

Understanding embryology of the lung requires basic understanding of the histologic components, both microanatomically and types of cells. The function of the lung requires air to enter a zone of particle filtration and air warming (conducting airway). The second zone requires development of a thin membrane for gas exchange. As the goal of gas exchange is an interface between air and blood, the vasculature also follows progressive branching to the capillary bed.

Starting from the larynx, the airway continues as the trachea, which branches into 2 bronchi. His is followed by lobar bronchi, then segmental bronchi (10 right, 9 left). Conducting airways continue as bronchioles then into respiratory bronchioles, the beginning of the gas exchange zone.

The cells of the conducting zone (proximal) include epithelial cells which are ciliated (slender surface projections that beat to move mucus and particles). Cells are required that make mucous, and regenerative cells are present. In addition, fibroblasts, smooth muscle and cartilage are cellular components in this zone.

In the respiratory (distal) zone, the cells are geared towards surfactant production (which is needed to keep alveoli open by reducing surface tension) and generating a thin layer for gas exchange. The alveoli have a thin type I epithelial cell closely apposed to a thin capillary endothelial cell. In addition, type II cells make surfactant and are the reserve cell.

The histology of mature alveoli reveals the thin gas exchange membrane with "E" as the thin type I epithelial cells and "C" as the closely apposed capillary endothelial cells. Type II cells are seen. The histology is background to the embryology, trying to help you visualize the endpoint and complexity of the lung. Epithelial and mesenchymal (endothelial) populations must grow and expand in a coordinated fashion, and at the same time, signals must also foster differentiation towards type I and type II cells

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# Slide 7

	<ul> <li>Week 4</li> <li>Respiratory primordium arises from distal/caudal pharynx         <ul> <li>Laryngo-tracheal groove</li> <li>Endodermal derivative of epithelium of larynx trachea and bronchi</li> <li>Connective tissue, smooth muscle and cartilage from splanchnic mesenchyme surrounding the foregut</li> </ul> </li> </ul>	groove o derivative and glan mesoder muscle a
Slide 8	Laryngeal development <ul> <li>LT groove evaginates and forms LT diverticulum</li> <li>This becomes invested with splanchnic mesoderm to form lung bud</li> <li>This maintains a laryngeal inlet</li> </ul> The septum that forms by folds and fusion keeps a septate inlet that becomes trachea and esophagus	The LT g diverticul opening forward a becomes splanchr larynx/tra
Slide 9	<ul> <li>Epithelium of the larynx</li> <li>Endoderm of proximal/cranial end of LT tube and cartilage from neural crest origin</li> <li>Formation of proximal larynx – cranial tube – Arytenoid swellings grow towards tongue</li> <li>– Airway gets closed off, eventually recanalizes</li> <li>Laryngeal webs – Incomplete recanalization</li> <li>Laryngeal atresia – ascites, hydrops and lungs do not properly form.</li> </ul>	At the pr forms the endodern neural ca proliferat eventual incomple occurs. larynx lea
Slide 10	Trachea • Endoderm of distal LT tube – Epithelium of trachea and lung • Splanchnic mesenchyme – Connective tissue • 4 <sup>th</sup> week – If esopahgeal separation from LT tube is incomplete, develops into TE fistula	The dista the trach esophag critical. I tracheo-o abnorma esophag
Slide 11	Productive movements of the sectors Productive and the sectors Here and the sectors Long registration of	This diag Human. Iarynx, L between

By week 4, the distal/caudal pharynx develops a on its ventral surface. The endodermal e of this groove gives rise to epithelium ds, while the splanchnic rm/mesenchyme gives rise to vessels, and cartilage.

groove evaginates to form the LT lum. This evagination maintains an to the larynx. Meanwhile as it grows a septum forms that eventually s circumferentially invested with nic mesenchyme to have a separate achea and esophagus.

oximal end of this developing structure e larynx. The epithelium of the larynx is mal derived and the cartilage is from artilage origin. This growth and tion closes off the larynx which is ly recanalized. If the recanalization is ete, a congenital anomaly called a web Complete atresia (blockage) of the ads to abnormal lung development.

al portion of the LT tube develops into ea and lungs.

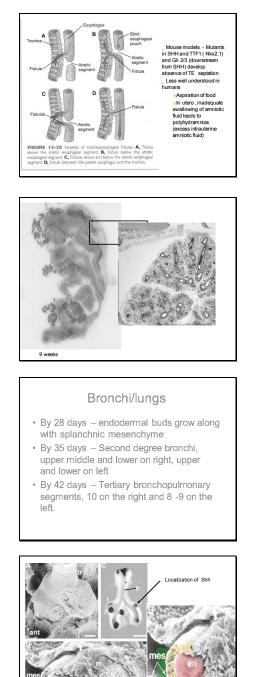
tation of the trachea from the us and the eventual separation is In the absence of complete septation, a esophageal fistula can develop – an al connection between the trachea and lus

gram is from Moore's Developing It demonstrates the formation of the T tube and septation/separation the respiratory and digestive systems.



Slide 13

Slide 14



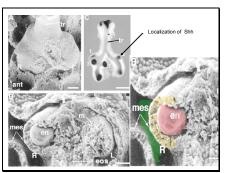
There are variations of tracheoesophageal fistula. Polyhydramnios (excess amniotic fluid) can occur when insufficient fluid is ingested and absorbed due to esophageal blockage. The most common type is shown in B with esophageal pouch and connection of trachea to stomach. Infants with TE fistulas aspirate food and secretions that accumulate in the blind esophageal pouch. Interestingly, mouse models with TTF1 (thyroid transcription factor). SHH (sonic hedgehog) and Gli gene mutations develop absence of TE septation. This slide shows a whole mount section of an approx 9 week fetus. The lecture slide will show a higher view with pharynx to larynyx transition,

trachea and lungs in the pseudoglandular phase (see below). By 9 weeks much of the structural development of the upper conducting airways is

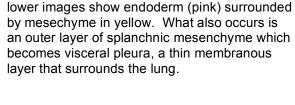
apparent.

The budding of the endoderm invested with splanchnic mesenchyme continues. By 28 days, bronchial buds are identified. By 35 days, second degree bronchi, and by 42 days bronchopulmonary segments are defined by conducting airway branching.

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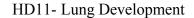


Slide 16



These scanning electron microscopic images show the right and left bronchial buds, and the

This is a diagram illustrating the budding process that leads to the branching pattern described in slide 14.

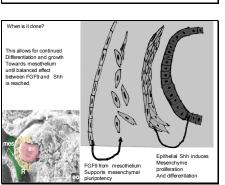




#### Branching morphogenesis

By 24 weeks, 17 orders of bronchi and respiratory bronchioles (7 more after birth)
Lungs grow to pleura – visceral pleura from splanchnic mesenchyme and parietal pleura from somatic mesoderm.

Slide 19



Slide 20

Stage	Duration	Characteristic events	Major molecular mediators
Embryonic	4-7 weeks	Outgrowth of trachea, right and left main bronchi and major airways	HNF-38, TTF-1, RA, RAR, Shh, Ptch, Gli2, Gli3, FGF-8, FGF-10, HNF-4, N-cadherin, activin-8, activin-β-R IIA, lefty-1/2, nodal, Pits-2
Pseudoglandular	5-17 weeks	Formation of bronchial tree up to a preacinar level	GATA-6, N-myc, PDGF, PDGF-R, EGF, EGF-R, FGF, TGF-8, Shh, Puch, VEGF, BMP-4, RA, RAR
Canalicular	16-26 weeks	Formation of the palmonary acissus and of the future air-blood barrier; increase of capillary bed; epithelial differentiation; first appearance of surfactant	GATA-6, TTF-1, 11NF-3J, Masl-1, VEGF
Saccular	24-38 weeks	Formation of transitory air spaces	HNF-39, TTF-1, NF1, VEGF, VEGF-R
Alveolar	36 weeks to 2 postnatal years	Alveolarization by forming of secondary septa	PDGF, PDGF-R, FGF, FGF-R, VEGF, VEGF-R, angiopoietins, ephrins, RA, RAR
Microvascular maturation	Birth to 3 postnatal years	Thinning of interalveolar walls; fusion of the capillary bilayer to a single layered network	VEGF, VEGF-R, PDGF, PDGF-R, angio- poietins, ephrins
		Kleiner Roth and Post Biol	Neonate 2003

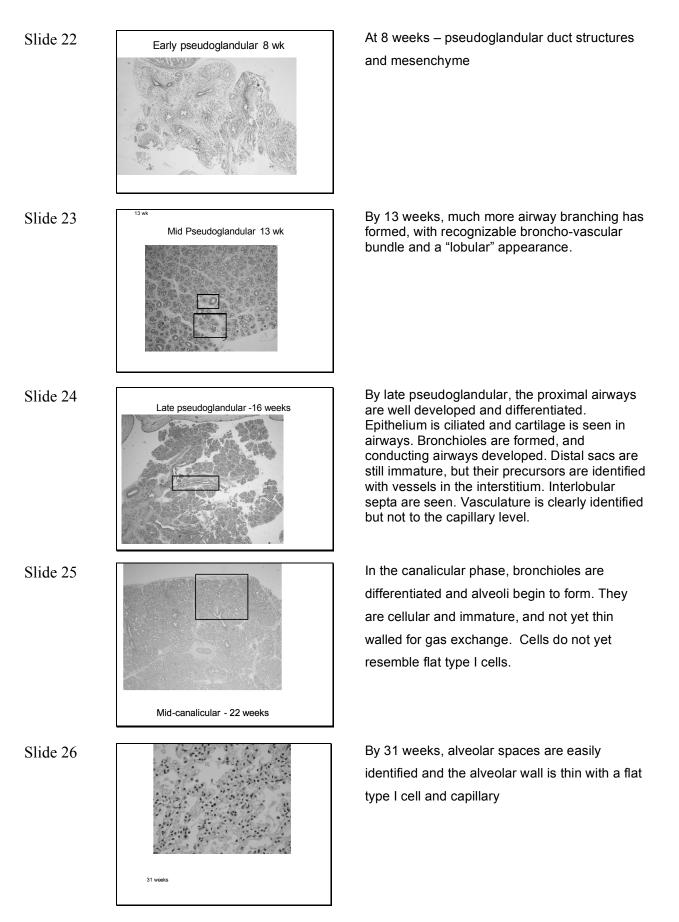
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	Lung Maturation
•	Pseudoglandular (5-17 weeks) – No gas exchange zones – Lung resembles an exocrine gland
•	Canalicular (17-25 weeks) – Terminal tronchioles enlarge and branch 2 -3 respiratory bronchioles then 3 -6 alveolar ducts. Terminal sacs begin to form • VAscularized – caudal slower than cranial
•	Terminal sas (25 weeks to 34 weeks) – bbod flow and surfactant – Epithelium thins to become type I like – Capitaleris grow in – Blood air hanner forms – Type I and type I I cells – Sufatant reduces surface tension allowing expansion.
•	Alveolar period (tale fetal to childhood) - Surfadart - Gase exchange - Putmoary vs systemic circulation - Alveoli mature from aga 3 - 8. Numbers increase from 50 million at birth and 300 million at age 6 dubl. number)

Branching continues so that by 24 weeks 17 orders of bronchi and bronchioles have formed. The lungs grow to the pleura.

What we observe microscopically during this branching period illustrates the balance between growth, differentiation and growth arrest that must occur simultaneous between epithelium, mesenchyme and pleura. As in the step in the electron microscopic image, a balance must occur between growth and differentiation signals derived from the different layers. More discussion to follow.

The maturation of the lung is defined by stages which are approximate and differ by 1 week or so depending on texts. After the embryonic stage during which left right structure and major airways form, the pseudoglandular stage is characterized by completion of the conducting zones/proximal airways. This is followed by the canalicular stage during which the future alveoli are formed with an intervening capillary bed. During the saccular stage the mature configuration of alveoli develops, which continue to develop in the alveolar phases after birth. If we correlate the stages to morphologic/histologic appearance, the pseudoglandular stage looks like an exocrine gland- with ducts and immature "lobules". In the canalicular phase, the airway branching finishes, but these "lobules" begin to develop as sacs with ingrowth of capillary vessels. Epithelial differentiation can be seen, proximal versus distal, with the appearance of surfactant. By the terminal sac phase, distal epithelial differentiation completes into type I and II cells, with recognizable air-blood barrier and surfactant production



Slide 27

By term, alveoli are well formed and numerous. Term lungs Alveoli are thin walled although they are slightly more cellular than adult alveoli. At birth, the alveolar lining cells are both type I and II cells. Alveoli continue to form until about age 8. Slide 28 There are congenital malformations that recapitulate phases of lung maturation. These CCAN congenital cystic adenomatoid malformations likely represent maturation arrest at different points in the maturation of a lung segment or lobule. These are often detected early in life, although uncommonly they are first detected in adulthood. Slide 29 In addition, some defects represent abnormal Congenital malformations development of lung and vascular development, such as an azygous lobe. · Cystic adenomatoid malformations A pulmonary sequestration represents a Maturation arrest in lung segments segment of lung that becomes separated from Azvous lobe the normal bronchial tree, and parasitizes its Superior apical bronchus grows medially instead of arterial blood supply from the diaphragm. laterally; vein is at bottom of superior lobe fissure Sequestration – Accessory piece of lung that becomes disconnected from tracheobronchial tree and parasitizes systemic circulation from diaphragm. Slide 30 Lung development is linked to breathing Breathing exercise movements, possibly to maintain intrapulmonary · Begins pre -natally, allows branching to continue - Fluid is expelled from lungs at birth by vaginal fluid and expansion. pressure into capillaries and lymphatics Fluid is needed for proper lung development - Insufficient fluid - decreased lung development · Lung expansion in utero by fluid is critical to proper lung development. Amniotic fluid originates in the kidney. Lack of Slide 30A Causes of Lung hypoplasia diminished lung development fetal urine results in oligohydramnios and results Oligohydramnios – insufficient amniotic fluid in lung hypoplasia. Lung can also be Compression compressed during development causing contents compress left hemithorax (usually) hypoplasia. Loss of amniotic fluid can impact Intrathoracic fluid or thoracic wall abnormality lung development.

Slide 31

#### Expansion of the lung activates a transcriptional program.

· Stretching of myofibroblasts induces a transcriptional program that contributes to completion of distal proliferation and differentiation (TGF -B decrease)

### Slide 32

- **RDS-Respiratory distress syndrome**
- Low surfactant Respiratory distress syndrome usually due to pre-maturity, rarely due to surfactant protein deficiency (genetic
- cause) Surfactant is critical to reduce surface tension and allow lung expansion at the air fluid interface Inadequate surfactant leads to alve olar collapse on expiration of air, and difficulty re -inflating
  - · Damage to the alveolus leads to cellular injury and Damage to the alveolus leads to certular injury and exudation of proteins known as hyaline membranes (Hyaline membrane disease)
     – Continued injury from ventilation of immature lungs
  - can lead to chronic injury known as bronchopulmonary
  - dysplasia. Steroids accelerate lung development and surfactant production • Surfactant can also be administered

### Slide 32A

### Pulmonary vasculature

- At birth, fetal lung circulation is a high pressure that must convert to a low pressure circulation.
- As air enters the lung with the first breath,
- oxygen tension rises.
- Increased nitric oxide production increases arterial vasodilation, reducing pulmonary arterial pressure.

Slide 33

Molecular determinants of branching morphogenesis

- · Much of this data is derived from transgenic animals. Knockout of genes and gain of function mutants
- · Also experiments displacing mesenchyme and epithelium to new sites have been critical in understanding the crosstalk between epithelium and mesenchyma

# Slide 34

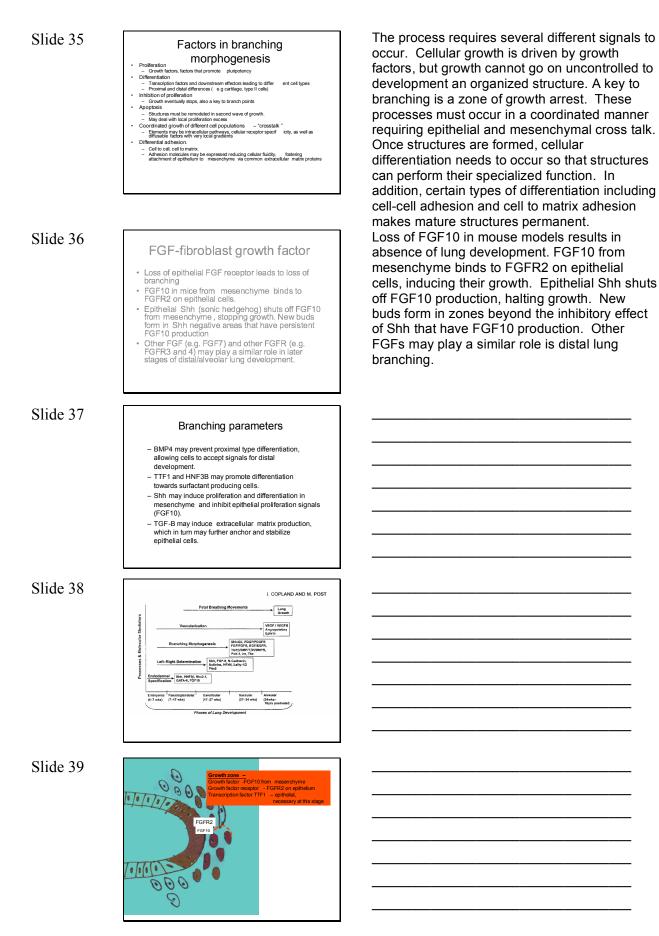
#### Branching determinants

- · Removal of mesenchyme halts branching
- · Non lung mesenchyme does not support branching
- Lung mesenchyme placed in trachea or salivary gland induces specific branching Proximal vs distal mesenchyme induces site appropriate epithelial cell development
- Mesenchymal factors are diffusable across membranes No contact needed, but gradients are
- very local. · Epithelial factors "crosstalk" to determine
- mesenchymal growth and differentiation

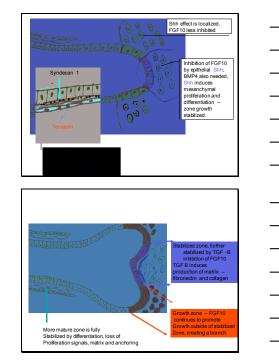
Low surfactant is usually the result of preterm labor and immaturity of the fetal lungs. Without surfactant, lungs cannot properly expand, and mechanical ventilation is needed to inflate the lungs. Necrosis of epithelium and protein exudation from vessels lead to intra-alveolar material known as hyaline membranes. Steroids can accelerate lung maturity/surfactant production and surfactant can be administered. Mechnical ventilation can cause chronic injury (bronchopulmonary dysplasia).

The fetal lung circulation is a high pressure circulation that must rapidly convert to a low pressure circulation with the first breath. With the first breath, oxygen tension rises and pulmonary vasodilation is induced by nitric oxide. This reduces pulmonary arterial pressure. Some newborns fail to fully convert from fetal circulation to post natal configuration, a condition known as persistent pulmonary hypertension of the newborn. This condition is treatable with oxygen, NO and more aggressive interventions, but is life threatening. Now that we have tracked the morphologic changes of lung development and some of their pathologic consequences, we will discuss some molecular information regarding lung development. The basic growth pattern of lungs from the initial bronchial bud is progressive branching, followed by differentiation.

Mesenchyme drives the branching process and also is determinant of epithelial differentiation. Proximal versus distal mesenchyme induces differentiation of epithelium into proximal and distal type epithelial cells. This requires no contact and these are diffusible factors. While no contact is needed, the effect can be very local. While mesenchyme has an important effect, the epithelium also releases factors that impact on mesenchyme growth and differentiation.



Slide 40



Slide 41