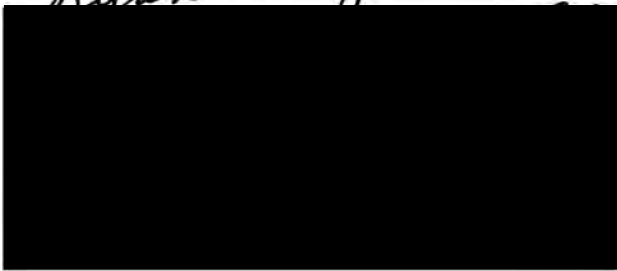
Attention: Claims Administrator

Please exclude me from the Class  
in the Walmart Securities Settlement.

<u>Shares Held</u>	<u>Price per Share</u>	<u>Market Value</u>
27,030	98.75	2,669.21

(Date 2002)

Ryan Casey



Sincerely,  
Ryan Casey

Mr. Evan Casey

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CLAIMS CENTER

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**CERTIFIED MAIL**



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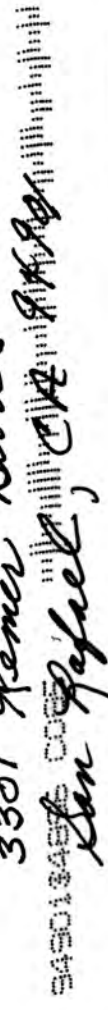
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20872  
FEB 14, 19  
AMOUNT

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R2303S104349-11

*Walmart Securities Settlement*  
*Claims Administrator*  
*c/o Habardi & Co. LLC*  
*EXCLUSIONS*  
*3301 Kerner Blvd.*  
*San Rafael, CA 94901*





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FEB 19 2019

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## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Vicki Benson

February 13, 2019

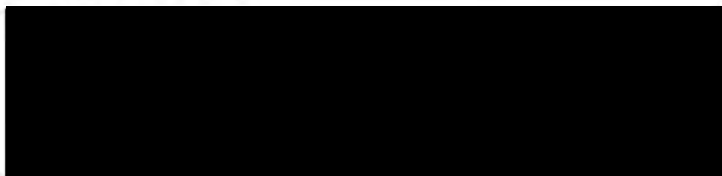
This is my exclusion letter from the

Walmart Securities Settlement:

Altho I own Walmart shares of stock, I did not acquire or sell any during the time period of the lawsuit (December 8, 2011 - April 20, 2012).

Glen, my husband is deceased & he is the one who bought our Walmart stock years ago.

*Vicki Benson*  
Vicki Benson





SACRAMENTO CA 957

15 FEB 2019 THU 12



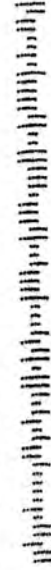
Mr. Glen Benson

Walmart Securities Settlement  
Claims administrator  
C/o Hilardi & Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael, CA 94901

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FEB 19 2019

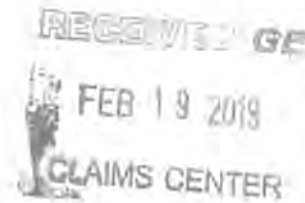
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94901-489625



\*WAO-EXCL00049\*



## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Georgian Messens (Dec'd)  
Melanie Pauch

Melanie Pauch



Gilardi & Co. LLC  
CLAIMS ADMINISTRATOR  
3301 Kerner Boulevard  
San Rafael, CA 94901  
ATTN: EXCLUSIONS

RE: City of Pontiac General Employees Retirement System v. Wal-Mart Stores, Inc., et al  
USDC No. 5:12-cv-05162-SOH

Dear Sir/Madam,

This letter is written pursuant to the Proof of Claim and Release received on or about February 11, 2019.

The purpose of this letter is to request that you exclude the following persons from any settlement negotiations and/or resolution regarding the above-captioned lawsuit:

1. Georgian Messens (deceased 10-23-18)
2. Melanie Pauch

I am not able to provide the dates, number of shares of Walmart stock or any other information you are seeking. The shares were in an account established by my mother, who is now deceased. I do not wish to pursue this matter any further.

Sincerely,

A handwritten signature in cursive script that reads "Melanie Pauch".

Melanie Pauch

/mp





Melanie Pauch



TRAVERSE CITY  
MI 49611  
14 FEB 2019 PM



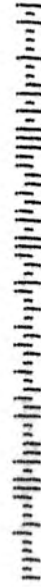
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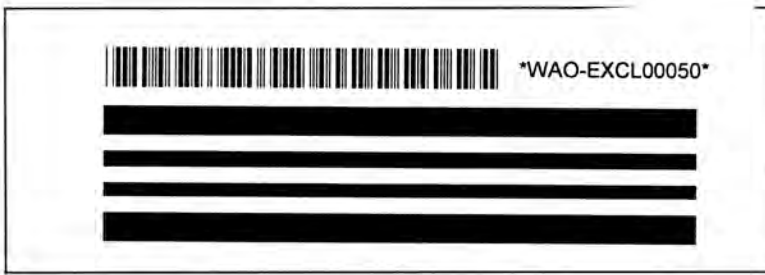
FEB 19 2019

CLAIMS CENTER

Gilardi & Co. LLC  
CLAIMS ADMINISTRATOR  
3301 Kerner Boulevard  
San Rafael, CA 94901  
ATTN: EXCLUSIONS

94901\$4656





RECEIVED *MS*  
FEB 19 2019  
CLAIMS CENTER

## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: James R Young

February 11, 2019

Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
Exclusions  
3301 Kerner Blvd.  
San Rafael, CA 94901

From: James R. Young



To Whom It May Concern,

I, James R. Young, would like to be EXCLUDED from the class and the settlement by Walmart employees.

I am a stockholder but I do not wish to participate.

Thank you,

A handwritten signature in cursive script that reads "James R. Young".



ORLANDO FL 328

James B. Young



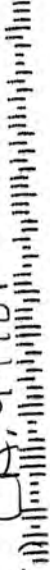
FROM:

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FEB 19 2019

CLAIMS CENTER

TO: WALMART Securities Settlement  
Claims Administrator  
c/o Gilardi Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
SAN RAFAEL, CA 94901  
94901-489625





RECEIVED *PRJ*  
FEB 19 2019  
CLAIMS CENTER

## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Karl R Krotke-Crandall

11 February 2019

Walmart Securities Settlement  
Claims Administrator  
C/o Gilardi & Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael, CA 94901

Ref: Excluding myself from this class action suit

Dear Sir:

I want to exclude myself from this frivolous lawsuit brought on behalf of the Pontiac General Employees' retirement system.

I believe this claim is totally baseless and hope that it will be thrown-out upon appeal.

Enclosed are verifications of my ownership of Walmart stock.

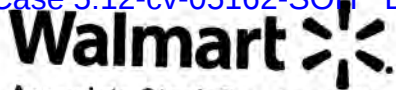
Sincerely,

A handwritten signature in black ink that reads "Karl R. Krotke-Crandall" with a small "AKC" monogram at the end.

Karl R. Krotke-Crandall

Cc:

United States District Court Western District of Arkansas  
Clerk of the Court  
United States Courthouse  
101 South Jackson Av Rm 205  
EIDorado, AR 71730



**Associate Stock Purchase Plan**  
Summary Statement



Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
email: walmart@computershare.com  
www.computershare.com/walmart



KARL KROTKE



Holder Account Number



001CS0103\_eps.DL\_PC3.WMT.111525\_597/066260/198779

**Account Summary January 01, 2018 - December 31, 2018 for Account**

	Shares Held	Price Per Share	Market Value
Beginning Balance	0.785	\$98.75	\$77.52
Ending Balance	0.401	\$93.15	\$37.35

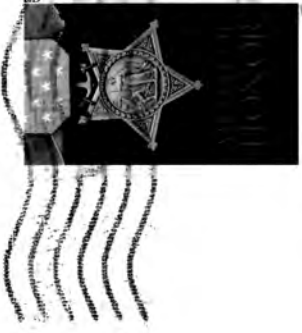
<b>Purchases and Additions</b>	Number of Transactions	Shares Added	Amount Invested
Payroll Deductions	—	—	—
Voluntary Cash Purchases	—	—	—
Dividend Reinvestments	4	0.010	\$1.03
Plan Transfers and Other Additions	—	—	—
<b>Benefits Provided by Walmart</b>			
Walmart Contributions	—	—	—

<b>Sales and Deductions</b>	Number of Transactions	Shares	Gross Proceeds	Fees and Commissions	Taxes Withheld	Net Proceeds
Share Sales	—	—	—	—	—	—
Maintenance Fee	1	0.394	\$35.00	\$35.00	—	—
Plan Transfers	—	—	—	—	—	—

**Comments**

Please note you are no longer able to use your SSN/SIN to access your account on the automated phone system. Current associates will need their Walmart Identification Number, which can be found on WalmartOne or the WIRE, or the Computershare Account Number printed above. If you no longer work for Walmart you will use your Holder Account Number when calling Computershare. Or, for the easiest way to manage your account, go to [www.computershare.com/walmart](http://www.computershare.com/walmart).

Please save this statement for tax purposes, or access your account over the web.



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13 FEB 2019 PM 2 L

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FEB 19 2019

CLAIMS CENTER

Walmart Securities Settlement  
Claims Administrator  
C/o Gilardi & Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael, CA 94901

94901-489625







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FEB 19 2019  
CLAIMS CENTER

## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Carol Poe

**February 12, 2019**

**Walmart Securities Settlement**

**Claims Administrator**

**c/o Gilardi & Co. LLC**

**EXCLUSIONS**

**3301 Kerner Blvd.**

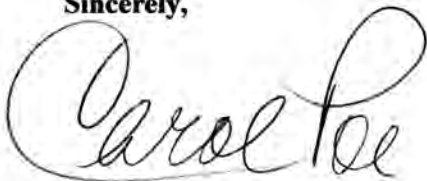
**San Rafael, CA 94901**

**I, Carol Poe, request exclusion from the Class in the *Walmart Securities Settlement*.**

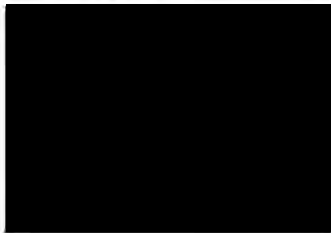
**Share Quantity: 5.30332 – Cost Basis: 323.76 – Activity Date: 12/28/2011**

**Share Quantity: 5.68886 – Cost Basis: 354.70 – Activity Date: 03/30/2012**

**Sincerely,**



**Carol Poe**



Carol Poe



SPRINGFIELD IL 627

13 FEB 2019 PM 1 L



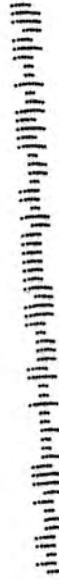
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CLAIMS CENTER

Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael, CA 94901

9490134856





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FEB 19 2019  
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## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

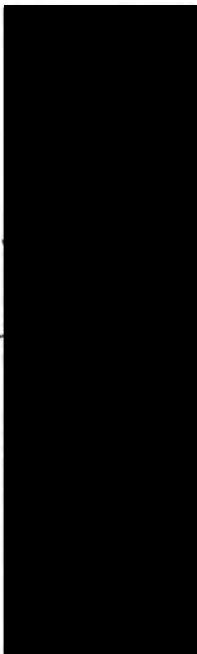
Name of Person Filing Exclusion: Debra A Kauer

2-11-2019

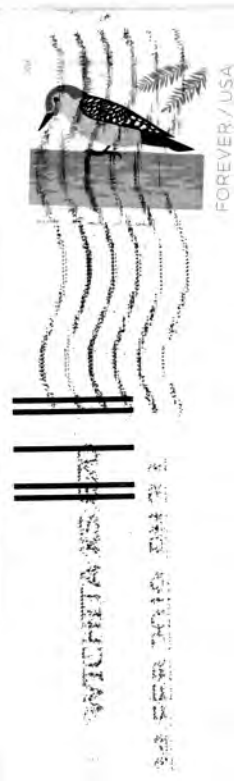
I request exclusion from the Class in the Walmart Securities Settlement.

I have 4 shares, all purchased some time between 1996 and 1998. I have not purchased nor sold shares since that date (1998).

Debra AKaver



Debra A. Kaver



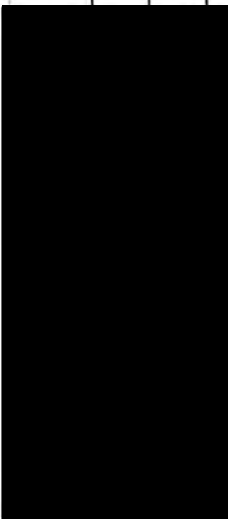
Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC

~~PO BOX 101001~~  
Louisville, KY 40233-4094

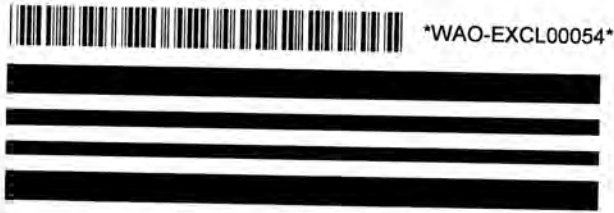
Exclusions  
3801 Kerner Blvd.  
San Rafael, CA 94901

94901-489625

WAO



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FEB 19 2019  
CLAIMS CENTER



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FEB 19 2019  
CLAIMS CENTER

## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Ticker Tape Investment Club  
Carol Poe (Secretary)

**February 12, 2019**

**Walmart Securities Settlement**

**Claims Administrator**

**c/o Gilardi & Co. LLC**

**EXCLUSIONS**

**3301 Kerner Blvd.**

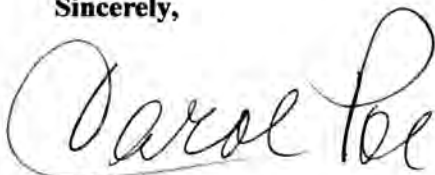
**San Rafael, CA 94901**

**Ticker Tape Investment Club requests exclusion from the Class in the *Walmart Securities Settlement*.**

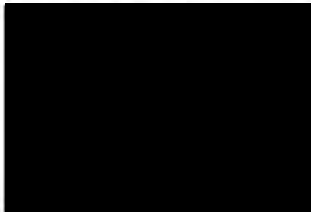
**Share Quantity: 0.33931 - Cost Basis: 20.71 - Activity Date: 12/28/2011**

**Share Quantity: 0.36397 - Cost Basis: 22.69 - Activity Date: 03/30/2012**

**Sincerely,**



**Carol Poe, Secretary**





Carol Poe, Secretary



SPRINGFIELD IL 627  
13 FEB 2019 PM 1 T

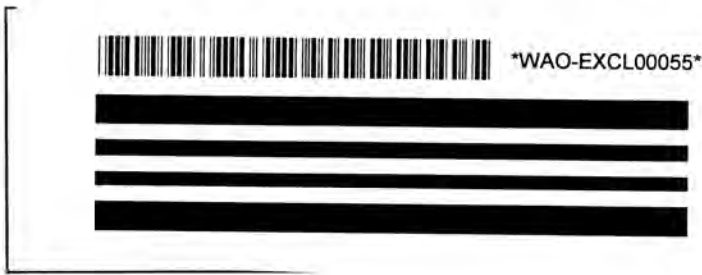
RECEIVED GE

FEB 19 2019

CLAIMS CENTER

Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael, CA 94901

9490134696



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FEB 21 2019  
CLAIMS CENTER

## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Sarah Hewitt

Miss Sarah Hewitt



Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael  
CA 94901  
USA

13<sup>th</sup> February 2019

**RE: Class Action 5:12-cv-05162-SOH Walmart Securities Settlement**

Regarding the above action, please may I request that you exclude me from the Walmart Securities Settlement. I enclose copies of Computershare statements for my Walmart share holdings covering the period December 2011 to April 2012. I hope this is sufficient information to exclude myself.

To confirm, my full details are below:

Name : Miss Sarah Hewitt



A handwritten signature in cursive script that reads "Sarah Hewitt". A horizontal line is drawn underneath the signature.

Miss Sarah Hewitt



**ASDA Plan**  
Summary Statement

Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
Telephone: 0800 923 1515  
email: walmart@computershare.com  
www.computershare.com/walmart

SARAH HEWITT

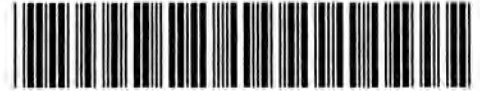


68748

Holder Account Number



382



**Account Summary 01 January 2011 - 30 December 2011 for Account**

	Shares Held	Price Per Share	Market Value
Beginning Balance	146.577	\$53.93	\$7904.90
Ending Balance	154.267	\$59.76	\$9219.00

When converted to Pounds Sterling your account has a market value of 5815.35 (See back of statement currency exchange information)

Purchases and Additions	Number of Transactions	Shares Added	Amount Invested
Dividend Reinvestments	4	3.340	\$176.91
Plan Transfers and Other Additions	—	—	—
<b>Benefits Provided by Walmart</b>			
CSOP Maturity	1	4.350	\$228.89

Sales and Deductions	Number of Transactions	Shares	Gross Proceeds	Fees and Commissions	Taxes Withheld	Net Proceeds
Share Sales	—	—	—	—	—	—
Plan Transfers	—	—	—	—	—	—

**Comments**

Still getting paper copies of your statements, annual meeting material, and other communications from Computershare? Go to [www.computershare.com/walmart](http://www.computershare.com/walmart) and log in to your account. Click on the "My Details" tab, select "E-Communications" then agree to the Terms & Conditions to enroll in electronic delivery. Help Walmart's sustainability efforts by eliminating one piece of paper at a time.

Please save this statement for tax purposes, or access your account over the web.

**Transaction History** From: 01 Jan 2011 To: 30 Dec 2011

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
01 Jan 2011	Balance Forward							146.577
03 Jan 2011	Dividend Reinvestment	44.34	6.65	Tax	37.69	54.609	0.690	147.267
04 Apr 2011	Dividend Reinvestment	53.75	8.06	Tax	45.69	52.564	0.869	148.136
06 Jun 2011	Dividend Reinvestment	54.07	8.11	Tax	45.96	53.944	0.852	148.988
08 Aug 2011	CSOP Maturity	228.90			228.90	52.620	4.350	153.338

Amount Received: 140.61 Currency: GBP Exchange Rate: 1.627875

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### Selling Shares

You can sell your shares through Computershare's automated phone system, or by speaking to a Customer Service Representative. You can also sell your shares online at [www.computershare.com/walmart](http://www.computershare.com/walmart).

- To sell your shares over the phone contact Computershare on 0500 8900 11, listen to the message, then dial 800-438-6278 and follow the prompts to sell. Customer Service Representatives are available Monday through Friday, 8:00 am – 6:00 pm CST (2:00 PM – midnight UK time).
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There are two methods to choose from when selling your shares through Computershare:

**Market Order Trades-** Your stock will be sold as soon as your request can reasonably be processed at the market price in effect at that time. If the market is closed when you enter the request, your sale transaction will be processed at the start of the next day that the stock market is open. There is a \$45 (U.S.) fee for selling, plus five cents per share which will be deducted directly from the sales proceeds. The charge includes a \$15 currency conversion fee to Pound Sterling.

**Batch Trades-** Your stock will not be sold immediately as described above. Generally, if Computershare receives your request to sell shares of stock before 1:00 p.m. Central Time (7 P.M. UK time) on a business day, your sale transaction will take place on the same day. If your request is received on or after 1:00 P.M. Central Time (7 P.M. UK time) or if your request is made on a day the stock market is not open, your sale transaction will take place on the next day that the stock market is open. The sale price for a share of stock sold in this manner will be the average price of all shares of stock sold by Computershare for you and all other ASDA Colleagues on the date of your sale transaction. There is a \$35 (U.S.) fee for selling, plus five cents per share which will be deducted directly from the sales proceeds. The charge includes a \$15 currency conversion fee to Pound Sterling.

**Make sure your account is tax certified to avoid withholding from dividends and sale proceeds. See below for information regarding tax certification.**

---

### Tax Certification

If you are a permanent resident of any country other than the United States, you are required to complete a W8-BEN. The upper right corner of the reverse indicates if your account is certified. If not, Computershare is required by the United States Internal Revenue Service to withhold 28% from dividends and sale proceeds. You can complete an electronic W8-BEN by logging in to your account at [www.computershare.com/walmart](http://www.computershare.com/walmart). You can also contact Computershare or the Share Team at ASDA House to request a paper copy, which will need to be returned to Computershare.

W8-BEN forms expire every three years. Computershare will mail you a new form during the last quarter of the year in which your current form is due to expire. Although you will receive a hard copy in the mail you can also recertify your account online.

---

### Currency Conversion

Sale proceeds from the ASDA plan are automatically converted to Pounds Sterling unless otherwise requested. The funds are typically converted one business day after the sale. The exchange rates displayed in newspapers, online, and other publications are usually intra-bank rates and are not normally what an individual would receive.

The market value of your account in Pounds Sterling shown on the reverse is based on the exchange rate as of the end of the statement period. The rate used is what an individual would have been eligible to receive based on the value of their account in US Dollars at that time.

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### Voluntary Investments

Once you hold shares in the Plan you can make voluntary investments through Computershare. The stock purchased will be held in your Plan account. Your employer will not make matching contributions to these investments. Voluntary investments cannot exceed \$125,000 USD per Plan year. All amounts invested through the Plan will be converted from Pounds Sterling to U.S. dollars prior to the time the shares of stock are purchased. The exchange rate will be set as of the date of the wire when the funds are actually converted. For more information please contact Computershare on free phone number 0800 923 1515. To obtain a voluntary purchase form, log in to your account at [www.computershare.com/walmart](http://www.computershare.com/walmart). Select "Downloadable Forms" from the Company Info tab, then choose "ASDA Voluntary Purchase Form".

---

### Annual Maintenance Fee

If you no longer work for ASDA or are a spouse of a former Colleague and have a plan account with Computershare, you may maintain your account and purchase shares without paying a brokerage fee. However, you will be charged \$35 once a year as a maintenance fee. The \$35 fee is automatically deducted from your account in the first quarter of each year by selling a portion of stock equal to \$35.

---

### Not Sure What to Do?

ASDA cannot give advice about buying, selling or holding shares. If you are unsure what to do, you should consult an independent financial advisor.

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Computershare Trust Company, N.A., as agent, upon written request, will provide the name of the executing broker dealer associated with the transaction(s), and within a reasonable amount of time will disclose the source and amount of compensation received from third parties in connection with the transaction(s), if any.

---

### Privacy Notice

At Computershare, we take privacy seriously. In the course of providing services to you in connection with employee stock purchase plans, dividend reinvestment plans, direct stock purchase plans and/or direct registration services, we receive nonpublic, personal information about you. We receive this information through transactions we perform for you, from enrollment forms, automatic debit forms, and through other communications with you in writing, electronically, and by telephone. We may also receive information about you by virtue of your transaction with affiliates of Computershare or other parties. This information may include your name, address (residential and mailing), Social Security number, bank account information, stock ownership information and other financial information. With respect both to current and former customers, Computershare does not share nonpublic personal information with any non-affiliated third-party except as necessary to process a transaction, service your account or as required or permitted by law. Our affiliates and outside service providers with whom we share information are legally bound not to disclose the information in any manner, unless required or permitted by law or other governmental process. We strive to restrict access to your personal information to those employees who need to know the information to provide our services to you. Computershare maintains physical, electronic and procedural safeguards to protect your personal information. Computershare realizes that you entrust us with confidential personal and financial information and we take that trust very seriously.

*Note: Assets are not deposits of Computershare and are not insured by the Federal Deposit Insurance Corporation, the Securities Investor Protection Corporation, or any other federal or state agency.*



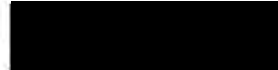
**ASDA Plan**  
Transaction Details

Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
Telephone: 0800 923 1515  
email: walmart@computershare.com  
www.computershare.com/walmart



SARAH HEWITT

Holder Account Number



**Transaction History (cont.)** From: 01 Jan 2011 To: 30 Dec 2011

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
06 Sep 2011	(cont.) Dividend Reinvestment	55.97	8.40	Tax	47.57	51.202	0.929	154.267



ASDA Plan  
Summary Statement



Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
Telephone: 0800 923 1515  
email: walmart@computershare.com  
www.computershare.com/walmart



SARAH HEWITT



ESTD

Holder Account Number



136



**Account Summary 01 January 2012 - 31 December 2012 for Account**

	Shares Held	Price Per Share	Market Value
Beginning Balance	154.267	\$59.76	\$9219.00
Ending Balance	335.259	\$68.23	\$22874.72

When converted to Pounds Sterling your account has a market value of 13793.46 (See back of statement currency exchange information)

Purchases and Additions	Number of Transactions	Shares Added	Amount Invested
Dividend Reinvestments	5	4.992	\$336.23
Plan Transfers and Other Additions	1	266.000	\$11249.00

Sales and Deductions	Number of Transactions	Shares	Gross Proceeds	Fees and Commissions	Taxes Withheld	Net Proceeds
Share Sales	1	90.000	\$6687.00	\$49.50	—	\$6637.50
Plan Transfers	—	—	—	—	—	—

**Comments**

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Please save this statement for tax purposes, or access your account over the web.

**Transaction History** From: 01 Jan 2012 To: 31 Dec 2012

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
01 Jan 2012	Balance Forward							154.267
03 Jan 2012	Dividend Reinvestment	56.31	16.89	Tax	39.42	60.785	0.649	154.916
04 Apr 2012	Dividend Reinvestment	61.58	18.47	Tax	43.11	60.253	0.715	155.631
04 Jun 2012	Dividend Reinvestment	61.86	18.56	Tax	43.30	65.765	0.658	156.289
06 Jun 2012	Sharesave Distribution	11,249.00			11,248.96	42.289	266.000	422.289
	Amount Received: 7,301.70 Currency: GBP Exchange Rate: 1.540600							
04 Sep 2012	Dividend Reinvestment	167.86	50.36	Tax	117.50	73.339	1.602	423.891
27 Sep 2012	Sale	6,687.00	15.00	Foreign currency Transaction Fee	6,637.50	74.300	-90.000	333.891
			34.50					

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### Selling Shares

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---

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---

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---

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---

### Annual Maintenance Fee

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---

### Not Sure What to Do?

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---

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---

### Privacy Notice

At Computershare, we take privacy seriously. In the course of providing services to you in connection with employee stock purchase plans, dividend reinvestment plans, direct stock purchase plans and/or direct registration services, we receive nonpublic, personal information about you. We receive this information through transactions we perform for you, from enrollment forms, automatic debit forms, and through other communications with you in writing, electronically, and by telephone. We may also receive information about you by virtue of your transaction with affiliates of Computershare or other parties. This information may include your name, address (residential and mailing), Social Security number, bank account information, stock ownership information and other financial information. With respect both to current and former customers, Computershare does not share nonpublic personal information with any non-affiliated third-party except as necessary to process a transaction, service your account or as required or permitted by law. Our affiliates and outside service providers with whom we share information are legally bound not to disclose the information in any manner, unless required or permitted by law or other governmental process. We strive to restrict access to your personal information to those employees who need to know the information to provide our services to you. Computershare maintains physical, electronic and procedural safeguards to protect your personal information. Computershare realizes that you entrust us with confidential personal and financial information and we take that trust very seriously.

*Note: Assets are not deposits of Computershare and are not insured by the Federal Deposit Insurance Corporation, the Securities Investor Protection Corporation, or any other federal or state agency.*





**ASDA Plan**  
Transaction Details



Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
Telephone: 0800 923 1515  
email: walmart@computershare.com  
www.computershare.com/walmart

SARAH HEWITT

Holder Account Number



**Transaction History (cont.)** From: 01 Jan 2012 To: 31 Dec 2012

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
27 Dec 2012	(cont.) Dividend Reinvestment	132.72	39.82	Tax	92.90	67.905	1.368	335.259

S. Heath



BY AIR MAIL  
par avion  
Royal Mail®

Royal Mail  
North & West  
Yorkshire  
13/02/2019  
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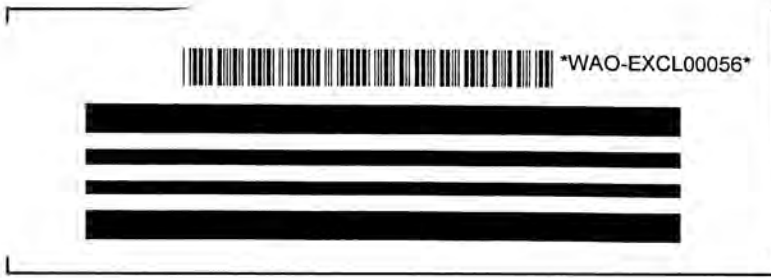
Royal Mail  
support for youth  
mental health with  
ACTION FOR CHILDREN  
Royal Mail

Walmart Securities Settlement  
Claims Administrator  
C/O Gilardi & Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael, CA  
94901  
USA.

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## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Kathy Harding

2-17-2019

I request exclusion from the  
Class in the Walmart Securities

Settlement.

Thank you.

Kathy Harding

Kathy Harding





Employee Online

**Account Summary**  
WALMART INC.

ASSOCIATE STOCK PURCHASE PLAN

Show Me

For the Year

Date Range

From

07 ▾

Dec ▾

2011 ▾

To

20 ▾

Apr ▾

2012 ▾

Search »

Below is the summary of your plan activity for the date range selected. To request a different date range or view a YTD summary, please make your selection above. All currency amounts shown represent US dollars.

**Account Summary**

Description	Share Held	Price Per Share	Market Value
Beginning Balance	0.027000	58.51	1.57
Ending Balance	0.219000	62.45	13.68

Purchases and Additional Shares	Number of Transactions	Shares Added	Amount Invested
Payroll Deductions	1	0.167000	10.00
Voluntary Cash Purchases	—	—	—
Dividend Reinvestments	2	—	0.02
Plan Transfers and Other Additions	—	—	—

**Benefits Provided by Walmart**

Walmart Contributions	1	0.025000	1.50
-----------------------	---	----------	------

Sales and Deductions	Number of Transactions	Shares	Gross Proceeds	Fees and Commissions	Taxes Withheld	Net Proceeds
Share Sales	—	—	—	—	—	—
Plan Transfers	—	—	—	—	—	—

Market Data Provider

Kathy Harding



BATON ROUGE LA 707

19 FEB 2019 PM 11

Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co., LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael, CA 94901

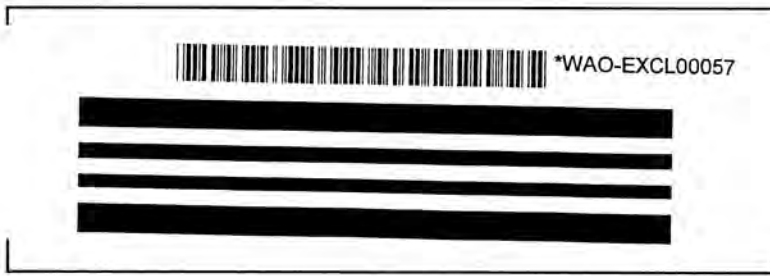
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## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: John Siegwarth

John Siegwarth

[REDACTED]  
February 17, 2019

Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co LLC  
EXCLUSIONS  
3301 Kerner Blvd  
San Rafael CA 94901

I wish to EXCLUDE myself from this Class Action law suit:

If you have any questions please contact me.

Sincerely,

  
John Siegwarth





PENSACOLA, FL 325  
18 FEB 2019 PM 1 L

John Siegwarth  
[Redacted]

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FEB 25 2019  
CLAIMS CENTER

Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co LLC  
EXCLUSIONS  
3301 Kerner Blvd  
San Rafael CA 94901

94901-489625

 \*WAO-EXCL00058\*



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FEB 25 2019  
CLAIMS CENTER

## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: David Clarke Freeman

I David Clarke Freeman request exclusion from the Class in the Walmart Securities Settlement.

David Clarke Freeman



David Freeman

02/17/2019



**Associate Stock Purchase Plan**

Summary Statement

**IMPORTANT TAX RETURN DOCUMENT ENCLOSED**

026700

DAVID C. FREEMAN



Computershare Trust Company, N.A.  
 PO Box 43080  
 Providence, RI 02940-3080  
 Telephone: 800 438 6278  
 email: walmart@computershare.com  
 www.computershare.com/walmart

Holder Account Number



Your account is uncertified. Taxes will be withheld from sale proceeds and dividend payments.

001CS0103\_RPS.IL..WMT.212504\_388/026700/076965

**Account Summary 01 January 2011 - 30 December 2011 for Account**

	Shares Held	Price Per Share	Market Value
Beginning Balance	0.623	\$53.93	\$33.60
Ending Balance	6.238	\$59.76	\$372.78

When converted to Canadian Dollars your account has a market value of 371.59 (See back of statement currency exchange information)

Purchases and Additions	Number of Transactions	Shares Added	Amount Invested
Payroll Deductions	26	4.838	\$263.09
Voluntary Cash Purchases	—	—	—
Dividend Reinvestments	4	0.053	\$2.81
Plan Transfers and Other Additions	—	—	—
<b>Benefits Provided by Walmart</b>			
Walmart Contributions	26	0.724	\$39.47

Sales and Deductions	Number of Transactions	Shares	Gross Proceeds	Fees and Commissions	Taxes Withheld	Net Proceeds
Share Sales	—	—	—	—	—	—
Plan Transfers	—	—	—	—	—	—

**Comments**

Still getting paper copies of your statements, annual meeting material, and other communications from Computershare? Go to [www.computershare.com/walmart](http://www.computershare.com/walmart) and log in to your account. Click on the "My Details" tab, select "E-Communications" then agree to the Terms & Conditions to enroll in electronic delivery. Help Walmart's sustainability efforts by eliminating one piece of paper at a time.

Please save this statement for tax purposes, or access your account over the web.

1 8 9 U D R

W M T

00SHQA

**Optional Cash Purchase** Please detach this portion and mail to the address shown below.

If you wish to make an optional cash purchase at this time, please make your check payable to Computershare. No third party checks will be accepted. Funds must be presented in \$US dollars. Please write your Holder Account Number and the Company Name on your check.

This form should ONLY be used for Wal-Mart Stores, Inc. Associate Stock Purchase Plan. The enclosed contribution will ONLY be applied to the account referenced to the right.

Holder Name: DAVID C FREEMAN

Holder Account Number



IND



Holder Account Number



Your account is uncertified. Taxes will be withheld from sale proceeds and dividend payments.

Transaction History From: 01 Jan 2011 To: 30 Dec 2011

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
01 Jan 2011	Balance Forward							0.623
03 Jan 2011	Dividend Reinvestment	0.13	0.02	Tax	0.11	54.609	0.002	0.625
04 Jan 2011	Payroll Deduction	10.04			10.04	54.736	0.183	0.808
04 Jan 2011	Company Contribution	1.51			1.51	54.736	0.028	0.836
18 Jan 2011	Payroll Deduction	10.11			10.11	55.259	0.183	1.019
18 Jan 2011	Company Contribution	1.52			1.52	55.259	0.028	1.047
01 Feb 2011	Payroll Deduction	9.98			9.98	56.281	0.177	1.224
01 Feb 2011	Company Contribution	1.50			1.50	56.281	0.027	1.251
15 Feb 2011	Payroll Deduction	10.12			10.12	54.904	0.184	1.435
15 Feb 2011	Company Contribution	1.52			1.52	54.904	0.028	1.463
01 Mar 2011	Payroll Deduction	10.28			10.28	52.270	0.197	1.660
01 Mar 2011	Company Contribution	1.54			1.54	52.270	0.029	1.689
15 Mar 2011	Payroll Deduction	10.12			10.12	52.097	0.194	1.883
15 Mar 2011	Company Contribution	1.52			1.52	52.097	0.029	1.912
29 Mar 2011	Payroll Deduction	10.24			10.24	52.238	0.196	2.108
29 Mar 2011	Company Contribution	1.54			1.54	52.238	0.029	2.137
04 Apr 2011	Dividend Reinvestment	0.62	0.09	Tax	0.53	52.564	0.010	2.147
12 Apr 2011	Payroll Deduction	10.42			10.42	53.517	0.195	2.342
12 Apr 2011	Company Contribution	1.56			1.56	53.517	0.029	2.371
26 Apr 2011	Payroll Deduction	10.48			10.48	53.894	0.194	2.565
26 Apr 2011	Company Contribution	1.57			1.57	53.894	0.029	2.594
10 May 2011	Payroll Deduction	10.42			10.42	55.365	0.188	2.782
10 May 2011	Company Contribution	1.56			1.56	55.365	0.028	2.810
25 May 2011	Payroll Deduction	10.24			10.24	54.523	0.188	2.998
25 May 2011	Company Contribution	1.54			1.54	54.523	0.028	3.026
06 Jun 2011	Dividend Reinvestment	1.03	0.15	Tax	0.88	53.944	0.016	3.042
07 Jun 2011	Payroll Deduction	10.25			10.25	54.150	0.189	3.231
07 Jun 2011	Company Contribution	1.54			1.54	54.150	0.028	3.259
21 Jun 2011	Payroll Deduction	10.25			10.25	53.030	0.193	3.452
21 Jun 2011	Company Contribution	1.54			1.54	53.030	0.029	3.481
05 Jul 2011	Payroll Deduction	10.40			10.40	53.446	0.195	3.676
05 Jul 2011	Company Contribution	1.56			1.56	53.446	0.029	3.705
19 Jul 2011	Payroll Deduction	10.53			10.53	53.766	0.196	3.901
19 Jul 2011	Company Contribution	1.58			1.58	53.766	0.029	3.930
02 Aug 2011	Payroll Deduction	10.42			10.42	52.100	0.200	4.130
02 Aug 2011	Company Contribution	1.56			1.56	52.100	0.030	4.160
16 Aug 2011	Payroll Deduction	10.18			10.18	52.001	0.196	4.356
16 Aug 2011	Company Contribution	1.53			1.53	52.001	0.029	4.385
30 Aug 2011	Payroll Deduction	10.21			10.21	52.882	0.193	4.578
30 Aug 2011	Company Contribution	1.53			1.53	52.882	0.029	4.607
06 Sep 2011	Dividend Reinvestment	1.52	0.23	Tax	1.29	51.202	0.025	4.632
13 Sep 2011	Payroll Deduction	10.09			10.09	51.489	0.196	4.828
13 Sep 2011	Company Contribution	1.51			1.51	51.489	0.029	4.857
27 Sep 2011	Payroll Deduction	9.81			9.81	52.407	0.187	5.044
27 Sep 2011	Company Contribution	1.47			1.47	52.407	0.028	5.072
12 Oct 2011	Payroll Deduction	9.69			9.69	55.737	0.174	5.246
12 Oct 2011	Company Contribution	1.45			1.45	55.737	0.026	5.272
25 Oct 2011	Payroll Deduction	9.80			9.80	56.911	0.172	5.444
25 Oct 2011	Company Contribution	1.47			1.47	56.911	0.026	5.470
08 Nov 2011	Payroll Deduction	9.86			9.86	58.890	0.167	5.637
08 Nov 2011	Company Contribution	1.48			1.48	58.890	0.025	5.662
22 Nov 2011	Payroll Deduction	9.63			9.63	56.824	0.169	5.831
22 Nov 2011	Company Contribution	1.44			1.44	56.824	0.025	5.856
06 Dec 2011	Payroll Deduction	9.81			9.81	58.688	0.167	6.023
06 Dec 2011	Company Contribution	1.47			1.47	58.688	0.025	6.048

Transaction History (cont.) From: 01 Jan 2011 To: 30 Dec 2011

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
20 Dec 2011	(cont.) Payroll Deduction	9.71			9.71	58.963	0.165	6.213
20 Dec 2011	Company Contribution	1.46			1.46	58.963	0.025	6.238



**Associate Stock Purchase Plan  
Summary Statement**



Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
Telephone: 800 438 6278  
email: walmart@computershare.com  
www.computershare.com/walmart

GROUP\_1\_INFO 023903

DAVID C FREEMAN



Holder Account Number



Your account is uncertified. Taxes will be withheld from sale proceeds and dividend payments.

001C80103\_010\_H\_WMT\_201201\_20120600054455

**Account Summary 01 January 2012 - 29 June 2012 for Account**

	Shares Held	Price Per Share	Market Value
Beginning Balance	6.238	\$59.76	\$372.78
Ending Balance	8.767	\$69.72	\$611.24

When converted to Canadian Dollars your account has a market value of 611.61 (See back of statement currency exchange information)

Purchases and Additions	Number of Transactions	Shares Added	Amount Invested
Payroll Deductions	13	2.110	\$129.28
Voluntary Cash Purchases	—	—	—
Dividend Reinvestments	3	0.101	\$6.30
Plan Transfers and Other Additions	—	—	—
<b>Benefits Provided by Walmart</b>			
Walmart Contributions	13	0.318	\$19.39

Sales and Deductions	Number of Transactions	Shares	Gross Proceeds	Fees and Commissions	Taxes Withheld	Net Proceeds
Share Sales	—	—	—	—	—	—
Plan Transfers	—	—	—	—	—	—

**Comments**

Still getting paper copies of your statements, annual meeting material, and other communications from Computershare? Go to [www.computershare.com/walmart](http://www.computershare.com/walmart) and log in to your account. Click on the "My Details" tab, select "E-Communications" then agree to the Terms & Conditions to enroll in electronic delivery. Help Walmart's sustainability efforts by eliminating one piece of paper at a time.

Please save this statement for tax purposes, or access your account over the web.

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**Optional Cash Purchase** Please detach this portion and mail to the address shown below.

If you wish to make an optional cash purchase at this time, please make your check payable to Computershare. No third party checks will be accepted. Funds must be presented in \$US dollars. Please write your Holder Account Number and the Company Name on your check.

This form should ONLY be used for Wal-Mart Stores, Inc. Associate Stock Purchase Plan. The enclosed contribution will ONLY be applied to the account referenced to the right.

Holder Name: DAVID C FREEMAN

Holder Account Number



IND





**Associate Stock Purchase Plan**  
Transaction Details



Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
Telephone: 800 438 6278  
email: walmart@computershare.com  
www.computershare.com/walmart

DAVID C FREEMAN

Holder Account Number



Your account is uncertified. Taxes will be withheld from sale proceeds and dividend payments.

**Transaction History**

From: 01 Jan 2012

To: 29 Jun 2012

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
01 Jan 2012	Balance Forward							6,238
03 Jan 2012	Dividend Reinvestment	2.21	0.33	Tax	1.88	60.785	0.031	6,269
03 Jan 2012	Payroll Deduction	9.91			9.91	60.813	0.163	6,432
03 Jan 2012	Company Contribution	1.49			1.49	60.813	0.025	6,457
17 Jan 2012	Payroll Deduction	9.85			9.85	59.858	0.165	6,622
17 Jan 2012	Company Contribution	1.48			1.48	59.858	0.025	6,647
31 Jan 2012	Payroll Deduction	9.97			9.97	60.880	0.164	6,811
31 Jan 2012	Company Contribution	1.50			1.50	60.880	0.025	6,836
14 Feb 2012	Payroll Deduction	9.99			9.99	62.024	0.161	6,997
14 Feb 2012	Company Contribution	1.50			1.50	62.024	0.024	7,021
28 Feb 2012	Payroll Deduction	10.03			10.03	58.802	0.171	7,192
28 Feb 2012	Company Contribution	1.50			1.50	58.802	0.026	7,218
13 Mar 2012	Payroll Deduction	10.08			10.08	60.791	0.166	7,384
13 Mar 2012	Company Contribution	1.51			1.51	60.791	0.025	7,409
28 Mar 2012	Payroll Deduction	10.06			10.06	61.199	0.164	7,573
28 Mar 2012	Company Contribution	1.51			1.51	61.199	0.025	7,598
04 Apr 2012	Dividend Reinvestment	2.87	0.80	Tax	2.07	60.253	0.034	7,632
10 Apr 2012	Payroll Deduction	10.01			10.01	60.033	0.167	7,799
10 Apr 2012	Company Contribution	1.50			1.50	60.033	0.025	7,824
24 Apr 2012	Payroll Deduction	10.09			10.09	58.327	0.173	7,997
24 Apr 2012	Company Contribution	1.51			1.51	58.327	0.026	8,023
08 May 2012	Payroll Deduction	10.00			10.00	58.918	0.170	8,193
08 May 2012	Company Contribution	1.50			1.50	58.918	0.025	8,218
22 May 2012	Payroll Deduction	9.82			9.82	63.603	0.154	8,372
22 May 2012	Company Contribution	1.47			1.47	63.603	0.023	8,395
04 Jun 2012	Dividend Reinvestment	3.27	0.92	Tax	2.35	65.765	0.036	8,431
05 Jun 2012	Payroll Deduction	9.64			9.64	65.734	0.147	8,578
05 Jun 2012	Company Contribution	1.45			1.45	65.734	0.022	8,600
19 Jun 2012	Payroll Deduction	9.83			9.83	67.936	0.145	8,745
19 Jun 2012	Company Contribution	1.47			1.47	67.936	0.022	8,767



David Freeman



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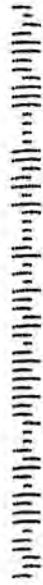
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Claims Administrator  
c/o Gilardi & Co, LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael, CA 94901

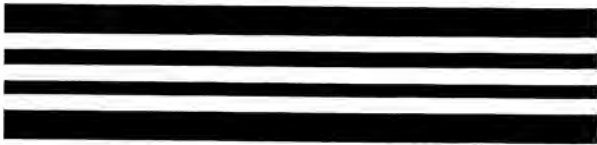
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## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Le Phung

Le Phung



Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael  
CA 94901  
USA

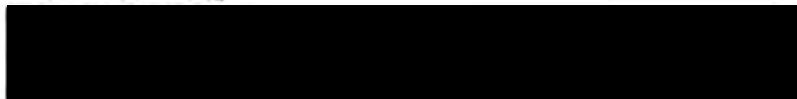
15<sup>th</sup> February 2019

**RE: Class Action 5:12-cv-05162-SOH Walmart Securities Settlement**

Regarding the above action, I writing to inform you that I would like to opt out from the Walmart Securities Settlement. I enclose copies of Computershare statements for my Walmart share holdings covering the period December 2011 to April 2012. I hope this is sufficient information to exclude myself.

To confirm, my full details are below:

Name : Le Phung



Yours Sincerely

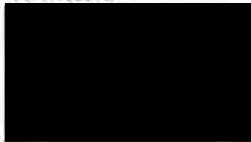
A handwritten signature in black ink, appearing to be 'Le Phung'.

Le Phung



Computershare Trust Company, N.A.  
 PO Box 43080  
 Providence, RI 02940-3080  
 Telephone: 0800 923 1515  
 email: walmart@computershare.com  
 www.computershare.com/walmart

LE PHUNG



051315

99999

Holder Account Number



**Account Summary 01 January 2011 - 30 December 2011 for Account**

	Shares Held	Price Per Share	Market Value
Beginning Balance	9.281	\$53.93	\$500.52
Ending Balance	14.867	\$59.76	\$888.45

When converted to Pounds Sterling your account has a market value of 560.43 (See back of statement currency exchange information)

Purchases and Additions	Number of Transactions	Shares Added	Amount Invested
Dividend Reinvestments	4	0.242	\$12.77
Plan Transfers and Other Additions	—	—	—
<b>Benefits Provided by Walmart</b>			
CSOP Maturity	1	5.344	\$281.20

Sales and Deductions	Number of Transactions	Shares	Gross Proceeds	Fees and Commissions	Taxes Withheld	Net Proceeds
Share Sales	—	—	—	—	—	—
Plan Transfers	—	—	—	—	—	—

**Comments**

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Please save this statement for tax purposes, or access your account over the web.

**Transaction History** From: 01 Jan 2011 To: 30 Dec 2011

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Tot Share He
01 Jan 2011	Balance Forward							9.2
03 Jan 2011	Dividend Reinvestment	2.81	0.42	Tax	2.39	54.609	0.044	9.3
04 Apr 2011	Dividend Reinvestment	3.40	0.51	Tax	2.89	52.564	0.055	9.3
06 Jun 2011	Dividend Reinvestment	3.42	0.51	Tax	2.91	53.944	0.054	9.4
08 Aug 2011	CSOP Maturity	281.22			281.22	52.620	5.344	14.7

Amount Received: 172.75 Currency: GBP Exchange Rate: 1.627875

W M T

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**Selling Shares**

You can sell your shares through Computershare's automated phone system, or by speaking to a Customer Service Representative. You can also sell your shares online at [www.computershare.com/walmart](http://www.computershare.com/walmart).

- To sell your shares over the phone contact Computershare on 0500 8900 11, listen to the message, then dial 800-438-6278 and follow the prompts to sell. Customer Service Representatives are available Monday through Friday, 8:00 am – 6:00 pm CST (2:00 PM – midnight UK time).
- You can also sell online by logging into your account at [www.computershare.com/walmart](http://www.computershare.com/walmart). Click on the "Sell Shares" link to enter your trade.

There are two methods to choose from when selling your shares through Computershare:

**Market Order Trades-** Your stock will be sold as soon as your request can reasonably be processed at the market price in effect at that time. If the market is closed when you enter the request, your sale transaction will be processed at the start of the next day that the stock market is open. There is a \$45 (U.S.) fee for selling, plus five cents per share which will be deducted directly from the sales proceeds. The charge includes a \$15 currency conversion fee to Pound Sterling.

**Batch Trades-** Your stock will not be sold immediately as described above. Generally, if Computershare receives your request to sell shares of stock before 1:00 p.m. Central Time (7 P.M. UK time) or business day, your sale transaction will take place on the same day. If your request is received on or after 1:00 P.M. Central Time (7 P.M. UK time) or if your request is made on a day the stock market is not open, your sale transaction will take place on the next day that the stock market is open. The sale price for a share of stock sold in this manner will be the average price of all shares of stock sold through Computershare for you and all other ASDA Colleagues on the date of your sale transaction. There is a \$35 (U.S.) fee for selling, plus five cents per share which will be deducted directly from the sales proceeds. The charge includes a \$15 currency conversion fee to Pound Sterling.

**Make sure your account is tax certified to avoid withholding from dividends and sale proceeds. See below for information regarding tax certification.**

---

**Tax Certification**

If you are a permanent resident of any country other than the United States, you are required to complete a W8-BEN. The upper right corner of the reverse indicates if your account is certified. If not, Computershare is required by the United States Internal Revenue Service to withhold 28% from dividends and sale proceeds. You can complete an electronic W8-BEN by logging in to your account at [www.computershare.com/walmart](http://www.computershare.com/walmart). You can also contact Computershare or the Share Team at ASDA House to request a paper copy, which will need to be returned to Computershare.

W8-BEN forms expire every three years. Computershare will mail you a new form during the last quarter of the year in which your current form is due to expire. Although you will receive a hard copy in the mail you can also recertify your account online.

---

**Currency Conversion**

Sale proceeds from the ASDA plan are automatically converted to Pounds Sterling unless otherwise requested. The funds are typically converted one business day after the sale. The exchange rates displayed in newspapers, online, and other publications are usually intra-bank rates and are not normally what an individual would receive.

The market value of your account in Pounds Sterling shown on the reverse is based on the exchange rate as of the end of the statement period. The rate used is what an individual would have been eligible to receive based on the value of their account in US Dollars at that time.

---

**Voluntary Investments**

Once you hold shares in the Plan you can make voluntary investments through Computershare. The stock purchased will be held in your Plan account. Your employer will not make matching contributions to these investments. Voluntary investments cannot exceed \$125,000 USD per Plan year. All amounts invested through the Plan will be converted from Pounds Sterling to U.S. dollars prior to the time that shares of stock are purchased. The exchange rate will be set as of the date of the wire when the funds are actually converted. For more information please contact Computershare on free phone number 0800 923 1515. To obtain a voluntary purchase form, log in to your account at [www.computershare.com/walmart](http://www.computershare.com/walmart). Select "Downloadable Forms" from the Company Info tab, then choose "ASDA Voluntary Purchase Form".

---

**Annual Maintenance Fee**

If you no longer work for ASDA or are a spouse of a former Colleague and have a plan account with Computershare, you may maintain your account and purchase shares without paying a brokerage fee. However, you will be charged \$35 once a year as a maintenance fee. The \$35 fee is automatically deducted from your account in the first quarter of each year by selling a portion of stock equal to \$35.

---

**Not Sure What to Do?**

ASDA cannot give advice about buying, selling or holding shares. If you are unsure what to do, you should consult an independent financial advisor.

---

Computershare Trust Company, N.A., as agent, upon written request, will provide the name of the executing broker dealer associated with the transaction(s), and within a reasonable amount of time, disclose the source and amount of compensation received from third parties in connection with the transaction(s), if any.

---

**Privacy Notice**

At Computershare, we take privacy seriously. In the course of providing services to you in connection with employee stock purchase plans, dividend reinvestment plans, direct stock purchase plans and/or direct registration services, we receive nonpublic, personal information about you. We receive this information through transactions we perform for you, from enrollment forms, automatic debit forms, and through other communications with you in writing, electronically, and by telephone. We may also receive information about you by virtue of your transaction with affiliates of Computershare or other parties. This information may include your name, address (residential and mailing), Social Security number, bank account information, stock ownership information and other financial information. With respect both to current and former customers, Computershare does not share nonpublic personal information with any non-affiliated third-party except as necessary to process a transaction, service your account or as required or permitted by law. Our affiliates and outside service providers with whom we share information are legally bound not to disclose the information in any manner, unless required or permitted by law or other governmental process. We strive to restrict access to your personal information to those employees who need to know the information to provide our services to you. Computershare maintains physical, electronic and procedural safeguards to protect your personal information. Computershare realizes that you entrust us with confidential personal and financial information and we take that trust very seriously.

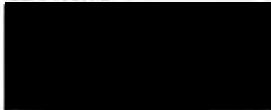
*Note: Assets are not deposits of Computershare and are not insured by the Federal Deposit Insurance Corporation, the Securities Investor Protection Corporation, or any other federal or state agency.*

00YCKA(2)



Computershare Trust Company, N.A.  
 PO Box 43080  
 Providence, RI 02940-3080  
 Telephone: 0800 923 1515  
 email: walmart@computershare.com  
 www.computershare.com/walmart

LE PHUNG



675540

Holder Account Number



99999



**Account Summary 01 January 2012 - 31 December 2012 for Account**

	Shares Held	Price Per Share	Market Value
Beginning Balance	14.867	\$59.76	\$888.45
Ending Balance	15.250	\$68.23	\$1040.51

When converted to Pounds Sterling your account has a market value of 627.43 (See back of statement currency exchange information)

Purchases and Additions	Number of Transactions	Shares Added	Amount Invested
Dividend Reinvestments	5	0.383	\$24.97
Plan Transfers and Other Additions	—	—	—

Sales and Deductions	Number of Transactions	Shares	Gross Proceeds	Fees and Commissions	Taxes Withheld	Net Proceeds
Share Sales	—	—	—	—	—	—
Plan Transfers	—	—	—	—	—	—

**Comments**

Still getting paper copies of your statements, annual meeting material, and other communications from Computershare? Go to [www.computershare.com/walmart](http://www.computershare.com/walmart) and log in to your account. Click on the "My Details" tab, select "E-Communications" then agree to the Terms & Conditions to enroll in electronic delivery. Help Walmart's sustainability efforts by eliminating one piece of paper at a time.

Please save this statement for tax purposes, or access your account over the web.

**Transaction History** From: 01 Jan 2012 To: 31 Dec 2012

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Tot Share Hel
01 Jan 2012	Balance Forward							14.86
03 Jan 2012	Dividend Reinvestment	5.43	0.81	Tax	4.62	60.785	0.076	14.94
04 Apr 2012	Dividend Reinvestment	5.94	0.89	Tax	5.05	60.253	0.084	15.03
04 Jun 2012	Dividend Reinvestment	5.97	0.90	Tax	5.07	65.765	0.077	15.11
04 Sep 2012	Dividend Reinvestment	6.00	0.90	Tax	5.10	73.339	0.070	15.18
27 Dec 2012	Dividend Reinvestment	6.03	0.90	Tax	5.13	67.905	0.076	15.26

W M T

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#### Selling Shares

You can sell your shares through Computershare's automated phone system, or by speaking to a Customer Service Representative. You can also sell your shares online at [www.computershare.com/walmart](http://www.computershare.com/walmart).

- To sell your shares over the phone contact Computershare on 0500 8900 11, listen to the message, then dial 800-438-6278 and follow the prompts to sell. Customer Service Representatives are available Monday through Friday, 8:00 am – 6:00 pm CST (2:00 PM – midnight UK time).
- You can also sell online by logging into your account at [www.computershare.com/walmart](http://www.computershare.com/walmart). Click on the "Sell Shares" link to enter your trade.

There are two methods to choose from when selling your shares through Computershare:

**Market Order Trades-** Your stock will be sold as soon as your request can reasonably be processed at the market price in effect at that time. If the market is closed when you enter the request, your sale transaction will be processed at the start of the next day that the stock market is open. There is a \$45 (U.S.) fee for selling, plus five cents per share which will be deducted directly from the sales proceeds. The charge includes a \$15 currency conversion fee to Pound Sterling.

**Batch Trades-** Your stock will not be sold immediately as described above. Generally, if Computershare receives your request to sell shares of stock before 1:00 p.m. Central Time (7 P.M. UK time) or business day, your sale transaction will take place on the same day. If your request is received on or after 1:00 P.M. Central Time (7 P.M. UK time) or if your request is made on a day the stock market is not open, your sale transaction will take place on the next day that the stock market is open. The sale price for a share of stock sold in this manner will be the average price of all shares of stock sold through Computershare for you and all other ASDA Colleagues on the date of your sale transaction. There is a \$35 (U.S.) fee for selling, plus five cents per share which will be deducted directly from the sales proceeds. The charge includes a \$15 currency conversion fee to Pound Sterling.

**Make sure your account is tax certified to avoid withholding from dividends and sale proceeds. See below for information regarding tax certification.**

---

#### Tax Certification

If you are a permanent resident of any country other than the United States, you are required to complete a W8-BEN. The upper right corner of the reverse indicates if your account is certified. If not, Computershare is required by the United States Internal Revenue Service to withhold 28% from dividends and sale proceeds. You can complete an electronic W8-BEN by logging in to your account at [www.computershare.com/walmart](http://www.computershare.com/walmart). You can also contact Computershare or the Share Team at ASDA House to request a paper copy, which will need to be returned to Computershare.

W8-BEN forms expire every three years. Computershare will mail you a new form during the last quarter of the year in which your current form is due to expire. Although you will receive a hard copy in the mail you can also recertify your account online.

---

#### Currency Conversion

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---

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---

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---

#### Not Sure What to Do?

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---

Computershare Trust Company, N.A., as agent, upon written request, will provide the name of the executing broker dealer associated with the transaction(s), and within a reasonable amount of time will disclose the source and amount of compensation received from third parties in connection with the transaction(s), if any.

---

#### Privacy Notice

At Computershare, we take privacy seriously. In the course of providing services to you in connection with employee stock purchase plans, dividend reinvestment plans, direct stock purchase plans and/or direct registration services, we receive nonpublic, personal information about you. We receive this information through transactions we perform for you, from enrollment forms, automatic debit forms, and through other communications with you in writing, electronically, and by telephone. We may also receive information about you by virtue of your transaction with affiliates of Computershare or other parties. This information may include your name, address (residential and mailing), Social Security number, bank account information, stock ownership information and other financial information. With respect both to current and former customers, Computershare does not share nonpublic personal information with any non-affiliated third-party except as necessary to process a transaction, service your account or as required or permitted by law. Our affiliates and outside service providers with whom we share information are legally bound not to disclose the information in any manner, unless required or permitted by law or other governmental process. We strive to restrict access to your personal information to those employees who need to know the information to provide our services to you. Computershare maintains physical, electronic and procedural safeguards to protect your personal information. Computershare realizes that you entrust us with confidential personal and financial information and we take that trust very seriously.

*Note: Assets are not deposits of Computershare and are not insured by the Federal Deposit Insurance Corporation, the Securities Investor Protection Corporation, or any other federal or state agency.*

00YCKA(2)

Royal Mail  
North & West  
Yorkshire  
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1924312410

To: Walmart Securities Settlement

Claims Administrator  
c/o Gibardi + Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd  
San Rafael  
CA 94901  
U.S.A

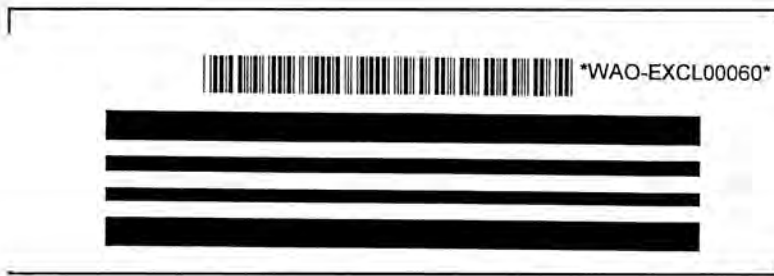
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## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Carol E Williams

From: Carol Elaine Williams

**For the attention of Claims Administrator:**

**Request for Exclusion from the Class in the Walmart Securities Settlement**

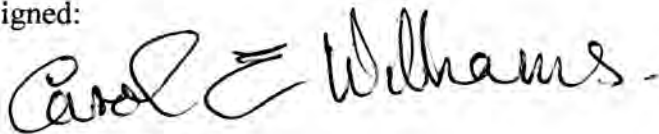
I, Carol Elaine Williams during the Class Period from December 8, 2011, through and including April 20, 2012 possessed 1.062 to 1.073 shares acquired as part of Asda Benefits Colleague Share Ownership Plan (CSOP) which existed at that time and has since ceased. (documents enclosed)

I made no purchases or sales during the Class Period.

I do not want to participate in this settlement. I want to be excluded and therefore have nothing to do with the case. It is my understanding that, I will receive no payment and be charged no fees.

I trust I will receive confirmation that my Request for Exclusion has been actioned.

Signed:



Carol E Williams

Dated: 15 February 2019

Encs: 5 sheets.



**ASDA Plan**  
Summary Statement



Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
Telephone: 0800 923 1515  
email: walmart@computershare.com  
www.computershare.com/walmart

CAROL ELAINE WILLIAMS



037299

3 Prior 2014

392

Holder Account Number



**Account Summary 01 January 2011 - 30 December 2011 for Account**

	Shares Held	Price Per Share	Market Value
Beginning Balance	5.279	\$53.93	\$284.70
Ending Balance	1.062	\$59.76	\$63.47

When converted to Pounds Sterling your account has a market value of 40.04 (See back of statement currency exchange information)

Purchases and Additions	Number of Transactions	Shares Added	Amount Invested
Dividend Reinvestments	4	0.093	\$5.00
Plan Transfers and Other Additions	—	—	—
<b>Benefits Provided by Walmart</b>			
CSOP Maturity	1	1.056	\$55.56

Sales and Deductions	Number of Transactions	Shares	Gross Proceeds	Fees and Commissions	Taxes Withheld	Net Proceeds
Share Sales	1	5.366	\$271.30	\$20.30	—	\$251.00
Plan Transfers	—	—	—	—	—	—

**Comments**

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Please save this statement for tax purposes, or access your account over the web.

**Transaction History** From: 01 Jan 2011 To: 30 Dec 2011

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
01 Jan 2011	Balance Forward							5.279
03 Jan 2011	Dividend Reinvestment	1.60	0.24	Tax	1.36	54.609	0.025	5.304
04 Apr 2011	Dividend Reinvestment	1.94	0.29	Tax	1.65	52.564	0.031	5.335
06 Jun 2011	Dividend Reinvestment	1.95	0.29	Tax	1.66	53.944	0.031	5.366
04 Aug 2011	Sale	271.30	20.30	Transaction Fee	251.00	50.560	-5.366	0.000



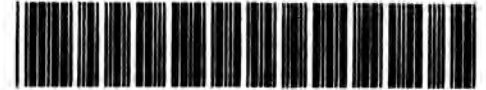
ASDA Plan  
Transaction Details



Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
Telephone: 0800 923 1515  
email: walmart@computershare.com  
www.computershare.com/walmart

CAROL ELAINE WILLIAMS

Holder Account Number



Transaction History (cont.) From: 01 Jan 2011 To: 30 Dec 2011

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
06 Sep 2011	(cont.) Dividend Reinvestment	0.39	0.06	Tax	0.33	51.202	0.006	1.062



**ASDA Plan**  
Summary Statement



Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
Telephone: 0800 923 1515  
email: walmart@computershare.com  
www.computershare.com/walmart

CAROL ELAINE WILLIAMS



03198

less prior  
to 2014

139

Holder Account Number



Your accounts tax certification will expire  
31st December of 2012.

**Account Summary 01 January 2012 - 29 June 2012 for Account**

	Shares Held	Price Per Share	Market Value
Beginning Balance	1.062	\$59.76	\$63.47
Ending Balance	1.079	\$69.72	\$75.23

When converted to Pounds Sterling your account has a market value of 47.27 (See back of statement currency exchange information)

Purchases and Additions	Number of Transactions	Shares Added	Amount Invested
Dividend Reinvestments	3	0.017	\$1.06
Plan Transfers and Other Additions	—	—	—

Sales and Deductions	Number of Transactions	Shares	Gross Proceeds	Fees and Commissions	Taxes Withheld	Net Proceeds
Share Sales	—	—	—	—	—	—
Plan Transfers	—	—	—	—	—	—

**Comments**

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Please save this statement for tax purposes, or access your account over the web.

**Transaction History** From: 01 Jan 2012 To: 29 Jun 2012

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
01 Jan 2012	Balance Forward							1.062
03 Jan 2012	Dividend Reinvestment	0.39	0.06	Tax	0.33	60.785	0.005	1.067
04 Apr 2012	Dividend Reinvestment	0.42	0.06	Tax	0.36	60.253	0.006	1.073
04 Jun 2012	Dividend Reinvestment	0.43	0.06	Tax	0.37	65.765	0.006	1.079



Employee Online

*Carol Williams*

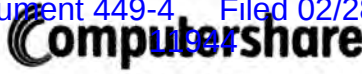
Transaction history

**Dividend History**  
WALMART INC.

Dividend history

Record Date	Currency	Dividend Rate	Record Date Position	Withheld Taxes	Net Dividend Paid
05 Dec 2014	USD	0.480000	1.129000	0.08	0.46
<b>USD</b>		-	-	<b>0.32</b>	<b>1.90</b>
08 Aug 2014	USD	0.480000	1.123000	0.08	0.46
09 May 2014	USD	0.480000	1.117000	0.08	0.46
11 Mar 2014	USD	0.480000	1.111000	0.08	0.45
06 Dec 2013	USD	0.470000	1.105000	0.08	0.44
<b>USD</b>		-	-	<b>0.32</b>	<b>1.81</b>
09 Aug 2013	USD	0.470000	1.099000	0.08	0.44
10 May 2013	USD	0.470000	1.094000	0.14	0.37
12 Mar 2013	USD	0.470000	1.089000	0.14	0.37
<b>USD</b>		-	-	<b>0.36</b>	<b>1.18</b>
07 Dec 2012	USD	0.397500	1.084000	0.06	0.37
10 Aug 2012	USD	0.397500	1.079000	0.06	0.37
11 May 2012	USD	0.397500	1.073000	0.06	0.37
12 Mar 2012	USD	0.397500	1.067000	0.06	0.36
09 Dec 2011	USD	0.365000	1.062000	0.06	0.33
<b>USD</b>		-	-	<b>0.30</b>	<b>1.80</b>
12 Aug 2011	USD	0.365000	1.056000	0.06	0.33
13 May 2011	USD	0.365000	5.335000	0.29	1.66
11 Mar 2011	USD	0.365000	5.304000	0.29	1.65

[Market Data Provider](#)



Tax Forms and Documents

Company Info

Participant Education

Employee Online

Transaction History  
WALMART INC.

Transaction History

Dividend History NATIONAL PROGRAM

Payment History for the Year

All ▼

All ▼

Date Range

From

01 ▼

Dec ▼

2011 ▼

To

23 ▼

Apr ▼

2012 ▼

Search »

For purchases and sales, Transaction Date is the date your trade was executed while the Effective Date is the settlement date. All currency amounts shown represent US DOLLAR.

Historical Transactions

Transaction Date	Effective Date	Description	FMV	Amount	Share Price	Transaction Shares	
04 Apr 2012	10 Apr 2012	DIVIDEND REINVESTMENT	60.2531	0.36	60.2531	0.006	<a href="#">DETAILS</a>
03 Jan 2012	06 Jan 2012	DIVIDEND REINVESTMENT	60.7845	0.33	60.7845	0.005	<a href="#">DETAILS</a>

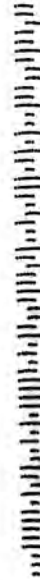
Market Data Provider

FIRST CLASS MAIL

WALMART SECURITIES SETTLEMENT  
CLAIMS ADMINISTRATOR  
C/O GILARDI + Co LLC  
EXCLUSIONS  
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SAN RAFAEL CA 94901

USA

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## Exclusion Cover Page

Case Name: Walmart Securities Settlement

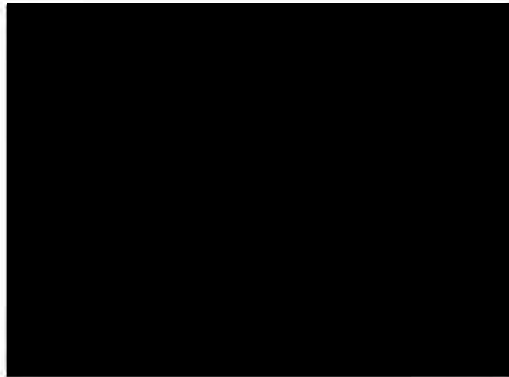
Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: John W Huey  
Patricia Ann Huey

JOHN W. HUEY

ATTORNEY



February 21, 2019

RE: Walmart Securities Settlement

Dear Sir:

I represent both myself and my wife Patricia Ann Huey. We have received the Notice of Proposed Settlement of Class Action with regard to the matter of City of Pontiac General Employees Retirement System vs. Wal-Mart Stores, Inc.

We request exclusion from the class in the Walmart Securities Settlement.



John W. Huey

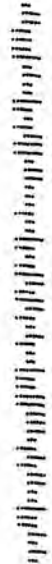


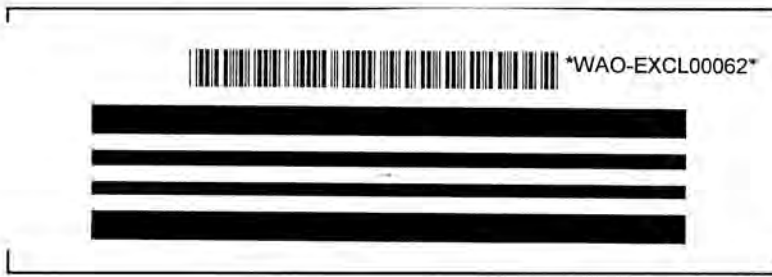
KANSAS CITY  
MO 644  
21 FEB '19  
PM 71



WALMART SECURITIES SETTLEMENT  
CLAIMS ADMINISTRATOR  
C/O GILARDI & CO  
EXCLUSIONS  
3301 KERNER BLVD  
SAN RAFAEL, CA 94901

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## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Avelina B Ocampo  
Francis Ocampo JT TEN

walmart suits

FEBRUARY 19, 2019

Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael, CA 94901

Subject: No. 5:12-cv-05162-SOH  
CLASS ACTION

Gentlemen:

Thank you for your letter regarding the above subject.

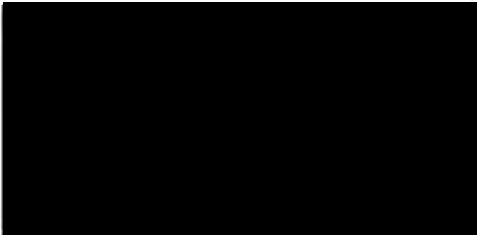
We are not interested in participating, hence, we are excluding ourselves in this legal proceedings.

We this letter, we hope that we have complied with your requirement.

Sincerely yours,

*Avelina B. Ocampo*  
AVELINA B. OCAMPO

  
FRANCIS OCAMPO JT TEN



*P.S. Attached please find photocopy of my  
computer share transaction for the year 2012.  
Thank you.*



**Associate Stock Purchase Plan  
Summary Statement**



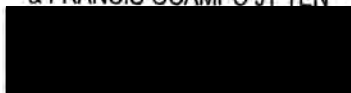
Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
Telephone: 800 438 6278  
email: walmart@computershare.com  
www.computershare.com/walmart



GROUP\_1\_INFO \_\_\_\_\_

026399

AVELINA B OCAMPO  
& FRANCIS OCAMPO JT TEN



Holder Account Number



001CS0103\_rps.H\_WMT:233233\_3990263990059427

**Account Summary 01 January 2012 - 29 June 2012 for Account**

	Shares Held	Price Per Share	Market Value
Beginning Balance	79.163	\$59.76	\$4730.78
Ending Balance	85.300	\$69.72	\$5947.12

When converted to Canadian Dollars your account has a market value of 5950.69 (See back of statement currency exchange information)

Purchases and Additions	Number of Transactions	Shares Added	Amount Invested
Payroll Deductions	13	4.216	\$258.59
Voluntary Cash Purchases	—	—	—
Dividend Reinvestments	3	1.290	\$80.30
Plan Transfers and Other Additions	—	—	—
<b>Benefits Provided by Walmart</b>			
Walmart Contributions	13	0.631	\$38.80

Sales and Deductions	Number of Transactions	Shares	Gross Proceeds	Fees and Commissions	Taxes Withheld	Net Proceeds
Share Sales	—	—	—	—	—	—
Plan Transfers	—	—	—	—	—	—

**Comments**

Still getting paper copies of your statements, annual meeting material, and other communications from Computershare? Go to [www.computershare.com/walmart](http://www.computershare.com/walmart) and log in to your account. Click on the "My Details" tab, select "E-Communications" then agree to the Terms & Conditions to enroll in electronic delivery. Help Walmart's sustainability efforts by eliminating one piece of paper at a time.

Please save this statement for tax purposes, or access your account over the web.

189UDR

WMT

A. Camp



CANADA

3928 T2E

**Air Mail Par avion**  
43-074-038 (02-12)

3928 T2E

Walmart Securities Settlement  
Claims Administration  
Evo Gilardi & Co. LLC  
Exclusions Blvd.  
3301 Kerns  
San Rafael, CA 94901  
9490134856 0055



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## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Carl Lee Schulze  
Mary L Schulze(Deceased)



To: Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC

I would request exclusion from  
the Class in the Walmart Securities  
Settlement.

My Mother Mary L. Schulze was joint  
Tenant with me in Walmart Computershare.  
She passed away 03/01/2007. I sent the  
death certificate along with this letter  
to show proof of her death.

My Holder Account Number at Computer-  
share [REDACTED]

- 20 Dec 2011 Payroll Deduction \$50.00  
Price Per Share \$58.963 Shares 0.848
- 20 Dec 2011 Company Contribution \$7.50  
Price Per Share \$58.963 Shares 0.127
- 03 Jan 2012 Dividend Reinvestment \$71.12  
Price Per Share \$60.785 Shares 1.170
- 03 Jan 2012 Payroll Deduction \$50.00  
Price Per Share \$60.813 Shares 0.822
- 03 Jan 2012 Company Contribution \$7.50 Shares 0.123
- 17 Jan 2012 Payroll Deduction \$50.00  
Price Per Share \$59.858 Shares 0.835
- 17 Jan 2012 Company Contribution \$7.50 \$  
Price Per Share \$59.858 Shares 0.125
- 31 Jan 2012 Payroll Deduction \$50.00  
Price Per Share \$60.880 Shares 0.821
- 31 Jan 2012 Company Contribution \$7.50  
Price Per Share \$60.880 Shares 0.123

14 Feb. 2012 Payroll Deduction \$50.00  
 Price Per Share \$62.024 shares 0.806

14 Feb. 2012 Company Contribution \$7.50  
 Price Per Share \$62.024 shares 0.121

28 Feb. 2012 Payroll Deduction \$50.00  
 Price Per Share \$58.802 shares 0.850

28 Feb. 2012 Company Contribution \$7.50  
 Price Per Share \$58.802 shares 0.128

13 Mar 2012 Payroll Deduction \$50.00  
 Price Per Share \$60.791 shares 0.822

13 Mar 2012 Company Contribution \$7.50  
 Price Per Share \$60.791 shares 0.123

27 Mar 2012 Payroll Deduction \$50.00  
 Price Per Share \$61.209 shares 0.817

27 Mar 2012 Company Contribution \$7.50  
 Price Per Share \$61.209 shares 0.123

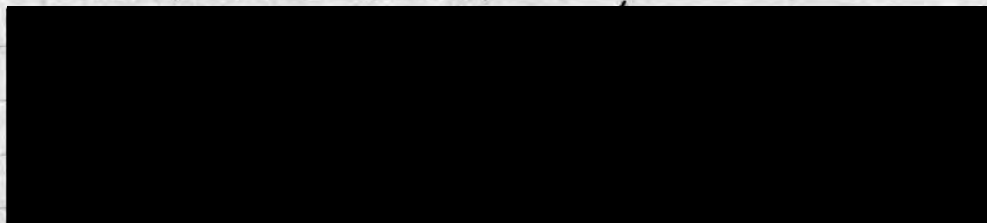
04 Apr 2012 Dividend Reinvestment \$80.19  
 Price Per Share \$60.253 shares 1.331

10 Apr. 2012 Payroll Deduction \$50.00  
 Price Per Share \$60.033 shares 0.833

10 Apr. 2012 pay Company Contribution \$7.50  
 Price Per Share \$60.033 shares 0.125

Total Shares 11.073

Carl Lee Scholze



Carl Lee Scholze



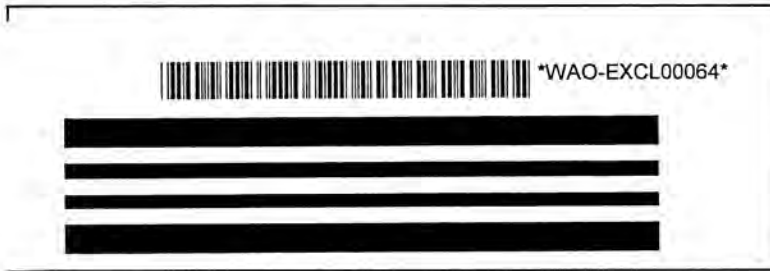
PHOENIX AZ 852  
20 FEB 2019 PMS 1

Carl L. Scholze  
[Redacted]

Walmart Securities Settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael, Ca. 94901

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CLAIMS CENTER

94901-489625



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CLAIMS CENTER

## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Mary Ann Galvin

February 22, 2019

Hold Account Number

[REDACTED]

To Whom it May Concern:

I, Margann Galen request  
exclusion from the Class in the  
Walmart Securities Settlement.  
I did not trade or sell stock.  
I reinvested my dividends.

Margann Galen

[REDACTED]

Thank you

Margann Galen

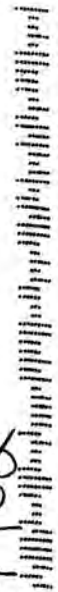
M. A. Galvin

ST PAUL MN 551  
02 FEB 2019 PM 6:1



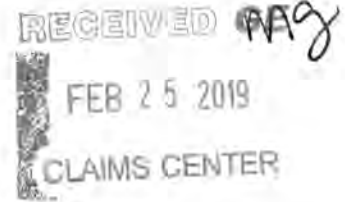
Walnut Securities Settlement  
Claims Administrator  
c/o Silenzi & Co. LLC  
Exclusions  
3301 Kerner Blvd  
San Rafael, Ca 94902  
9490134856 095

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CLAIMS CENTER





\*WAO-EXCL00065



## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Phillip T Kriley

Dear Sirs:

I would like to exclude myself from the Class and the Settlement. I would like to opt. out. All the information that I know is:

The date I sold - 17 Aug. 2018

The price per share \$ 97.97

The shares sold 27.763

My name = Phillip T. Kriley

This is all the information that I have - and my signature is:  
Phillip Kriley



*Phillip Kniley*



OKLAHOMA CITY OK 730

22 FEB 2019 PM 7 L



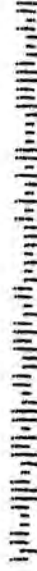
*Walmart Securities Settlement  
Claims Administrator  
40 Gilardi + Co. LLC  
EXCLUSIONS  
3301 Kerner Blvd.  
San Rafael, Ca 94901*

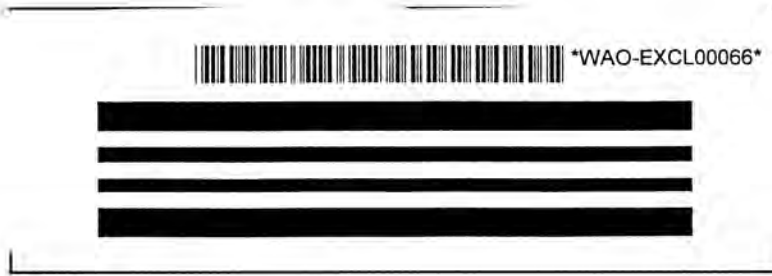
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FEB 25 2019

CLAIMS CENTER

94901-489625





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FEB 25 2019  
CLAIMS CENTER

## Exclusion Cover Page

Case Name: Walmart Securities Settlement

Case Code: WAO

Exclusion Deadline: March 14, 2019 (Postmarked Date)

Name of Person Filing Exclusion: Susan Jackson

Walmart Securities settlement  
Claims Administrator  
c/o Gilardi & Co. LLC  
"Exclusions"  
3301 Kerner Blvd.  
San Rafael, CA  
94901

Tuesday, February 19, 2019

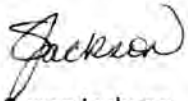
To Whom This May Concern;

This is a request for exclusion from the Class in the "Walmart Securities Settlement."

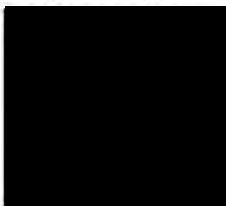
Enclosed you will find my only proof of stock/sale in and around the time of issue available to me at this time.

It is my understanding that I will not receive any payment from the Settlement and cannot object to the Settlement and I will not be legally bound by anything that happens in this lawsuit. I may also be able to sue the Defendant and the other Released Defendant Parties about the Released Claims in the future.\*

Sincerely,

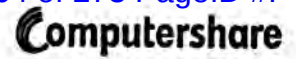


Susan Jackson





**Associate Stock Purchase Plan**  
Transaction Details



Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
Telephone: 800 438 6278  
email: walmart@computershare.com  
www.computershare.com/walmart

SUSAN K JACKSON

Holder Account Number



**Transaction History** From: 01 Jan 2012 To: 31 Dec 2012

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
01 Jan 2012	Balance Forward							3.473
03 Jan 2012	Dividend Reinvestment	1.27	0.36	Tax	0.91	60.785	0.015	3.488
02 Mar 2012	Maintenance Fee	35.00	35.00	Transaction Fee		59.010	-0.593	2.895
21 Mar 2012	Sale	174.97	15.00	Foreign currency	129.82	60.440	-2.895	0.000
			30.15	Transaction Fee				
04 Apr 2012	Dividend Reinvestment	1.15	0.32	Tax	0.83	60.253	0.014	0.014
04 Jun 2012	Dividend Reinvestment	0.01			0.01	65.765	0.000	0.014
04 Sep 2012	Dividend Reinvestment	0.01			0.01	73.339	0.000	0.014
27 Dec 2012	Dividend Reinvestment	0.01			0.01	67.905	0.000	0.014

The Stakeholders Program is open to all associates at Wal-Mart based on eligibility criteria and allows associates to share in the profit of the store each year. It is important that all associates, especially those new to Wal-Mart, understand the program as it is one piece of your total compensation package that can be worth up to \$2,000.

To be eligible to participate in the Program this year you must have been hired before July 31<sup>st</sup>, 2008, be continuously employed by Wal-Mart through January 31, 2009 and have accumulated at least 500 hours of work. Some of you may have transferred stores during the year – that's okay. If you were able to work 500 hours then you will still be eligible for stakeholders in the store you spent the most time. If you are unsure as to where you will receive Stakeholders from, please work with your Personnel Manager.

For those of you that may have been on a leave of absence you may also qualify, however, in most cases your share amount will be reduced by the length of time you were on leave. If you were on leave, see your Personnel Manager. They can help you understand what you would be entitled to.

The type of share you are eligible for depends on your length of service with Wal-Mart, and the average hours worked per week. Below is a table that helps to clarify how you will qualify.

Avg. Hrs	Years of Service				
	Less than 6 months	6 months to 12 months	12 months to 18 months	18 months to 24 months	24 months or more
Avg. of 28 hrs or more	None	Half Share	Full Share	Full Share	Full Share
Avg. less than 28 hrs	None	Quarter Share	Half Share	Three Quarter Share	Full Share

The dollar value of the share you are eligible for depends on the profitability of the store. Each store can earn a pool of money based on store profitability. The pool of money is then distributed among all eligible associates in the store. To determine the dollar value of a full share divide the pool of money by the number of full-time equivalent shares in the store. For example, a store earns a pool of money equal to \$200,000 and has 200 full-time equivalent shares. The full share value would be equal to \$1,000 (\$200,000/200).

In order to determine an individual associate's share, you simply multiply their share type by the full share value. From the above example, an associate who is eligible for a half share would receive \$500 (\$1,000\*0.50) and if they are eligible for the full share they would get \$1,000.

We encourage you to seek additional information from your Personnel Manager, People Manager, Store Manager or SWAS Leader if you are unsure of how the Stakeholders Program works. If you are not sure if you have the required number of hours to qualify, check with your Personnel Manager. And if you are not quite there yet, work with your Store Manager to see if you can pick up some additional hours.

Our success depends on how well we perform to grow profitable sales. Thank you for all you have done this year to contribute to Wal-Mart's success.

**WAL\*MART**

MyBenefits...

MyMoney

THE ASSOCIATE

# STOCK

PURCHASE PLAN

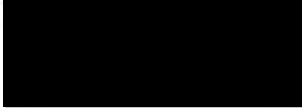


**Walmart**  
Associate Stock Purchase Plan  
Summary Statement



Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
Telephone: 800 438 6278  
email: wal-mart@computershare.com  
www.computershare.com/walmart

SUSAN K JACKSON



Holder Account Number



Your account is uncertified. Taxes will be withheld from sale proceeds and dividend payments.

001CS0103\_RPS.IL.WMT.171254\_371/033058/094574

**Account Summary 01 January 2010 - 31 December 2010 for Account**

	Shares Held	Price Per Share	Market Value
Beginning Balance	4.656	\$53.45	\$248.86
Ending Balance	4.077	\$53.93	\$219.87

When converted to Canadian Dollars your account has a market value of 213.85 (See back of statement currency exchange information)

Purchases and Additions	Number of Transactions	Shares Added	Amount Invested
Payroll Deductions	—	—	—
Voluntary Cash Purchases	—	—	—
Dividend Reinvestments	4	0.067	\$3.56
Plan Transfers and Other Additions	—	—	—

**Benefits Provided by Walmart**

Walmart Contributions	—	—	—
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Sales and Deductions	Number of Transactions	Shares	Gross Proceeds	Fees and Commissions	Taxes Withheld	Net Proceeds
Share Sales	—	—	—	—	—	—
Maintenance Fee	1	0.646	\$35.00	\$35.00	—	—
Plan Transfers	—	—	—	—	—	—

**Comments**

Still getting paper copies of your statements, annual meeting material, and other communications from Computershare? Go to [www.computershare.com/walmart](http://www.computershare.com/walmart) and log in to your account. Click on the "My Details" tab, select "E-Communications" then agree to the Terms & Conditions to enroll in electronic delivery. Help Walmart's sustainability efforts by eliminating one piece of paper at a time.

Please save this statement for tax purposes, or access your account over the web.

00SHQA

**Optional Cash Purchase** Please detach this portion and mail to the address shown below.

Holder Name: SUSAN K JACKSON

Holder Account Number

**COST BASIS INFORMATION for 2010**

Account Name: SUSAN K JACKSON

Holder Account Number: [REDACTED]

This cost basis information is not being reported to the Internal Revenue Service.

Sale Date	Gross Proceeds	From	Shares Purchased	To	Cost Basis
05 Mar 2010	35.00	12 Nov 2008		22 Dec 2008	35.28

**Cost Basis:**

Computershare has identified the investment amount related to your sale(s), based on the first in, first out (FIFO) method. This means the first shares purchased in your account are the first out (sold). Please see below for further details on how we calculate this investment amount and the limitations we may have in providing this information.

**Understanding your cost basis**

This information (please refer to Disclaimer of Liability, below) supplements the gross proceeds information provided to you on your Form 1099-B (see reverse). To determine a cost basis, we need to record the amount originally invested to purchase the shares that were sold. However, there may be times when the investment amount will not be available. For example:

- If you have the message "Insufficient History" under the cost basis column, some or all of the shares sold were purchased or acquired prior to October 1, 2006, when we converted from an old record keeping system to our current system.
- If you have the message "Transaction Details Unavailable" you had at least one transaction occur in your account that may not have had an investment amount associated with it, such as transfers, name changes, and certificate deposits.

**Definition of Terms**

- Cost Basis - The acquisition cost (including reinvested dividends) of the shares you sold.
- Sale Date - The trade date of your sale. This information is reported to you in Box 1a of your 1099-B.
- Gross Proceeds - This represents the gross proceeds as reported to you in Box 2 of your 1099-B.
- Shares Purchased From - This date represents the first trade date of either shares purchased or dividends reinvested using FIFO to create this cost basis.
- Shares Purchased To - This date represents the last trade date of either shares purchased or dividends reinvested using FIFO to create this cost basis.

**DISCLAIMER OF LIABILITY:** This information has been provided by Computershare from information on our records, without warranty, express or implied. Information contained herein is not intended in any way to provide tax, legal or investment advice. You are solely responsible for the accuracy of any cost basis information reported on your tax returns. Computershare strongly recommends that anyone relying on the information contained herein first verify its correctness through their own sources. In addition, we recommend that you consult with your income tax return preparer as to the appropriate use of this information in light of your own specific income tax situation.

See over for Form 1099-B





**Associate Stock Purchase Plan**

Transaction Details



Computershare Trust Company, N.A.

PO Box 43080

Providence, RI 02940-3080

Telephone: 800 438 6278

email: wal-mart@computershare.com

www.computershare.com/walmart

SUSAN K JACKSON



Holder Account Number



Your account is uncertified. Taxes will be withheld from sale proceeds and dividend payments.

**Transaction History** From: 01 Jan 2010 To: 31 Dec 2010

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
01 Jan 2010	Balance Forward							4.656
04 Jan 2010	Dividend Reinvestment	1.27	0.36	Tax	0.91	54.309	0.017	4.673
05 Mar 2010	Maintenance Fee	35.00	35.00	Transaction Fee		54.170	-0.646	4.027
05 Apr 2010	Dividend Reinvestment	1.22	0.34	Tax	0.88	55.594	0.016	4.043
01 Jun 2010	Dividend Reinvestment	1.22	0.34	Tax	0.88	51.231	0.017	4.060
07 Sep 2010	Dividend Reinvestment	1.23	0.34	Tax	0.89	52.171	0.017	4.077



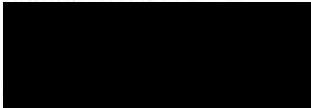
Computershare Trust Company, N.A.  
 PO Box 43080  
 Providence, RI 02940-3080  
 Telephone: 800 438 6278  
 email: wal-mart@computershare.com  
 www.computershare.com/walmart



**IMPORTANT TAX RETURN DOCUMENT ENCLOSED**

033058

Recipient  
 SUSAN K JACKSON



For a change of address please call the above number or visit us at  
 http://www.computershare.com/walmart.

Holder Account Number



Recipient's ID No.

Uncertified accounts are subject to withholding taxes on dividend payments and sales proceeds (if applicable).

001CS0103\_RPS.IL.WMT.171254\_371/033058/094573

**Wal-Mart Stores, Inc. - Combined 1099-DIV and 1099-B Tax Form (Keep for your records)**

**2010**

**Form 1099 - DIV - Dividends and Distributions 2010**

Corrected (if checked)

Payer's Federal ID No. [Redacted]  
 OMB No. [Redacted]

Department of the Treasury - Internal Revenue Service

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Copy B - For Recipient

1a Total Ordinary Dividends (\$)	1b Qualified Dividends (\$)	3 Nondividend Distributions (\$)	4 FEDERAL INCOME TAX WITHHELD (\$)	6 Foreign Tax Paid (\$)	7 Foreign Country or U.S. Possession	8 Cash Liquidation Distri. (\$)	Payer's Details
4.94	4.94	0.00	1.38			0.00	WAL-MART STORES INC C/O COMPUTERSHARE P.O. BOX 43010 PROVIDENCE RI 02940-3010
Detailed breakout of income reported to the IRS (provided for your records)							
Amount Paid By Check/EFT (\$)	Amount Reinvested (\$)	Company Paid Fees (\$)	Company Paid Service Charges (\$)	Discount on Reinvestment			
0.00	4.94	0.00	0.00	0.00			

**Form 1099-B - Proceeds From Broker and Barter Exchange Transactions 2010**

Corrected (if checked)

Payer's Federal ID No. [Redacted]  
 OMB No. [Redacted]

NOTE: The Payer will report the amount in Box 2 to the IRS. The difference between the gross proceeds amount in Box 2 and the net proceeds you received represents any fees, charges, or withholding taxes you may have paid.

Copy B - For Recipient

Security sold: Wal-Mart Stores, Inc. 1b Cusip: 931142103 **All Sales reported to IRS as Gross Proceeds**

1a Date of Sale or Exchange	2 Stocks, Bonds, etc. (\$)	4 FEDERAL INCOME TAX WITHHELD (\$)	7 Description	Payer's Details
05 Mar 2010	35.00	0.00	0.646 shares sold at \$54.170	COMPUTERSHARE P.O. BOX 43010 PROVIDENCE RI 02940-3010

012BWA (Rev. 9/10)

**INSTRUCTIONS: 1099-DIV**

Recipient's Identification Number: For your protection, this form may show only the last four digits of your taxpayer identification number. However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.  
 Account number: May show an account or other unique number the never assigned to distinguish your account





**Associate Stock Purchase Plan**  
Summary Statement



Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
Telephone: 800 438 6278  
email: walmart@computershare.com  
www.computershare.com/walmart

032528

SUSAN K JACKSON



Holder Account Number



001CS0103\_rps.IL.WMT.090341\_443/032528/089954

**Account Summary 01 January 2013 - 07 January 2014 for Account**

	Shares Held	Price Per Share	Market Value
Beginning Balance	0.014	\$68.23	\$0.96
Ending Balance	—	—	—

When converted to Canadian Dollars your account has a market value of 0.00 (See back of statement currency exchange information)

Purchases and Additions	Number of Transactions	Shares Added	Amount Invested
Payroll Deductions	—	—	—
Voluntary Cash Purchases	—	—	—
Dividend Reinvestments	—	—	—
Plan Transfers and Other Additions	—	—	—
<b>Benefits Provided by Walmart</b>			
Walmart Contributions	—	—	—

Sales and Deductions	Number of Transactions	Shares	Gross Proceeds	Fees and Commissions	Taxes Withheld	Net Proceeds
Share Sales	—	—	—	—	—	—
Maintenance Fee	1	0.014	\$1.00	\$1.00	—	—
Plan Transfers	—	—	—	—	—	—

**Comments**

Did you know when you sell shares you can avoid mail delays and having to cash physical checks by getting your sale proceeds sent directly to your bank account (ACH) for a fee of \$2? Just like Fed Ex delivery, you'll receive your funds in three business days. By choosing ACH you will save \$18 compared to the courier fee. First, add/update your bank instructions by logging in to your account at [www.computershare.com/walmart](http://www.computershare.com/walmart), and select "Banking Details" from the "My Details" tab. Then, when you enter a sale online or over the automated phone system, select "Direct Deposit" as your delivery method.

Please save this statement for tax purposes, or access your account over the web.

00SHQA

**Optional Cash Purchase** Please detach this portion and mail to the address shown below.

If you wish to make an optional cash purchase at this time, please make your check payable to

Holder Name: SUSAN K JACKSON

Holder Account Number



**Associate Stock Purchase Plan**  
Transaction Details



Computershare Trust Company, N.A.  
PO Box 43080  
Providence, RI 02940-3080  
Telephone: 800 438 6278  
email: walmart@computershare.com  
www.computershare.com/walmart

SUSAN K JACKSON



Holder Account Number



**Transaction History** From: 01 Jan 2013 To: 07 Jan 2014

Date	Transaction Description	Transaction Amount (\$)	Deduction Amount (\$)	Deduction Type	Net Amount (\$)	Price Per Share (\$)	Total Transaction Shares	Total Shares Held
01 Jan 2013	Balance Forward							0.014
01 Mar 2013	Maintenance Fee	1.00	1.00	Transaction Fee		71.740	-0.014	0.000



CANADA POSTES	
POST CANADA	
Date 2019 02 19	Postage - Port 3.12
EDMONTON AB T6C2T7 0.067 kg	
CANBOR	
1.00	
6012327	1726294 0101555

R:1726294.101555  
Destination: United States

Ltr OIS US  
Let/Crt. pst.surd.E-U

Do not cover chevron  
Ne couvrez pas le chevron

Walmart Securities Settlement  
 Claims Administrator  
 % Gilardi + Co. LLC  
 "Exclusions"  
 3301 Kerner Blvd.  
 San Rafael, CA.  
 94901

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 FEB 25 2019  
 CLAIMS CENTER

