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**NERON–SEVERI GROUP  
FOR NONALGEBRAIC ELLIPTIC SURFACES III**

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ABSTRACT

We give an Appell–Humbert type theorem for the Neron–Severi group  $NS(X)/\text{Tor } NS(X)$  in the case of an elliptic bundle surface  $X \rightarrow B$ , extending a result from [4] in the case of primary Kodaira surfaces.

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## 1. INTRODUCTION

This paper is a natural continuation of our previous papers [2], [3]. Let  $X \rightarrow B$  be a non-kählerian elliptic surface with the general fibre an elliptic curve  $E$ . It is known that every non-kählerian elliptic surface is a quasi-bundle (see, for example [3]). In this paper, by using the results from [4], [6], we precise the torsion of the Neron-Severi group of  $X$ ,  $Tors NS(X)$ , and the description of the group  $NS(X)/Tors NS(X)$  given in [3]. By using similar arguments as in [4] we give an Appell-Humbert theorem for the group  $NS(X)/Tors NS(X)$  in the case of an elliptic bundle surface  $X \rightarrow B$ , extending a result from [4] in the case of primary Kodaira surfaces.

## 2. NERON-SEVERI GROUP FOR NON-KÄHLERIAN SURFACES

All varieties will be defined over the field  $\mathbb{C}$  of complex numbers. An *elliptic surface*  $\varphi : X \rightarrow B$  is a proper, connected, holomorphic map from a (compact, connected, smooth) surface  $X$  to a (compact, connected, smooth) curve  $B$ , such that the general fibre  $X_b$  ( $b \in B$ ) is a non-singular elliptic curve (the holomorphic structure may depend on  $b$ ). We shall always assume that  $\varphi$  is *relatively minimal*, i.e. all the fibres are free from  $(-1)$ -curves.

Let  $F = \sum n_i D_i$  be a singular fibre of  $\varphi$ , where  $D_i$ 's are the irreducible reduced components and the  $n_i$ 's are their multiplicities. Let  $m$  denote the greatest common divisor of  $n_i$ 's. If  $m \geq 2$ , then the fibre  $F$  is called *multiple fibre of multiplicity  $m$*  and we will write  $F = mD$ , where  $D = \sum (n_i/m) D_i$ .

An elliptic surface  $\varphi : X \rightarrow B$  is called a *quasi-bundle* if all smooth fibres are pairwise isomorphic, and the only singular fibres are multiples of smooth (elliptic) curves. If moreover  $\varphi$  has no singular fibres then  $\varphi : X \rightarrow B$  is said to be a *fibre bundle*.

Let  $E$  be an elliptic curve and let us consider its universal covering sequence:

$$0 \rightarrow \Gamma \rightarrow \mathbb{C} \rightarrow E \rightarrow 0, \quad \Gamma \cong \mathbb{Z}^2. \quad (1)$$

An *elliptic bundle*  $\varphi : X \rightarrow B$  is a principal fibre bundle whose typical fibre and structure group are the elliptic curve  $E$ . These holomorphic fibre bundles are classified by the cohomology set  $H^1(B, \mathcal{E}_B)$ , where  $\mathcal{E}_B$  is the sheaf of germs of local holomorphic maps from  $B$  to  $E$ . To describe  $H^1(B, \mathcal{E}_B)$ , one uses the exact cohomology sequence

$$H^1(B, \Gamma) \rightarrow H^1(B, \mathcal{O}_B) \rightarrow H^1(B, \mathcal{E}_B) \xrightarrow{c} H^2(B, \Gamma) \rightarrow 0, \quad (2)$$

induced by (1); see, for example, [1], Chapter V. 5.

It is known that every non-kählerian elliptic surface  $\varphi : X \rightarrow B$  is a quasi-bundle; see, for example [3], Lemma 1. Let  $m_1 D_1, \dots, m_l D_l$  be all the multiple fibres of  $\varphi$ , where  $D_i$  is an elliptic curve and  $m_i \geq 2$  for all  $i = 1, \dots, l$ . By [3] Theorem 5, we know that the

group  $NS(X) \otimes \mathbb{Q}$  is isomorphic to the group  $Hom(J_B, E) \otimes \mathbb{Q}$ , where  $J_B$  is the Jacobian variety of the curve  $B$  and  $Hom(J_B, E)$  is the group of the homomorphisms of abelian varieties. A more precise statement can be given:

**THEOREM 1.** *Let  $\varphi : X \rightarrow B$  be a non-kählerian elliptic surface. Then the torsion group of the Neron–Severi group is generated by  $c_1(D_i)$ ,  $i = 1, \dots, l$ , and we have an isomorphism*

$$NS(X)/Tors NS(X) \cong Hom(J_B, E^\vee),$$

where  $J_B$  is the Jacobian variety of the curve  $B$ ,  $E^\vee$  is the dual curve of  $E$  (isomorphic to  $E$ ) and  $Hom(J_B, E^\vee)$  is the group of homomorphisms of abelian varieties.

*Proof.* Let  $a_i$  be an element of  $\frac{1}{m_i}\Gamma$  such that the order of the point  $[a_i]$  of the torus  $E$  corresponding to  $a_i$  is precisely  $m_i \geq 2$ , the multiplicity of the singular fibre  $m_i D_i$ ,  $i = 1, \dots, l$ . Let  $\Gamma_0$  be a lattice in  $\mathbb{C}$  generated by  $\Gamma$  and  $a_i$ ,  $i = 1, \dots, l$  and put  $E_0 = \mathbb{C}/\Gamma_0$ . Then, the elliptic curve  $E_0$  is isomorphic to  $E/H$ , where  $H$  is a subgroup of  $E$  generated by  $[a_1], \dots, [a_l]$ . Let  $h : E \rightarrow E_0$  be the canonical surjection. Then, by [6], Lemma 12 there exists an elliptic bundle  $Y \rightarrow B$  with the fibre the elliptic curve  $E_0$  and a holomorphic mapping  $f : X \rightarrow Y$  such that the following diagram:

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \downarrow & & \downarrow \\ B & \xrightarrow{id_B} & B \end{array}$$

is commutative and  $f$  is unramified outside the multiple fibres. By [6], Theorem 17, we have an exact sequence:

$$0 \rightarrow Hom(J_B, E^\vee) \rightarrow NS(X)/\tilde{F}_2 \rightarrow \tilde{N}(X) \rightarrow 0, \quad (3)$$

where  $\tilde{F}_2$  is a finite subgroup ( $X$  is non-kähler) of  $H^2(X, \mathbb{Z})$  generated by  $c_1(D_i)$ ,  $i = 1, \dots, l$  and  $\tilde{N}(X)$  is a subgroup of  $NS(E)$ . Moreover,  $\tilde{N}(X) \cong h^* \tilde{N}(Y)$ , where  $\tilde{N}(Y)$  is the corresponding subgroup of  $NS(E_0)$ . By Theorem 3.1 in [2] and Theorem 5 in [6], we get  $\tilde{N}(Y) = 0$  and, therefore,  $\tilde{N}(X) = 0$ . It follows that the subgroup  $Tors NS(X)$  of the Neron–Severi group  $NS(X)$  is generated by  $c_1(D_i)$ ,  $i = 1, \dots, l$  and we have an isomorphism  $NS(X)/Tors NS(X) \cong Hom(J_B, E^\vee)$ , where  $J_B$  is the Jacobian variety of the curve  $B$ ,  $E^\vee$  is the dual torus of  $E$  (isomorphic to  $E$ ) and  $Hom(J_B, E^\vee)$  is the group of homomorphisms of abelian varieties.

### 3. APPELL–HUMBERT THEOREM FOR ELLIPTIC BUNDLES

Let  $\varphi : X \rightarrow B$  be an elliptic bundle over the curve  $B$  with the fibre an elliptic curve  $E$  defined by  $\xi \in H^1(B, \mathcal{E}_B)$  with  $c(\xi) \neq 0$  (see the exact sequence (2)). By [1], Chapter V, Proposition 5.3, we know that  $X$  is non-kählerian. If  $B$  is an elliptic curve,  $X$  is a primary Kodaira surface. In [4], Lemma 5, we gave a description of the group  $NS(X)/Tors\ NS(X)$  for  $X \rightarrow B$  a primary Kodaira surface, similar to the Appell–Humbert Theorem for complex tori (see for example [10] or [5]). Here, we shall extend this result for any elliptic bundle over a curve  $B$ . So, let us suppose that the genus of  $B$ ,  $g$  is greater than 2.

The fundamental group of the fibre  $E$  is isomorphic to the lattice  $\Gamma \subset \mathbb{C}$  generated by  $\{\beta_1, \beta_2\}$ , the fundamental group  $\Lambda$  of the base  $B$  is generated by  $\{\mu_1, \dots, \mu_g, \lambda_1, \dots, \lambda_g\}$  with one relation  $\prod_{i=1}^g [\mu_i, \lambda_i] = 1$ , and we have the following central extension:

$$0 \rightarrow \Gamma \xrightarrow{j} G \xrightarrow{\pi} \Lambda \rightarrow 0, \quad (4)$$

where  $G$  is the fundamental group  $\pi_1(X)$  of the elliptic bundle  $X \rightarrow B$  (see, for example [7], Chapter II, Lemma 7.3). In fact, the group  $G$  has a presentation of the following form:

$$G = \langle \tilde{\mu}_1, \dots, \tilde{\mu}_g, \tilde{\lambda}_1, \dots, \tilde{\lambda}_g, \tilde{\beta}_1, \tilde{\beta}_2 \mid \prod_{i=1}^g [\mu_i, \lambda_i] = \tilde{\beta}_1^m, \tilde{\beta}_1, \tilde{\beta}_2 \text{ central} \rangle. \quad (5)$$

Of course,  $j(\beta_i) = \tilde{\beta}_i$ ,  $i = 1, 2$  and  $\Gamma \cong j(\Gamma)$  is in the center of  $G$ . We shall identify  $\Gamma$  with its image  $j(\Gamma)$  in  $G$  and let  $s : \Lambda \rightarrow G$  be a *cross-section*, i.e.  $\pi \circ s = 1_\Lambda$  and the group  $\Lambda$  is identified with  $G/\Gamma$  (we can choose the cross-section such that  $s(\mu_i) = \tilde{\mu}_i$  and  $s(\lambda_i) = \tilde{\lambda}_i$ ,  $i = 1, \dots, g$ ). Then the elements of  $G$  can be uniquely written in the form  $\gamma s(\lambda)$  where  $\gamma \in \Gamma$  and  $\lambda \in \Lambda$ . The sum  $s(\lambda)s(\lambda')$  must lie in the same coset as  $s(\lambda\lambda')$ , so there are unique elements  $h_0(\lambda, \lambda') \in \Gamma$  such that always

$$s(\lambda)s(\lambda') = h_0(\lambda, \lambda')s(\lambda\lambda').$$

Now, consider  $\mathbb{Z}$  as a trivial  $G$ -module and let

$$res : H^2(G, \mathbb{Z}) \longrightarrow H^2(\Gamma, \mathbb{Z}) \quad (6)$$

be the restriction homomorphism. Because  $\mathbb{Z}$  is a trivial  $G$ -module, the inflation homomorphism has the form:

$$inf : H^2(\Lambda, \mathbb{Z}) \longrightarrow H^2(G, \mathbb{Z}). \quad (7)$$

Let  $\Pi_{J_B} = (\Pi, I_g) \in \mathcal{M}_{g, 2g}(\mathbb{C})$  be a normalised period matrix for the Jacobian variety of the curve  $B$ . We know from [2], Theorem 3.1 that the group  $NS(X)/Tors\ NS(X)$

is isomorphic to the group  $Hom(J_B, E)$  of homomorphisms of abelian varieties. Now, by using some results in cohomology of groups (see [4]), we obtain the following Appell–Humbert type result:

**THEOREM 2.** *Let  $X \rightarrow B$  be an elliptic bundle over the curve  $B$  with the fibre an elliptic curve  $E$ , defined by  $\xi \in H^1(B, \mathcal{E}_B)$  with  $c(\xi) \neq 0$ . Then the group  $NS(X)/Tors NS(X)$  is isomorphic to the subgroup of  $\mathcal{M}_{2,2g}(\mathbb{C})$*

$$\mathcal{NS} := \left\{ \mathcal{A} = \begin{pmatrix} A_1 & \dots & A_g & C_1 & \dots & C_g \\ B_1 & \dots & B_g & D_1 & \dots & D_g \end{pmatrix} = (\alpha \mid \theta) \in \mathcal{M}_{2,2g}(\mathbb{Z}) : \right. \\ \left. (\Pi \ ^t\theta - \ ^t\alpha) \begin{pmatrix} -\beta_2 \\ \beta_1 \end{pmatrix} = 0 \right\}.$$

*Proof.* By using the Lyndon spectral sequence

$$E_2^{pq} = H^p(\Lambda, H^q(\Gamma, \mathbb{Z})) \Rightarrow H^{p+q}(G, \mathbb{Z}),$$

defined by the exact sequence (4) one obtains as in Lemma 3 in [4] that the restriction map (6) is zero. From Lemma 2 in [4], we get a homomorphism  $v$  such that the sequence

$$H^2(\Lambda, \mathbb{Z}) \xrightarrow{inf} H^2(G, \mathbb{Z}) \xrightarrow{v} H^1(\Lambda, H^1(\Gamma, \mathbb{Z})) \quad (8)$$

is exact. As in Lemma 4 in [4] one obtains that

$$NS(X)/Tors NS(X) \hookrightarrow H^1(\Lambda, H^1(\Gamma, \mathbb{Z}))$$

and the canonical surjection  $NS(X) \rightarrow NS(X)/Tors NS(X)$  can be identified with the restriction of the homomorphism  $v$  to  $NS(X)$ . Thus we get the isomorphism

$$NS(X)/Tors NS(X) \cong Hom(J_B, E^\vee), \quad (9)$$

where  $E^\vee$  is the dual of  $E$ ,  $E^\vee = Pic^0(E) = \mathbb{C}'/\Gamma'$  and  $\Gamma' = Hom_{\mathbb{Z}}(\Gamma, \mathbb{Z}) \cong H^1(\Gamma, \mathbb{Z})$  is the dual lattice in the "complex space"  $\mathbb{C}' = Hom_{\mathbb{Z}}(\Gamma, \mathbb{R})$  (see, for example [8], 1.4). Because  $\Gamma$  is a lattice in  $\mathbb{C}$ , we can extend uniquely any  $f \in Hom_{\mathbb{Z}}(\Gamma, \mathbb{R})$  to a real linear map  $\tilde{f} : \mathbb{C} \rightarrow \mathbb{R}$ . Thus

$$Hom_{\mathbb{Z}}(\Gamma, \mathbb{R}) \cong Hom_{\mathbb{R}}(\mathbb{C}, \mathbb{R}) \cong \mathbb{C}'$$

and we put a complex structure on this real vector space defining  $if(\gamma) := -\tilde{f}(i\gamma)$ ,  $\gamma \in \Gamma$ . Let  $\Lambda_1$  be the lattice in  $\mathbb{C}^g$  defined by  $\Lambda$  ( $\Lambda_1 = H_1(B, \mathbb{Z})$ ). Then,  $J_B \cong \mathbb{C}^g/\Lambda_1$ . By [10], p. 175, we have the isomorphism

$$NS(X)/Tors NS(X) \cong \{h : \mathbb{C}^g \rightarrow \mathbb{C}' : h \text{ is } \mathbb{C} - \text{linear}, h(\Lambda_1) \subset \Gamma'\}.$$

Let  $\{\beta'_1, \beta'_2\} \subset \Gamma'$  be the dual basis of  $\{\beta_1, \beta_2\}$  (i.e.  $\beta'_i(\beta_j) = \delta_{ij}$ ,  $i, j = 1, 2$ ). We have chosen a normalised period matrix  $\Pi_{J_B} = (\Pi \mid I_g)$  for the Jacobian  $J_B$ , where  $\Pi, I_g \in \mathcal{M}_g(\mathbb{C})$  and the period matrix for  $E^\vee$  is  $\Pi' = (\beta'_1, \beta'_2) \in \mathcal{M}_{1,2}(\mathbb{C}')$ . The rational representation  $\rho_r(h)$  is given by a matrix

$$\mathcal{A} = (\alpha \mid \theta) = \begin{pmatrix} A_1 & \dots & A_g & C_1 & \dots & C_g \\ B_1 & \dots & B_g & D_1 & \dots & D_g \end{pmatrix} \in \mathcal{M}_{2,2g}(\mathbb{Z})$$

and the analytic representation  $\rho_a(h)$  is given by a matrix  $A = (a_1 a_2 \dots a_g) \in \mathcal{M}_{1,g}(\mathbb{C}')$ . Then we have the equality:

$$A \Pi_{J_B} = \Pi' \mathcal{A} \quad (10)$$

(see, for example, [9], p. 10).

Let  $a_j = t_{j1}\beta'_1 + t_{j2}\beta'_2$  with  $t_{j1}, t_{j2} \in \mathbb{R}$  and put  $T_j = t_{j2}\beta_1 - t_{j1}\beta_2$ ,  $j = 1, 2, \dots, g$ . Let  $T = {}^t(T_1 T_2 \dots T_g) \in \mathcal{M}_{g,1}(\mathbb{C})$ . By computation, we get from (10) the equations:

$$\Pi T = {}^t \alpha \begin{pmatrix} -\beta_2 \\ \beta_1 \end{pmatrix}, \quad I_g T = {}^t \theta \begin{pmatrix} -\beta_2 \\ \beta_1 \end{pmatrix}. \quad (11)$$

Finally, one obtains the relation

$$\left( \Pi {}^t \theta - {}^t \alpha \right) \begin{pmatrix} -\beta_2 \\ \beta_1 \end{pmatrix} = 0, \quad (12)$$

i.e.  $g$  relations for the elements of the period matrices. Thus we get the desired isomorphism

$$NS(X) / Tors NS(X) \cong \mathcal{NS}.$$

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