



Datenstruktur Priority Queue

Abstrakter Datentyp mit den Operationen

- Insert,
- DeleteMin, and
- DecreaseKey.

Wir unterscheiden Ganzzahl und allgemeine Gewichte

Für Ganzzahlen nehmen wir an dass der Unterschied zwischen dem größten und kleinstem Schlüssel kleiner-gleich C ist

Für Dijkstra entspricht das w(e) = $\{1,...,C\}$



Anwendungen "Vorrangwarteschlange"

- Sortieren (wie in Heapsort)
- Kürzeste Wege Suche (Single Source Shortest Path) mit Dijkstra's Algorithmus oder A*
 - DeleteMin entnimmt zu expandierenden Knoten
 - DecreaseKey aktualisiert gemäß Relaxierungsoperation
 - Insert fügt ein, falls Knoten neu
- Minimaler Spannbaum via Kruskal's Algorithmus. (Algorithmus von Prim nutzt Union/Find Struktur)



Übersicht

- 1-Level Buckets
- 2-Level Buckets
- Radix Heaps
- Ende-Boas
- Balancierte Suchbäume (z.B. AVL)
- Heaps & Weak-Heaps
- Binomial Queues & Fibonacci-Heaps
- Run-Relaxed Weak-Queues

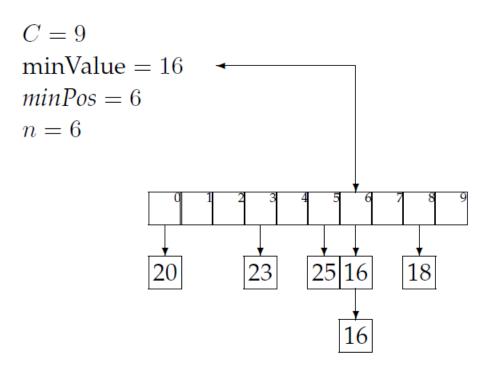


1-Level Buckets

- The i-th bucket contains all elements with a f-value equal to i.
- With the array we now associate three numbers *minVal*, *minPos and n*:
- minVal denotes the smallest f value in the queue,
- n the number of elements and
- minPos fixes the index of the bucket with the smallest key.
- The i-th bucket b[i] contains all elements v with
- $f(v) = minVal+(i minPos) \mod C$.



Beispiel



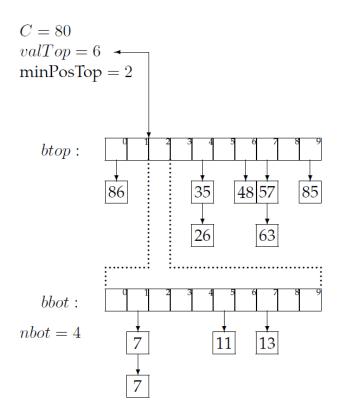


2-Level Buckets

- Goal: Reduce worst case complexity O(C) for DeleteMin to O(sqrt(C))
- Top level and bottom level both of length ceil(sqrt(C +1)+1).
- The bottom level refines the smallest bucket of the minPosTop in the top level.
- Lower level buckets created only when the current bucket at MinPosTop becomes empty
- Refinements include an involved k-level bucket architecture.



Beispiel





Pseudo Code

Procedure Initialize Input: 1-LEVEL BUCKET array b[0..C] (implicit constant C) Side Effect: Updated 1-LEVEL BUCKET b[0..C]

 $\begin{array}{l} n \leftarrow 0 \\ \textit{minValue} \leftarrow \infty \end{array}$

;; No element in so far ;; Default value for current minimum

Algorithm 4.1: Initializing an 1-LEVEL BUCKET.

Procedure Insert Input: 1-LEVEL BUCKET b[0..C], element x with key k**Side Effect:** Updated 1-LEVEL BUCKET b[0..C]

 $\begin{array}{l} n \leftarrow n+1 \\ \textbf{if } (k < \textit{minValue}) \\ \textit{minPos} \leftarrow k \textit{ mod } (C+1) \\ \textit{minValue} \leftarrow k \\ \textbf{Insert } x \textit{ in } b[k \textit{ mod } (C+1)] \end{array}$

;; Increase number of elements ;; Element with smallest key ;; Update location of minimum ;; Update current minimum ;; Insert into list

Algorithm 4.2: Inserting an element into an 1-LEVEL BUCKET.





Pseudo Code

```
Procedure DeleteMin

Input: 1-LEVEL BUCKET b[0..C]

Output: Element x with key minPos

Side Effect: Updated 1-LEVEL BUCKET b[0..C]

Remove x in b[minPos] from doubly-ended list

n \leftarrow n - 1

if (n > 0)

while (b[minPos] = \emptyset)

minPos \leftarrow (minPos + 1) \mod (C + 1)

minValue \leftarrow Key(x), x \in b[minPos]

else minValue \leftarrow \infty

return x
```

;; Eliminate element ;; Decrease number of elements ;; Structure non-empty ;; Bridge possible gaps ;; Update location of pointer ;; Update current minimum ;; Structure empty ;; Feedback result

Algorithm 4.3: Deleting the minimum element in an 1-LEVEL BUCKET.

```
Procedure DecreaseKey<br/>Input: 1-LEVEL BUCKET b[0..C], element x, key k<br/>Side Effect: Updated 1-LEVEL BUCKET b[0..C] with x movedRemove x from doubly-ended list<br/>n \leftarrow n-1<br/>Insert x with key k in b;; Eliminate element<br/>;; Decrease<br/>;; Re-insert element<br/>;; Re-insert element
```

Algorithm 4.4: Updating the key in an 1-LEVEL BUCKET.



Amortisierte Analyse

Amortized complexity analysis disinguishes between:

- t_l , the real cost for operation l,
- Φ_l , the potential after execution operation l, and
- a_l , the amortized costs for operation l

We have $a_l = t_l + \Phi_l - \Phi_{l-1}$, so that

$$\sum_{l=1}^{m} a_l = \sum_{l=1}^{m} t_l + \Phi_l - \Phi_{l-1} = \sum_{l=1}^{m} t_l - \Phi_0 + \Phi_m$$

and

$$\sum_{l=1}^{m} t_l = \sum_{l=1}^{m} a_l + \Phi_0 - \Phi_m \le \sum_{l=1}^{m} a_l$$



Hier

Let Φ_l be the number of elements in the top level bucket for the *l*-th operation, then

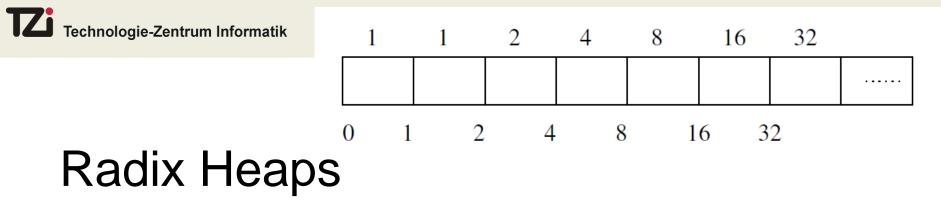
- *DeleteMin* uses $O(\sqrt{C} + m_l)$ time in the worst-case, where m_l is the number of elements that move from top to bottom

By amortization we have $O(\sqrt{C} + m_l + (\Phi_l - \Phi_{l-1})) = O(\sqrt{C})$ operations.

- Both operations *Insert* and *DecreaseKey* run in O(1).

 \Rightarrow Dijkstra/A* results in $O(e + n\sqrt{C})$ worst-case run time





Radix-heaps maintain a list of $\lceil \log(C+1) \rceil + 1$ buckets of sizes 1, 1, 2, 4, 8, 16, etc.

We maintain buckets b[0..B] and bounds u[0..B+1] with $B = \lceil \log(C+1) \rceil + 1$ and $u[B+1] = \infty$

Bucket number $\phi(x)$ denotes the index of the actual bucket for x.

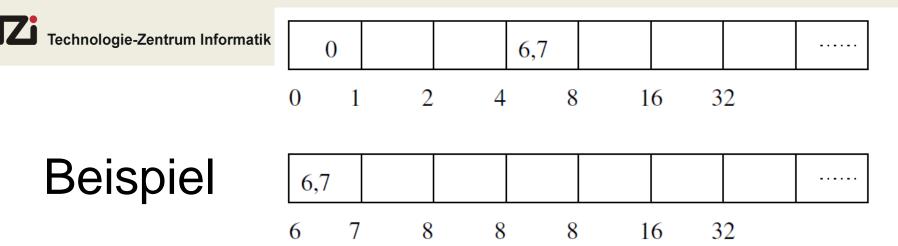
Invariants:

i) all keys in b[i] are in [u[i], u[i+1]],

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ii) u[1] = u[0] + 1, and
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iii) for all $i \in \{1, \ldots, B-1\}$ we have $0 \le u[i+1] - u[i] \le 2^{i-1}$.

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- Given radix heap (written as [u[i]] : b[i]):
- [0]: {0}, [1]: {} [2]: {} [4]: {6, 7}, [8]: {}, [16]: {}.
- Extracting key 0 from bucket 1 yields [6]: {6, 7}, [7]: {}, [8]: {}, [8]: {}, [8]: {}, [8]: {}, [16]: {}.
- Now, key 6 and 7 are distributed.
- if b[i] <> {} then the interval size is at most 2^{i-1}.
- for b[i] we have i 1 buckets available.
- Since all keys in b[i] are in [k, min{k +2^{i-1} 1, u[i+1] 1}] all elements fit into b[0], . . . , b[i - 1].

Operationen

- Initialize generates empty buckets and bounds: for i in {2, . . . ,B} set u[i] to u[i - 1]+2^{i-2}.
- Insert(x) performs linear scan for bucket i, starting from i = B. Then the new element x with key k is inserted into b[i], with i = max{j | k <= u[j]}
- For DecreaseKey, bucket i for element x is searched linearly from the actual bucket i for x.
- For DeleteMin we first search for the first non-empty bucket i = min{j | b[j] <> {} and identify the element with minimum key k therein.

DeleteMin (cont.)

- If the smallest bucket contains more than an element, it is returned
- If the smallest bucket contains no element
- u[0] is set to k, u[1] is set to k +1 and for j > 2 bound u[j] is set to min{u[j 2]+2^{j-2}, u[i+1]}.
- The elements of b[i] are distributed to buckets b[0], b[1], ...
 ., b[i 1] and the minimum element is extracted from the non-empty smallest bucket.



Pseudo Code

Procedure Initialize Input: Array b[0..B] of lists and array u[0..B] of bounds Side Efect: Initialized RADIX HEAP with arrays b and u

for each i in $\{0, \ldots, B\}$ $b[i] \leftarrow \emptyset$
$u[0] \leftarrow 0; u[1] \leftarrow 1$
for each <i>i</i> in $\{2,, B\}$ $u[i] \leftarrow u[i-1] + 2^{i-2}$

;; Initialize buckets ;; Initialize bounds ;; Initialize bounds

Algorithm 4.5: Creating a RADIX HEAP.

Procedure Insert Input: RADIX HEAP with array b[0..B + 1] of lists and array u[0..B + 1], key k**Side Effect:** Updated RADIX HEAP

 $i \leftarrow B$ while $(u[i] > k) \ i \leftarrow i - 1$ Insert k in b[i] ;; Initialize index ;; Decrease index

;; Insert element in list

Algorithm 4.6: Inserting an element into a RADIX HEAP.



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Code

Procedure DecreaseKey

Input: RADIX HEAP with array b[0..B+1] of lists and array u[0..B+1]Index *i* in which old key *k* is stored, new key *k'* **Side Effect:** Updated RADIX HEAP

Pseudo while $(u[i] > k') i \leftarrow i - 1$ Insert k' in b[i]

;; Decrease index ;; Insert element in list

Procedure DecreaseMin Input: RADIX HEAP with array b[0..B + 1] of lists and array u[0..B + 1] **Output:** Minimum element **Side Effect:** Updated RADIX HEAP

$$\begin{split} i &\leftarrow 0 \\ r \leftarrow \textit{Select}(b[i]) \\ b[i] \leftarrow b[i] \setminus \{r\} \\ \textbf{while} \ (b[i] = \emptyset) \ i \leftarrow i + 1 \\ \textbf{if} \ (i > 0) \\ k \leftarrow \min b[i] \\ u[0] \leftarrow k, \ u[1] \leftarrow k + 1 \\ \textbf{for each } j \ \textbf{in} \ \{2, \dots, i\} \\ u[j] \leftarrow \min\{u[j-1] + 2^{j-2}, u[i+1]\} \\ j \leftarrow 0 \\ \textbf{for each } k \ \textbf{in} \ b[i] \\ \textbf{while} \ (k > u[j+1]) \ j \leftarrow j + 1 \\ b[j] \leftarrow b[j] \cup \{k\} \\ \textbf{return } r \end{split}$$

;; Start with first bucket ;; Select (any) minimum key ;; Eliminate minimum key ;; Search for first non-empty bucked ;; First bucket empty ;; Select miniumum key ;; Update bounds ;; Loop on array indices ;; Update bounds ;; Initialize index ;; Keys to distribute ;; Increase index ;; Output minimum element

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Algorithm 4.8: Delete the minimum from a RADIX HEAP.

Amortisierte Analyse

Potential $\Phi_l = \sum_{x \in Radix-Heap} \phi_l(x)$ for operation *l*.

- *Initialize* and *Insert* run in O(B).

- *DecreaseKey* has an amortized time complexity in $O(\phi_l(x) - \phi_{l-1}(x)) + 1 + (\Phi_l - \Phi_{l-1}) =$ $O((\phi_l(x) - \phi_{l-1}(x)) - (\phi_l(x) - \phi_{l-1}(x)) + 1) = O(1)$, and

- *DeleteMin* runs in time $O(B + (\sum_{x \in b[i]} \phi_l(x) - \sum_{x \in b[i]} \phi_{l-1}(x)) + (\Phi_l + \Phi_{l-1})) = O(1) \text{ amortized.}$

 $\Rightarrow O(m \log C + l)$ for m Insert and l DecreaseKey and ExtractMin operations.

 \Rightarrow Dijkstra/A* runs in time $O(e + n \log C)$.

Van-Emde-Boas

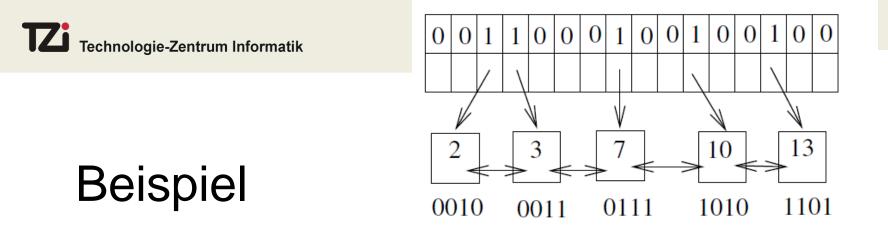
- Assumes a universe $U = \{0, \ldots, N 1\}$ of keys for S
- All priority queue operations reduce to the successor calculation which runs in O(log log N) time.
- The space requirements are O(N log log N).



k-Struktur T besteht aus

- 1. a number m = |S|,
- 2. a doubly-connected list, which contains all elements of S in increasing order,
- 3. a bit vector $b[0..2^k 1]$, with b[i] = true if and only if i in S,
- 4. a pointer array p, with p[i] pointing to key i in the linked list if b[i] = true,
- 5. a k' = ceil(k/2)-structure top and a field bottom[0..2^k'-1].
- ▶ If m = 1, then *top and bottom are not needed;*
- for m > 1 top is a k'-structure with the prefix bit elements ceil(x/2^k") for x in S and k" = ceil(k/2), and each bottom[x], is a k"-structure containing the matching suffix bit elements x mod 2^k" for x in S.





- ▶ For the example k = 4, S = {2, 3, 7, 10, 13} and m = 5
 - top is a 2-structure on {0, 1, 2, 3} and
- bottom is a vector of 2-structures with
- bottom[0] = {2, 3}, bottom[1] = {3},
- bottom[2] = {2}, and bottom[3] = {1},
- since 2 = 00|10, 3 = 00|11, 7 = 01|11, 10 = 10|10, and 13 = 11|01.



Operation Succ

- succ(x) finds min{y in S | y > x} in the k-structure T.
- If the top-bit at position x' = ceil(x/2^k'') is set
- $\bullet \rightarrow \text{return } (x' \cdot 2^k'') + bottom[x].$
- Otherwise let z' = succ(x', top)
- $\bullet \rightarrow \text{return } z' \cdot 2^{k''} + \min\{bottom[z']\}.$
- By the recursion we have T(k) <= c+T(ceil(k/2)) = O(log k), so that we can determine the sucessor in O(log log N) time.



Operationen Insert und Delete

- Insertion for x in T determines the successor succ(x) of x, computes x' = ceil(x/2^k'') and x'' = mod 2^k''
- It divides into the calls insert(x', top) and insert(x",bottom[x"]).
- Integration the computation in a recursive scheme leads a running time of O(log log N).
- Deletion used the doubly-linked structure and the successor relation and also runs in O(log logN) time.



Platzbedarf einer k-Struktur

For s(k) we have s(1) = c, and $s(k) \le c2^k + s(k/2) + 2^{k/2}s(k/2)$.

We inductively assume $s(k) \le c' 2^k \log k$. For k = 1 there is nothing to show.

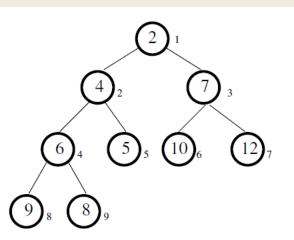
$$\begin{split} s(k) &\leq c2^{k} + c'2^{k/2}(\log k - 1) + 2^{k/2}c'2^{k/2}(\log k - 1)) \\ &= c2^{k} + c'2^{k/2}(1 + 2^{k/2})(\log k - 1) \\ &= c2^{k} + c'2^{k/2}(2^{k/2}\log k - 2^{k/2} + \log k - 1) \\ &\leq c2^{k} + c'2^{k/2}(2^{k/2}\log k - 2^{k/2} + \log k) \\ &\leq c'2^{k}\log k. \end{split}$$

if $c2^k + c'2^{k/2}(2^{k/2}\log k - 2^{k/2}) + \log k) \le c'2^k \log k$. This is equivalent with $c'2^{k/2}\log k \le (c'-c)2^k$ and $(c'-c)/c \ge \log k/2^k$, which is true for large c'.





Bitvektor und Heap



- Dijkstra's original implementation: reduces to a bitvector indicating if elements are currently open or not.
- The minimum is found by a complete scan yielding O(n^2) time.
- Heap implementation with in array implementation with A[i] > A[i/2] for all i > 1 leads to an O((e+n) log n) shortest path algorithm
- DeleteMin implemented as in Heapsort,
- Insert at the end of the array, followed by a sift-up
- Dynamics: growing and shrinking heaps base on dynamic tables/arrays.



Pairing Heaps

- A pairing heap is a heap-ordered (not necessarily binary) self-adjusting tree.
- The basic operation on a pairing heap is pairing, which combines two pairing heaps by attaching the root with the larger key to the other root as its leftmost child.
- More precisely, for two pairing heaps with respective root values k1 and k2, pairing inserts the first as the leftmost subtree of second if k1 > k2, and otherwise inserts the second into the first as its leftmost subtree. Pairing takes constant time and the minimum is found at the root.

"Multiple-Child" Implementierung

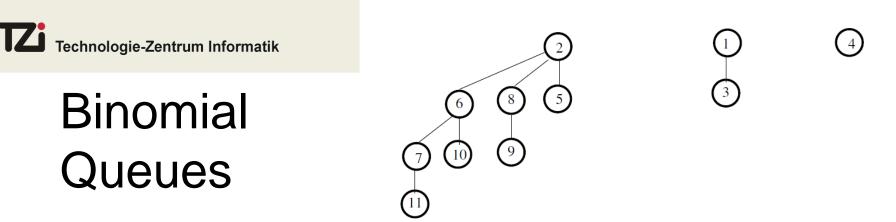
- In a heap-ordered multi-way tree representation realizing the priority queue operations is simple.
- Insertion pairs the new node with the root of heap.
- DecreaseKey splits the node and its subtree from the heap (if the node is not the root), decreases the key, and then pairs it with the root of the heap.
- Delete splits the node to be deleted and its subtree, performs a DeleteMin on the subtree, and pairs the resulting tree with the root of the heap.
- DeleteMin removes and returns the root, and then, in pairs, pairs the remaining trees. Then, the remaining trees from right to left are incrementally paired.

"Child-Sibling" Implementierung

- Since the multiple child representation is difficult to maintain, the child-sibling binary tree representation for pairing heaps is often used, in which siblings are connected as follows.
- The left link of a node accesses its first child, and the right link of a node accesses its next sibling, so that the value of a node is less than or equal to all the values of nodes in its left subtree.
- It has been shown that in this representation insert takes O(1) and delete-min takes O(log n) amortized, while decrease-key takes at least Omega(log log n) steps.

Fibonacci Heaps

- Fibonacci-heaps are lazy-meld versions on of binomial queues that base on binomial trees.
- A binomial tree Bn is a tree of height n with 2ⁿ nodes in total and (n choose i) nodes in depth i.
- The structure of Bn is given by unifying two structure Bn-1, where one is added as an additional successor to
- In Fibonacci-Heaps
- DecreaseKey runs in O(1) amortized
- DeleteMin runs in O(log n) amortized



- Binomial-queues are a union of heap-ordered binomial trees.
- Tree Bi is represented in queue Q if the ith bit in the binary representation of n is set.
- The partition of structure Q into trees Bi is unique.
- Min takes O(log n) time, since the minimum is always located at the root of one Bi,
- Binomial queues Q1 and Q2 of sizes n1 and n2 are meld by simulating binary addition of n1 and n2 in their dual representation.
- This corresponds to a parallel scan of the root lists of Q1 and Q2. If n ~ n1 +n2 then the meld can be performed in time O(log n) time.

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Andere Operationen

- Operations Insert and DeleteMin both use procedure meld as a subroutine.
- The former creates a tree B_0 with one element, while the latter extracts tree B_i containing the minimal element and splits it into its subtrees B_0, ..., B_{i-1}.
- In both cases the resulting trees are merged with the remaining queue to perform the update.
- DecreseKey for element v updates the heap-ordered tree Bi in which v is located by sifting the element.
- All operations run in O(log n) time.

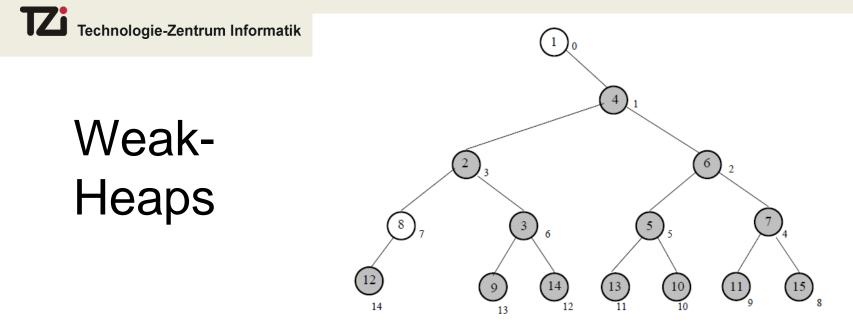
Fibonacci-Heaps

- Collection of heap-ordered binomial trees, maintained in form a circular doubly-connected unordered list of root nodes.
- In difference to binomial queues, more than one binomial tree of rang i may be represented.
- However, after performing a consolidate operation that traverses the linear list and merges trees of the same rang, each rang will become unique.
- For this purpose an additional array of size at most 2 log n is devised that supports finding the trees of same rang in the root list.



Operationen

- Min is accessible in O(1) time through a pointer in the root list.
- Insert performs a meld operation with a singleton tree.
- DeleteMin extracts the minimum and includes all subtrees into the root list. In this case, consolidation is mandatory.
- DecreaseKey performs the update on the element in the heap-ordered tree. It removes the updated node from the child list of its parent and inserts it into the root list, while updating the minimum.
- To assert amortized constant run time, selected nodes are marked to perform cascading cuts, where a cascading cut is a cut operation propagated to the parent node.



- DeleteMin: Similar to Weak-Heapsort
- Insert: Climb up the grandparents until the definition is fulfilled.
- On the average the path length of grandparents from a leaf node to a root is approximately half the depth of the tree.
- DecreaseKey: start at the node x that has changed its value.



Pseudo Code

Procedure DeleteMin Input: WEAK HEAP of size nOutput: Minimum element Side Effect: Updated WEAK HEAP of size n - 1

Swap(A[0], A[n-1])Merge-Forest(0) $n \leftarrow n-1$ return A[n] ;; Swap last element to root position ;; Restore WEAK HEAP property ;; Decrease size ;; Return minimum element

Algorithm 4.18: Extracting the minimum element from a WEAK HEAP.



Z	Technologie-Zentrum Info	Procedure Insert				
	_	Input: Key k , WEAK HEAP of size n				

Pseudo Code

Algorithm 4.19: Inserting an element into a WEAK HEAP.

Procedure DecreaseKey Input: WEAK HEAP, index x of element that has improved to kSide Effect: Updated WEAK HEAP

```
A[x] \leftarrow k

while (x \neq 0) and (A[Grandparent(x)] > A[x])

Swap(Grandparent(x), x)

Reverse[x] \leftarrow \neg Reverse[x]

x \leftarrow Grandparent(x)
```

;; Update key value ;; Unless finished or root node found ;; Exchange keys ;; Rotate subtree rooted at *x* ;; Climb up structure

Algorithm 4.20: Decreasing the key of an element in a WEAK HEAP.

Side Effect: Updated WEAK HEAP of size n + 1



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Run-Relaxed Weak Queues

➔ Originalfolien von Elmasry et al. (2008) Procedure λ -Reduce Side Effect: RELAXED WEAK QUEUE structure modified

if $(chairmen \neq \emptyset)$;; Fellow pair on some level
first ← chairmen.first; firstparent ← parent(first)	;; 1st item and its parent
if (firstparent.left = first and marked(firstparent.right) or	;; Two children
firstparent.left \ne first and marked(firstparent.left)	;; marked already
siblingtrans(firstparent); return	;; Case c) suffices
second — chairmen.second; secondparent — parent(secon	d) ;; 2nd item and its parent
if (secondparent.left = second and marked(secondparent.r	<i>ight</i>) or ;; Two children
$secondparent.left \neq second and marked(secondparent.le)$	ft) ;; marked already
siblingtrans(secondparent); return	;; Case c) suffices
if (firstparent.left = first) cleaningtrans(firstparent)	;; Toggle children marking
if (secondparent.left = second) cleaningtrans(secondparent	
if (marked(firstparent) or root(firstparent))	;; Parent also marked
parenttrans(firstparent);	;; Case b) applies
if (marked(secondparent) or root(secondparent))	;; Parent also marked
parenttrans(secondparent);	;; Case b) applies
pairtrans(firstparent, secondparent)	;; Case d) applies
	Leader exists on some level
leader ← leaders.first ; leaderparent ← parent(leader)	;; Select leader and parent
if (leader = leaderparent.right)	;; Leader is right child
parenttrans(leaderparent)	;; Transform into left child
$\mathbf{if} (\neg \textit{marked}(\textit{leaderparent}) \land \textit{marked}(\textit{leader}))$;; Parent also marked
if (marked(leaderparent.left) siblingtrans(leaderparent)	
parenttrans(leaderparent) ;;	;; Case b) applies first time
	Case b) applies second time
else	;; Leader is left child
sibling ← leaderparent.right	;; Temporary variable
if (marked(sibling)) siblingtrans(leaderparent); return	;; Case c) suffices
	marking of leader's children
	;; Case c) suffices
	marking of sibling's children
parenttrans(sibling)	;; Case b) applies
if (<i>marked</i> (<i>leaderparent.left</i>)) <i>siblingtrans</i> (<i>leaderparent</i>)	;; Case c) suffices

Algorithm 4.22: Reducing number of marked nodes in a RELAXED WEAK QUEUE.



Engineering

	n = 25'000'000			n = 50'000'000		
	Insert	Dec.Key	Del.Min	Insert	Dec.Key	Del.Min
RELAXED WEAK QUEUES	0.048	0.223	4.38	0.049	0.223	5.09
WEAK HEAPS	0.047	0.047	1.30	0.047	0.047	1.85
PAIRING HEAPS	0.010	0.020	6.71	0.009	0.020	8.01
Fibonacci Heaps	0.062	0.116	6.98	-	-	-
Heaps	0.090	0.064	5.22	0.082	0.065	6.37

Table 4.1: Performance of priority queue data structures on *n* integers.

	n = 5'000'000			n = 20'000'000		
	Insert	Dec.Key	Del.Min	Insert	Dec.Key	Del.Min
RELAXED WEAK QUEUES	0.334	1.910	7.50	0.390	1.986	9.92
WEAK HEAPS	0.692	1.288	6.70	0.779	1.372	8.49
PAIRING HEAP	0.262	1.002	8.99	0.302	1.043	12.51
FIBONACCI HEAP	0.388	1.042	12.12	0.439	1.097	16.24
Heaps	0.698	1.388	10.81	0.809	1.435	14.21

Table 4.2: Performance of priority queue data structures on *n* strings.

