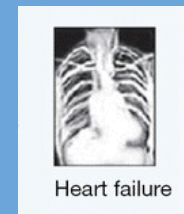
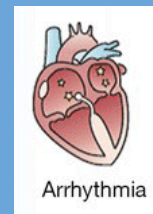
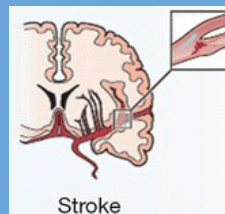
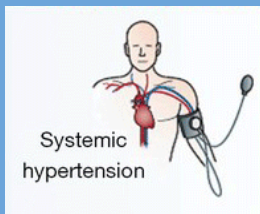
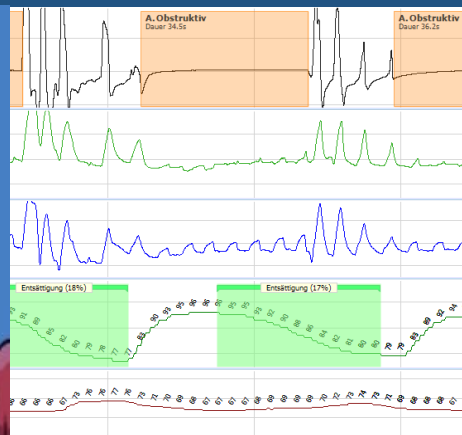
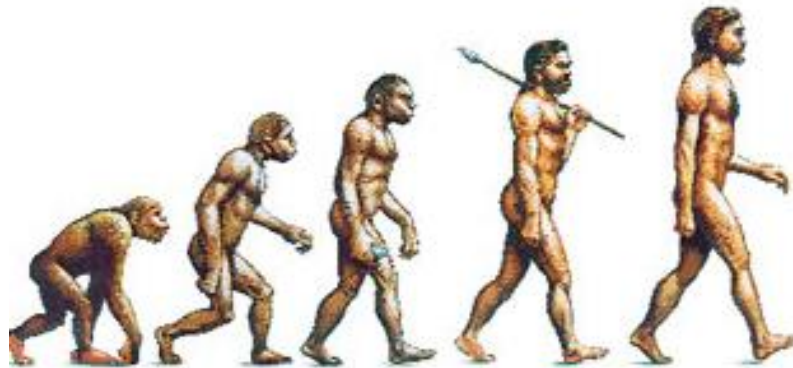


Vaskuläre Komplikationen des Schlaf Apnoe Syndroms



Das Problem der Evolution...



Agenda:

- Was ist Schlafapnoe?
- Pathophysiologie OSA und CV-Risiko
- Klinischer Zusammenhang OSA und CV-Risiko und Effekt von CPAP:
 - Observationsstudien
 - Randomisierte kontrollierte Studien (RCTs)
- Zusammenfassung

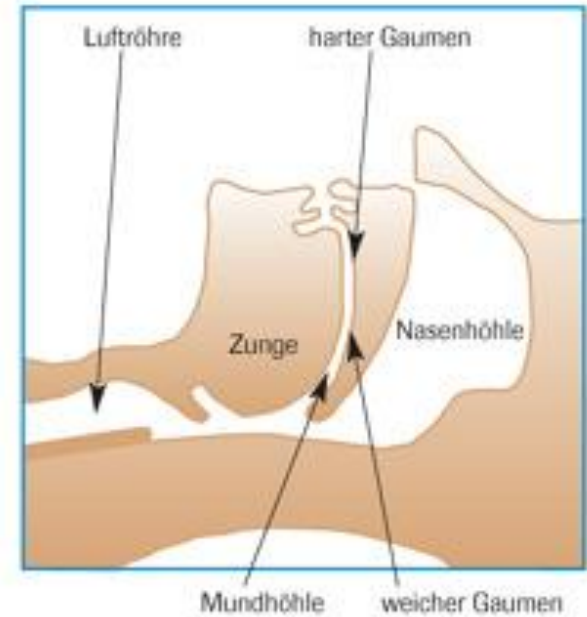
Der Pharynx – der Engpass?!

Funktionen:

Atmung, Sprechen, Schlucken:

- partiell kollaptischer „Muskelschlauch“
- partiell starre offene Röhre

>20 Muskeln sind beteiligt

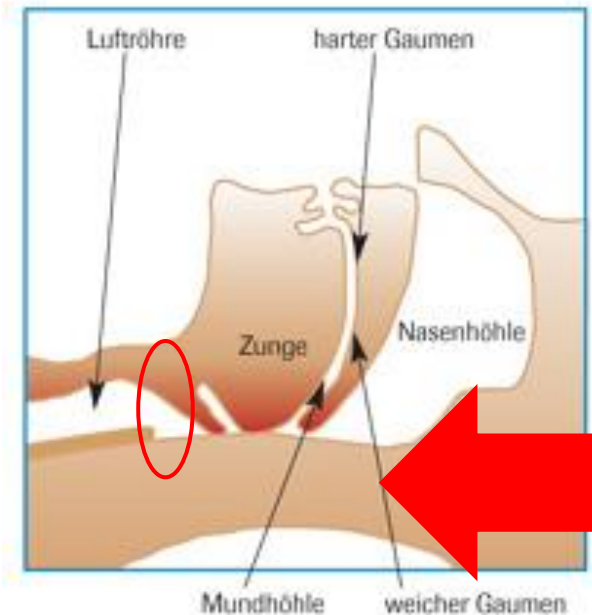


Was passiert beim Schlafen?

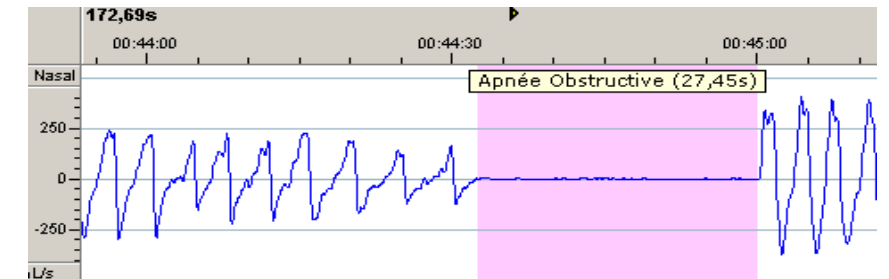
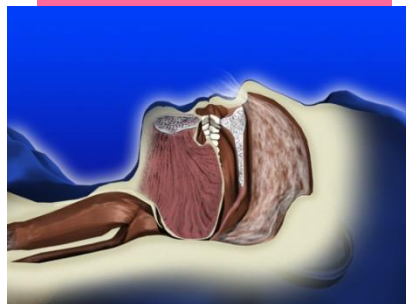
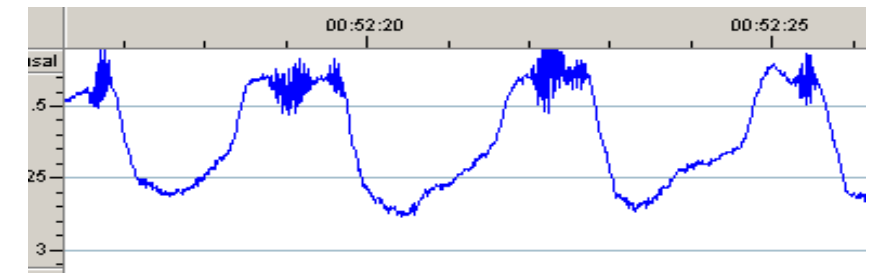
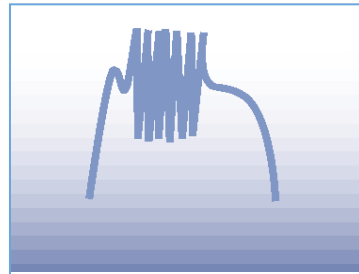
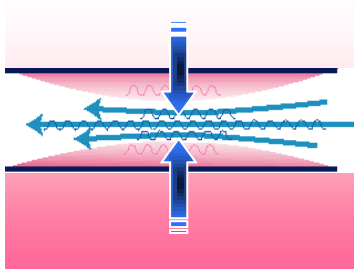
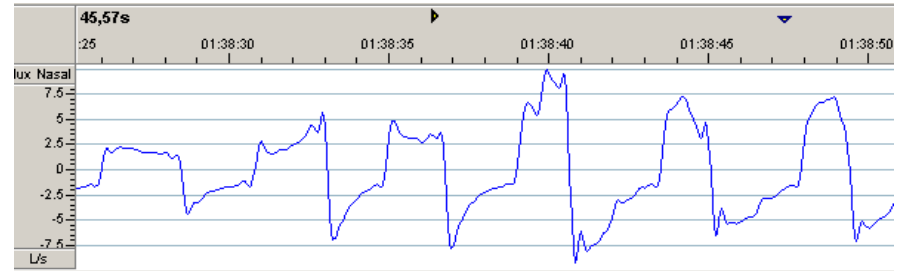
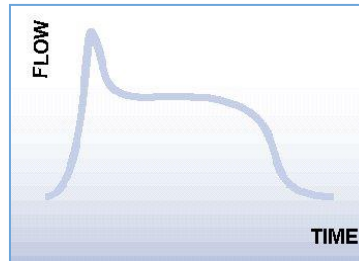
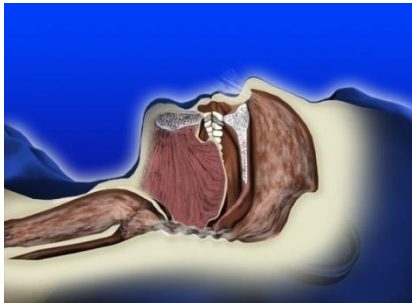
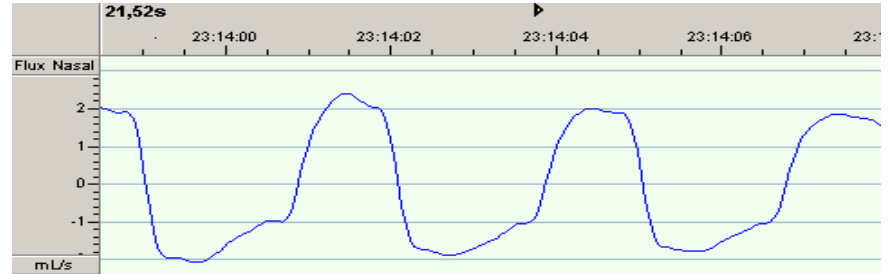
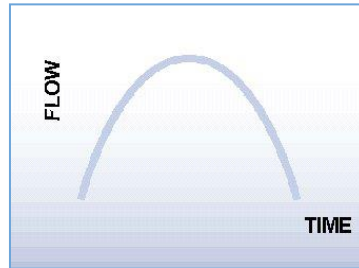
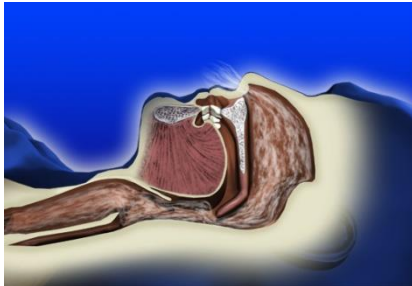
Erschlaffen der Muskulatur und des Weichteilgewebes im Hals / Rachenbereich.

Obere Atemwege können zeitweise kollabieren

➔ Obstruktive Hypopnoe und Apnoe

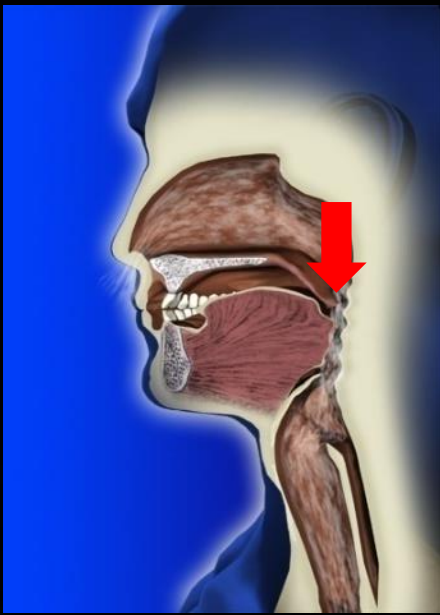


Einschränkung des Atemfluss im Schlaf





Obstruktive Hypopnoe und Schnarchen



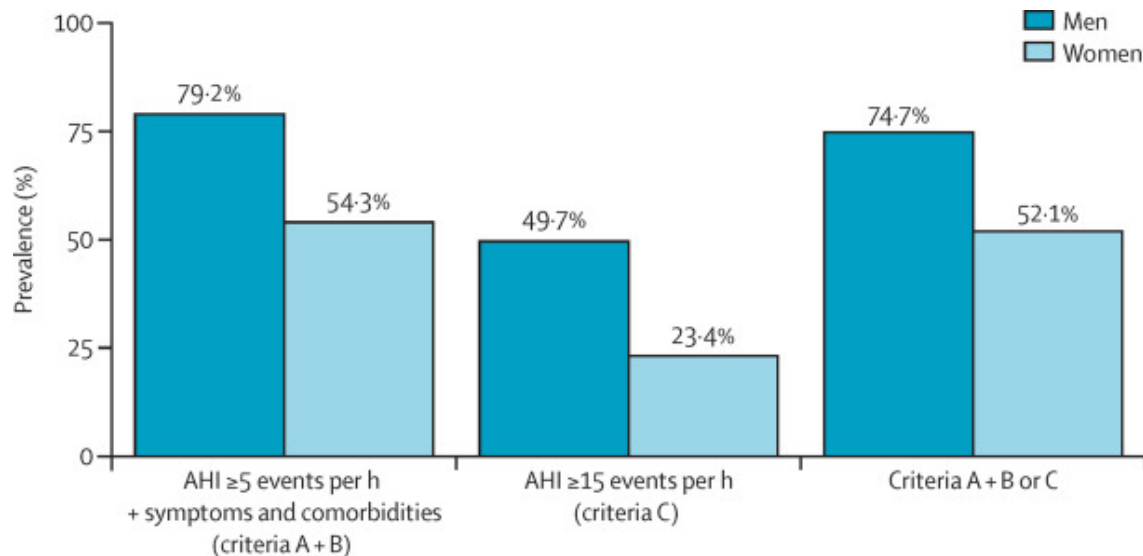
Die Prävalenz der OSA nimmt in der Allgemeinbevölkerung zu

F/U der Wisconsin Sleep Cohort Study (n=1520)

	Age	Prevalence in Men	Prevalence in Women
AHI ≥ 5	30-49	27%	9%
AHI ≥ 5	50-70	43%	28%
AHI ≥ 15	50-70	17%	9%

Peppard, Am J Epidemiol 2013; (Hypopnea criterium: RD 4%)

HypnoLaus cohort: 2121 Probanden mit home PSG



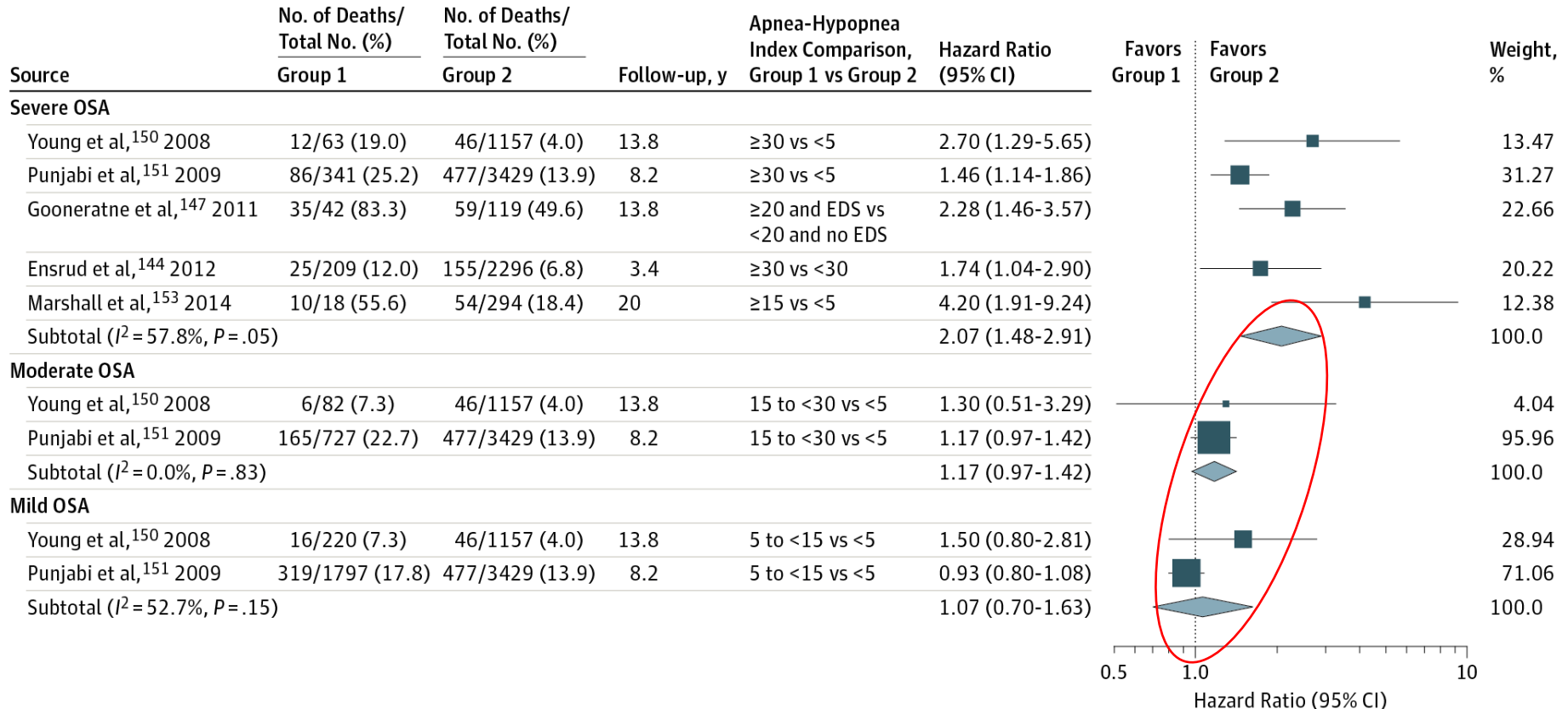
ICSD-3 definition

Heinzer R. Lancet RM 2015; (Scoring: 2012 AASM)

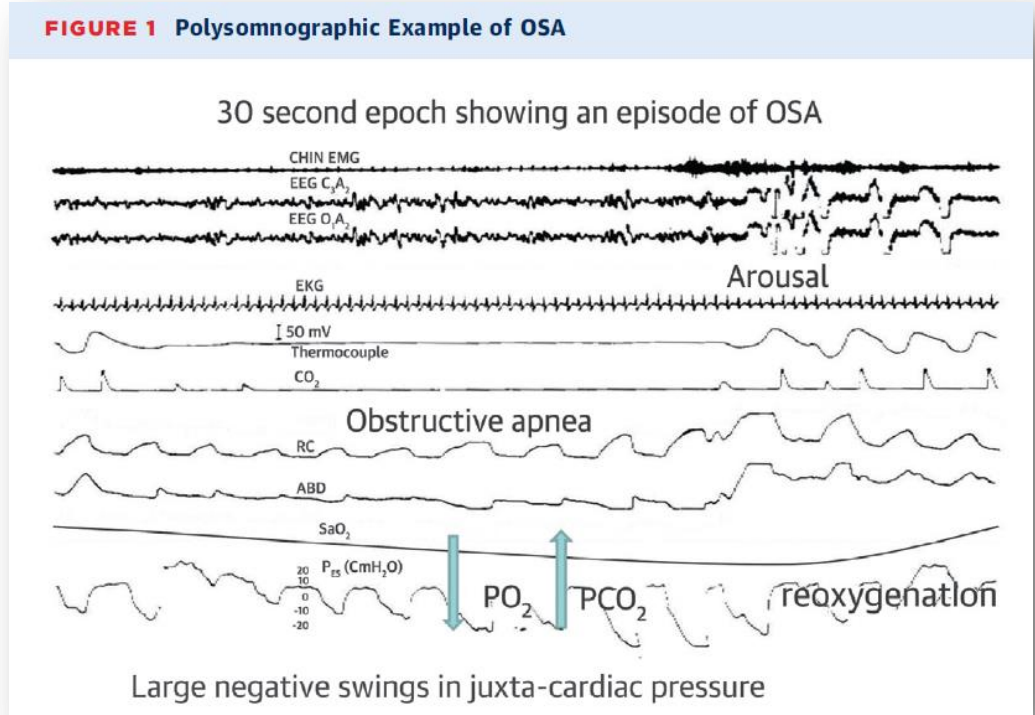
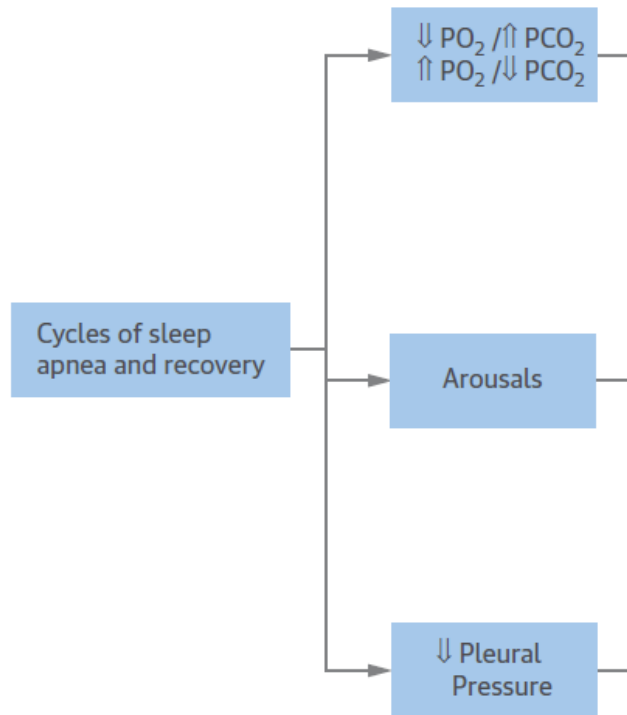
Screening for OSA in Adults. Evidence Report and Systematic Review for the US Preventive Services Task Force

Jonas DE. et al JAMA 2017

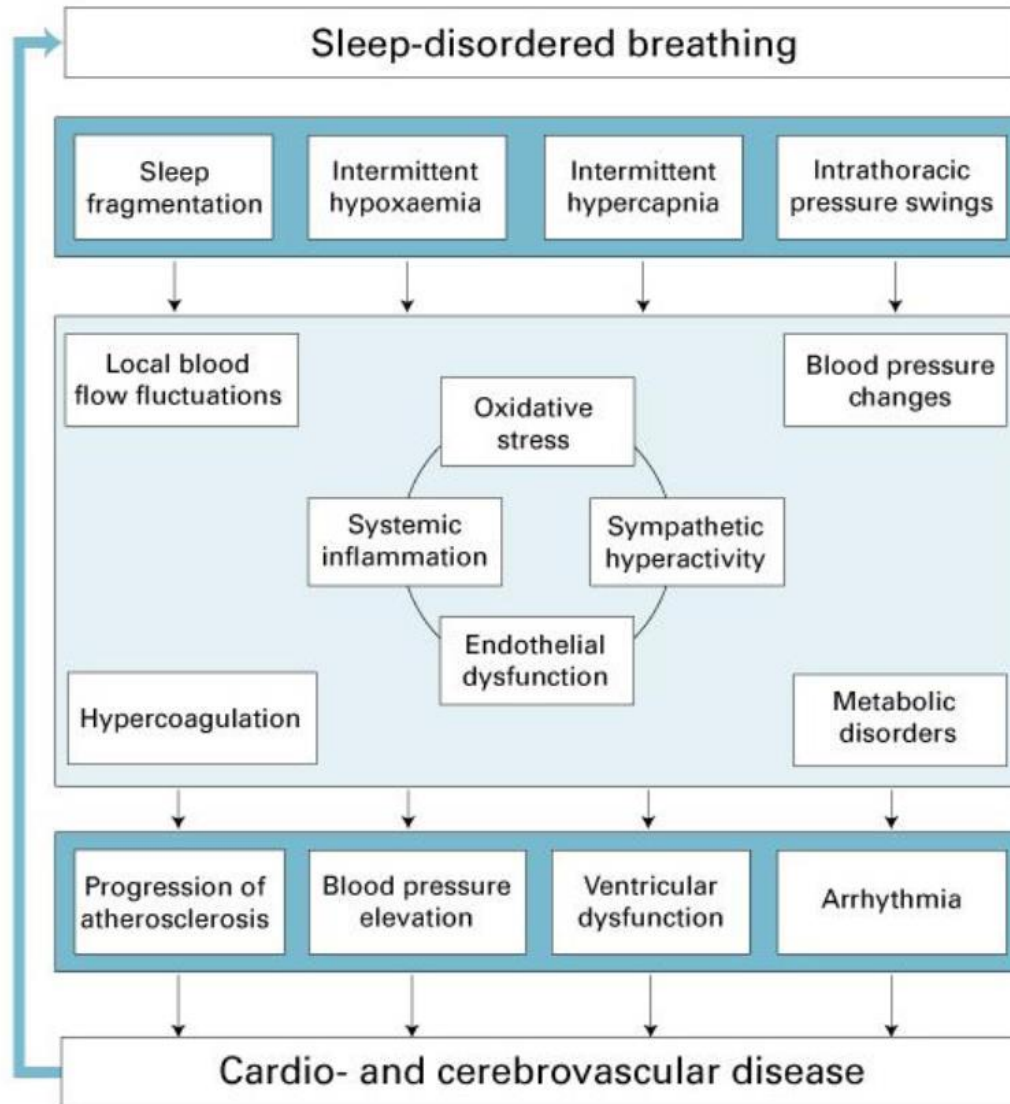
Es besteht ein Zusammenhang zwischen Apnoe-Hypopnoe-Index (AHI) und All-Cause Mortality



Pathophysiologie: Zusammenhang OSA und CV-Erkrankungen



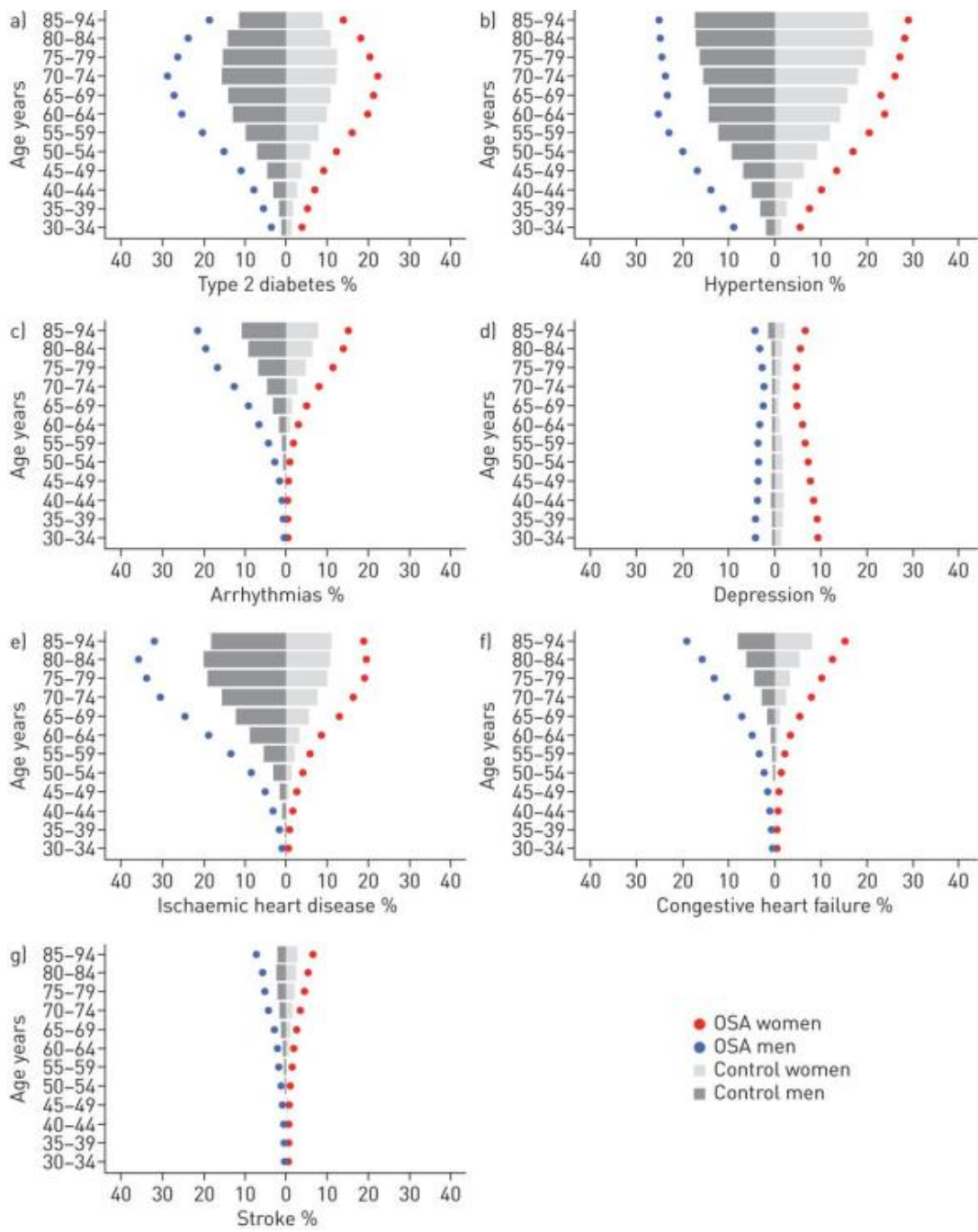
Pathophysiologie: Zusammenhang OSA und CV-Erkrankungen



Effect of sex and age on comorbidity in OSA: observational analysis from a nationwide US health claims database.

Mokhlesi B. ERJ 2016

- 1,704,905 Patienten mit OSA und gleich viele gematched Kontrollen
- Alle Co-Morbiditäten zeigten eine signifikant erhöhte Prävalenz bei Patienten mit OSA
- Prävalenz nahm zu mit Alter zu, insbesondere kardiovascular Erkrankungen, jedoch Abnahme der Depressionen
- Im adjustierten Model war die OR für alle Erkrankungen bei OSA erhöht

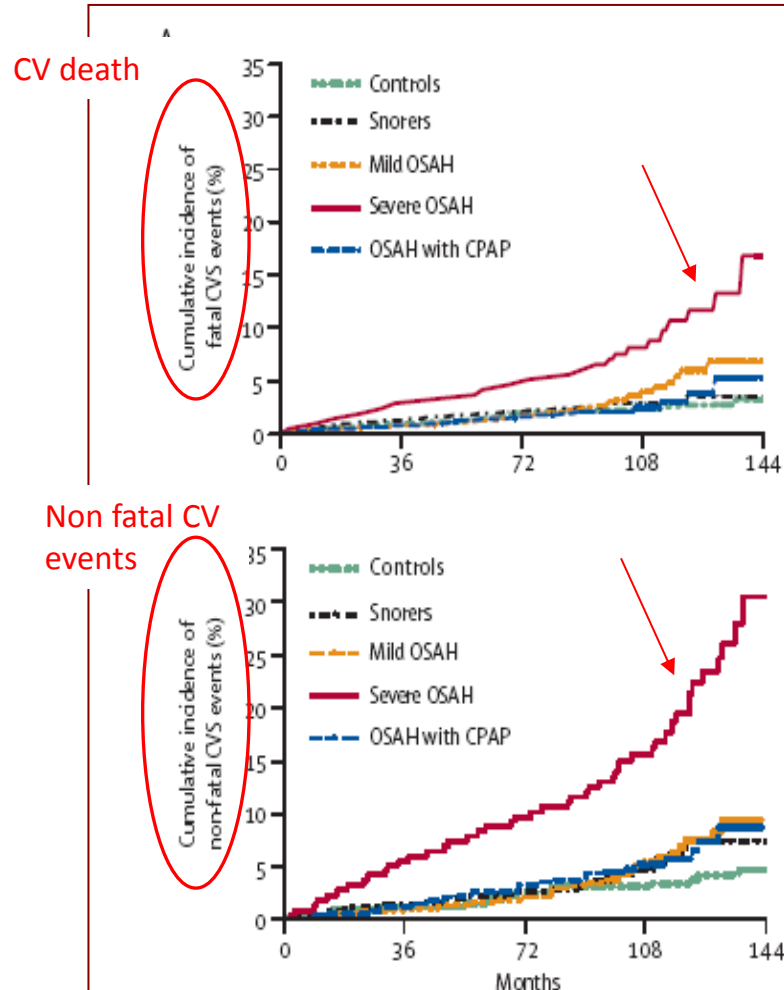


Prävalenz von schlafbezogenen Atemstörungen bei Patienten mit kardiovaskulären Erkrankungen

Pathology	Prevalence of SDB
Arterial hypertension	AHI \geq 5/h: 58–74% AHI \geq 15/h: 10–30%
Resistant arterial hypertension	AHI \geq 5/h: 88% AHI \geq 10/h: 60–83% AHI \geq 30/h: 26–32%
Coronary artery disease (including acute myocardial infarction, post-revascularisation patients)	AHI \geq 5/h: 83% AHI \geq 10/h: 30–64% AHI \geq 15/h: 64%
Congestive heart failure	AHI \geq 10/h: 72% AHI \geq 15/h: 60–64% AHI \geq 20/h: 53% AHI \geq 30/h: 36%
Heart rhythm and conduction disorders	AHI \geq 5/h: 60–66% AHI \geq 10/h: 59% AHI \geq 15/h: 14–47% AHI \geq 30/h: 20–27%
Atrial fibrillation	AHI \geq 5/h: 70–74% AHI \geq 10/h: 49% AHI \geq 15/h: 25–43% AHI \geq 30/h: 13%
Stroke and cerebrovascular disease	AHI \geq 5/h: 79–86% AHI \geq 15/h: 35–40%
Asymptomatic carotid stenosis	AHI \geq 10/h: 69%
Pulmonary hypertension	AHI \geq 10/h: 60% AHI \geq 15/h: 42%

Association between OSA and CV mortality

Severe OSA, an independent risk factor of CV mortality, modifiable by CPAP therapy



- Prospective observational trial, mean follow-up > **10 years**.

Patients untreated

264 Control

377 Snorer

403 Mild to moderated OSA (AHI < 30)

235 Sever OSA (IAH > 30)

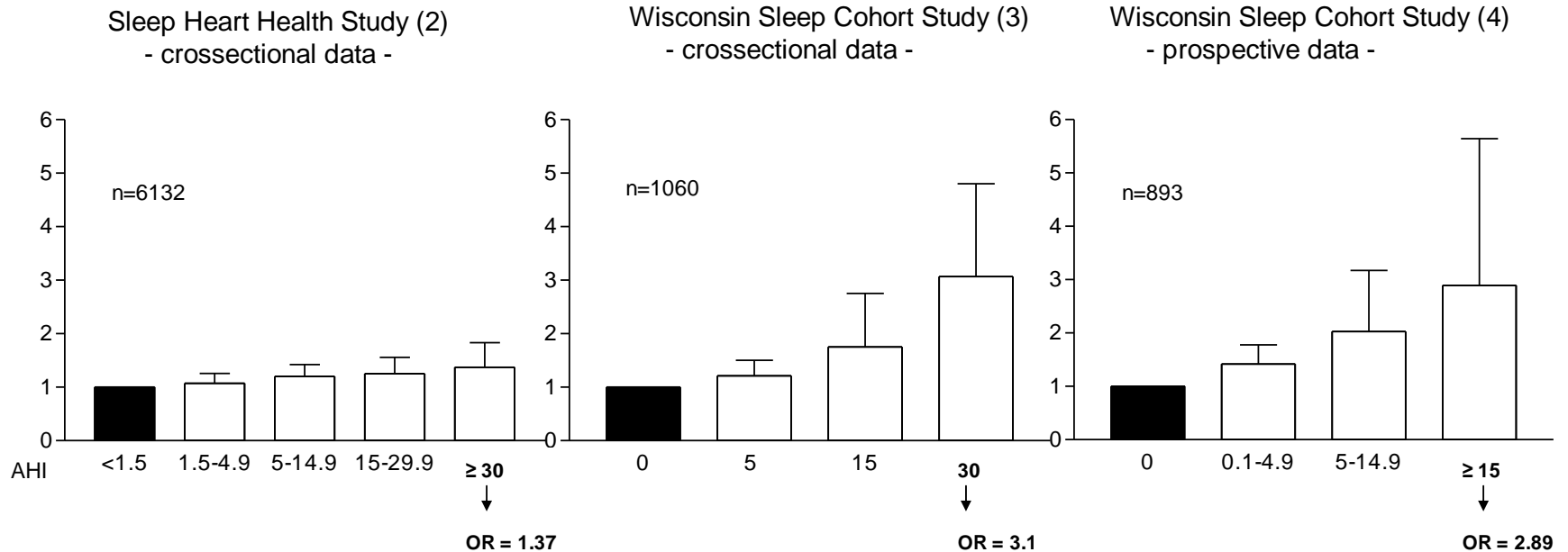
Patients treated

372 OSA (AHI > 30 or AHI 5 to 30 with excessive daytime sleepiness)

- **CV morbidity/mortality** increased for untreated severe OSA patients, compared to non OSA patient
 - Relative risk od death **2,87**
 - Relative risk of CV events **3,17**
- **Risk suppressed/normalized by CPAP therapy**

OSA und art. Hypertonus

p for trend significant in all three studies

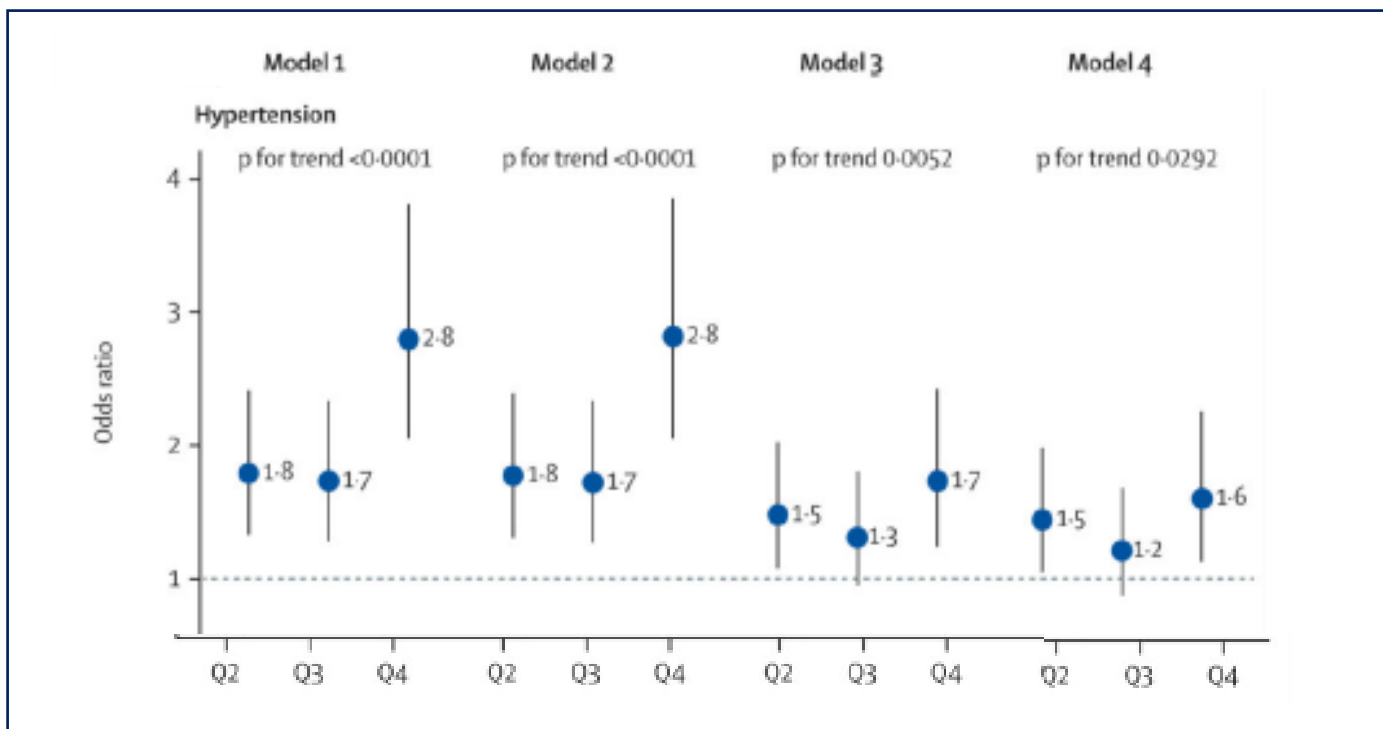


Legend of figure 1

Fully adjusted = odds ratio (OR) adjusted for confounders: age, sex, BMI, neck and waist circumference, alcohol, tobacco use and in case of the prospective study also baseline hypertension status; AHI = apnoea-hypnoea index; the graphics represent the OR and the upper 95% confidence interval

Prevalence of sleep-disordered breathing in the general population: the HypnoLaus study

R Heinzer, S Vat, P Marques-Vidal, H Marti-Soler, D Andries, N Tobback, V Mooser, M Preisig, A Malhotra, G Waeber, P Vollenweider, M Tafti*, and J Haba-Rubio*

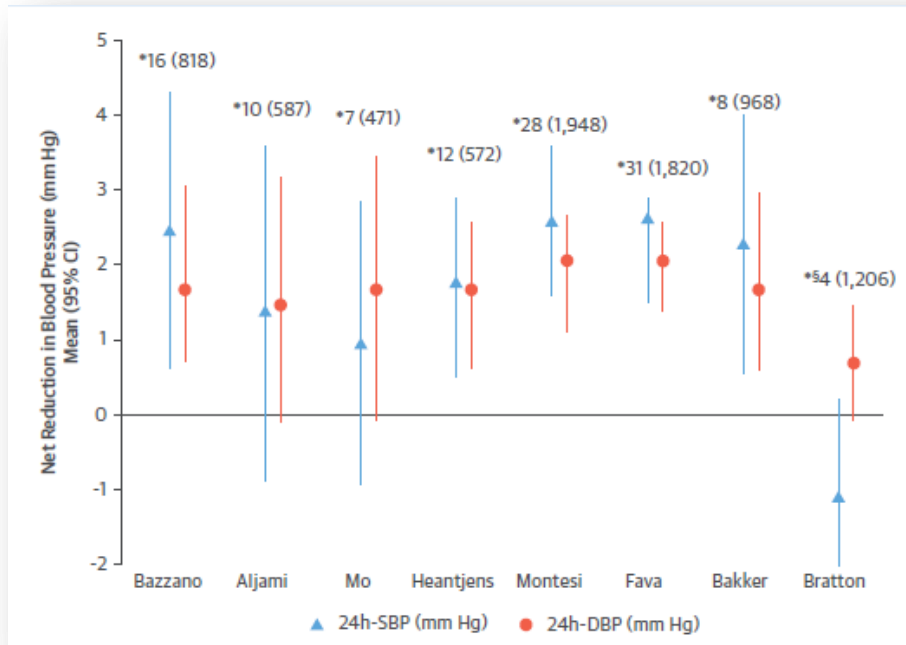


Q2 = AHI 4.3-9.9 Q3 = AHI 10-20.6 Q4 >20.6/h
Adj for age, sex, alcohol, tobacco (model 4) and +BMI (model 3)

Effekt von CPAP auf den Blutdruck bei Patienten mit Hypertonus

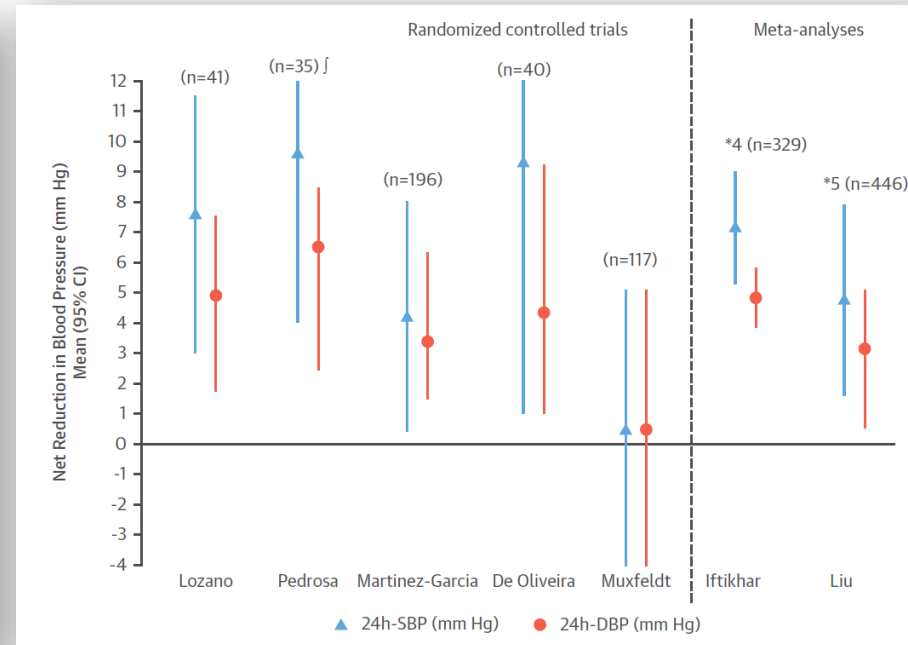
Ein langfristig Absenkung des SBD um 2 - 3 mmHg führt zu einer 4 - 8% Reduktion des Risikos für zukünftige Schlaganfälle oder Herzinsuffizienz

HTN



SDP -2 to -2.5 mmHg
DBP -1.5 to -2 mmHg

Resistant HTN



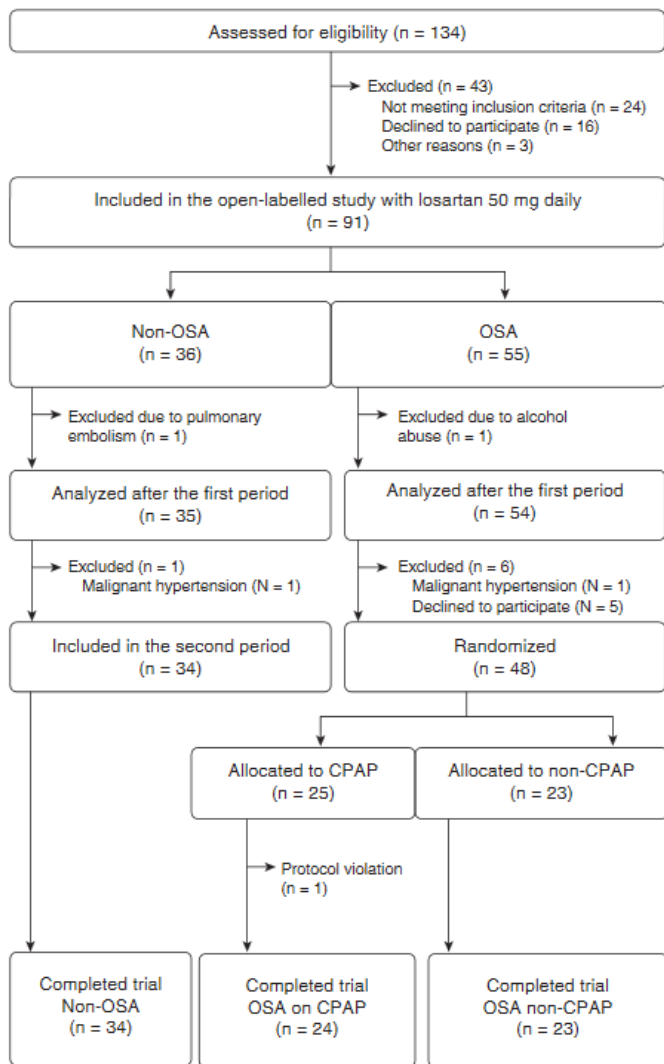
SDP -4.7 to -7.2 mmHg
DBP -2.9 to -4.9 mmHg

Blood Pressure Response to Losartan and Continuous Positive Airway Pressure in Hypertension and Obstructive Sleep Apnea

Erik Thunström¹, Karin Manhem¹, Annika Rosengren¹, and Yüksel Peker^{1,2}

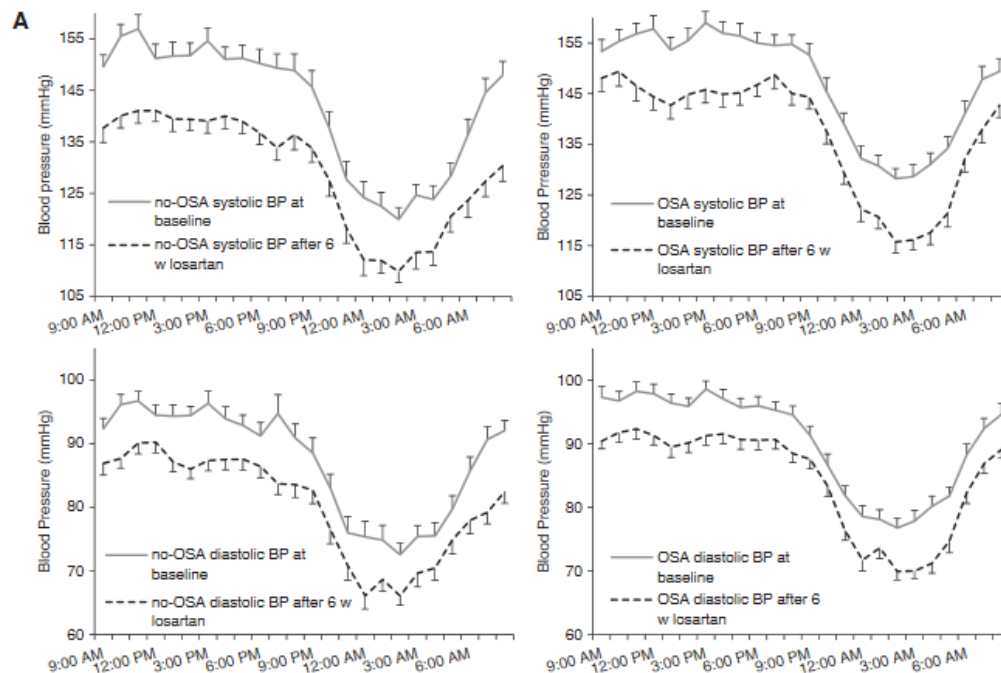
Am J Res Crit Care Med 2016

Open-labelled study with Losartan (6 weeks)



Open-labelled study with Losartan (12 weeks)

Randomized Controlled Trial

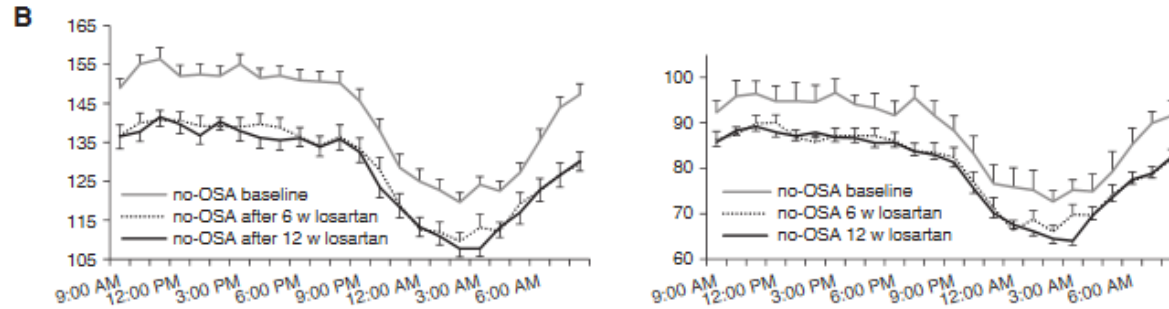


Blood Pressure Response to Losartan and Continuous Positive Airway Pressure in Hypertension and Obstructive Sleep Apnea

Erik Thunström¹, Karin Manhem¹, Annika Rosengren¹, and Yüksel Peker^{1,2}

Am J Res Crit Care Med 2016

No OSA

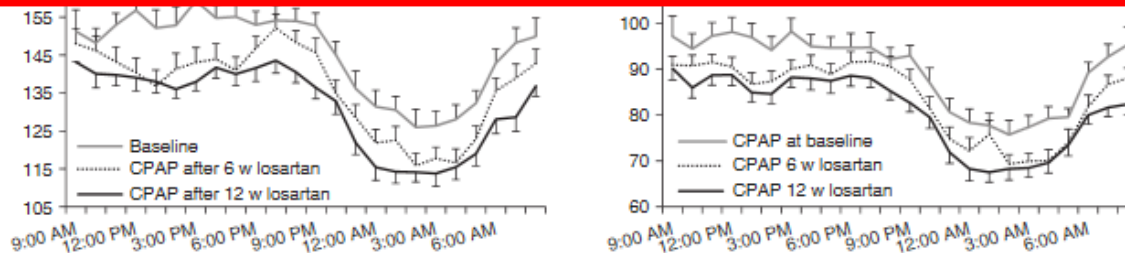


Schlussfolgerung:

OSA
CPAP

- Kombination einer anti-HTN Medikation mit CPAP hat einen synergistischen Effekt auf den Blutdruck
- HTN Pathophysiologie ist multidimensional in dieser Population

OSA
CPAP +



Mean nighttime BP

Systolic

At randomization	116.5 ± 12.9	120.2 ± 13.5	124.1 ± 13.2	0.335	
6 wk after randomization	114.9 ± 11.3	121.5 ± 14.4	119.4 ± 12.3	0.592	5.9 (0.021)

Mean morning BP

Systolic

At randomization	126.4 ± 16.3	133.8 ± 15.6	139.1 ± 16.1	0.245	
6 wk after randomization	126.4 ± 15.0	135.1 ± 19.1	131.2 ± 14.8	0.438	9.1 (0.024)

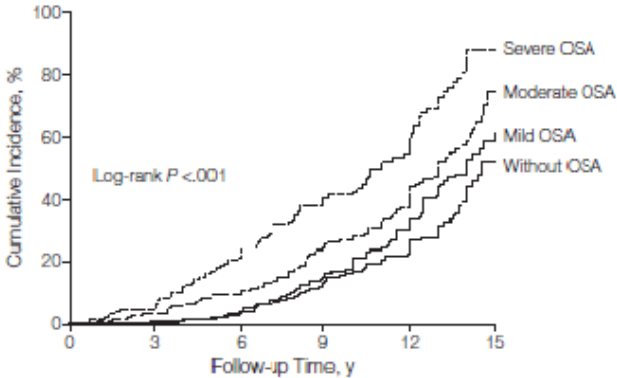
CPAP Behandlung senkt das Risiko eine Hypertonie zu entwickeln

Prospective cohort study of 1889 patients without HTN referred to a sleep lab mean follow-up 12y

Non treated OSA is significantly associated to a increased risk of incidence of HTN, related to the severity of OSA

CPAP therapy is associated with a significant decreased of incidence of HTN

Figure 2. Cumulative Incidence of Hypertension in Participants Without OSA and Untreated Patients With OSA



No. at risk	0	3	6	9	12	15
Severe OSA	199	184	141	119	62	37
Moderate OSA	258	222	202	162	114	67
Mild OSA	298	289	260	194	127	59
Without OSA	310	306	269	211	152	72

OSA indicates obstructive sleep apnea. Severity of OSA was defined by the apnea-hypopnea index (AHI) as mild OSA (AHI, 5.0-14.9), moderate OSA (AHI, 15.0-29.9), and severe OSA (AHI, ≥30.0). P value reflects an overall log-rank χ^2 test, providing an overall survival difference among the 4 study groups.

Table 2. Crude Rates of Incident Hypertension in Controls and Patients With Treated and Untreated OSA

	Controls ^a (n = 310)	Patients With OSA			
		Ineligible for CPAP Therapy (n = 462)	Declined CPAP Therapy (n = 195)	Nonadherent to CPAP Therapy (n = 98)	Treated With CPAP Therapy (n = 824)
AHI at baseline, mean (SD)	2.6 (1.3)	14.2 (6.6)	37.1 (16.3)	31.3 (13.4)	41.2 (19.9)
Incident hypertension, No. (%)	78 (25)	175 (38)	119 (61)	53 (53)	280 (34)
Total No. observed, person-years	3563	5239	2037	1015	9149
Crude incidence rate, No. per 100 person-years (95% CI)	2.19 (1.71-2.67)	3.34 (2.85-3.82)	5.84 (4.82-6.86)	5.12 (3.76-6.47)	3.06 (2.70-3.41)
P value		<.001	<.001	<.001	.003

Abbreviations: AHI, apnea-hypopnea index; CPAP, continuous positive airway pressure; OSA, obstructive sleep apnea.
^aParticipants without OSA were controls. P values were calculated from 2-sided log-rank test comparing each of the patients with OSA groups with the control group.

Klinisch-observative Studien zeigen, dass das OSA-assoziierte CV-Risiko durch CPAP normalisiert wird

	Exposure	Outcome	HR (untreated)	HR (treated)
Peker 2002	AI ≥ 5	MI, stroke, CV death	7.7	1.0
Marin 2005	AHI ≥ 30	Non-fatal MI, stroke	2.4	1.1
Campos-Rodriguez 2014	AHI ≥ 10	Incident MI, stroke	2.8	0.9
Campos-Rodriguez 2014	AHI ≥ 10	Incident stroke	6.4	1.3
Martinez-Garcia 2012	AHI ≥ 30	Recurrent stroke	2.0	0.9

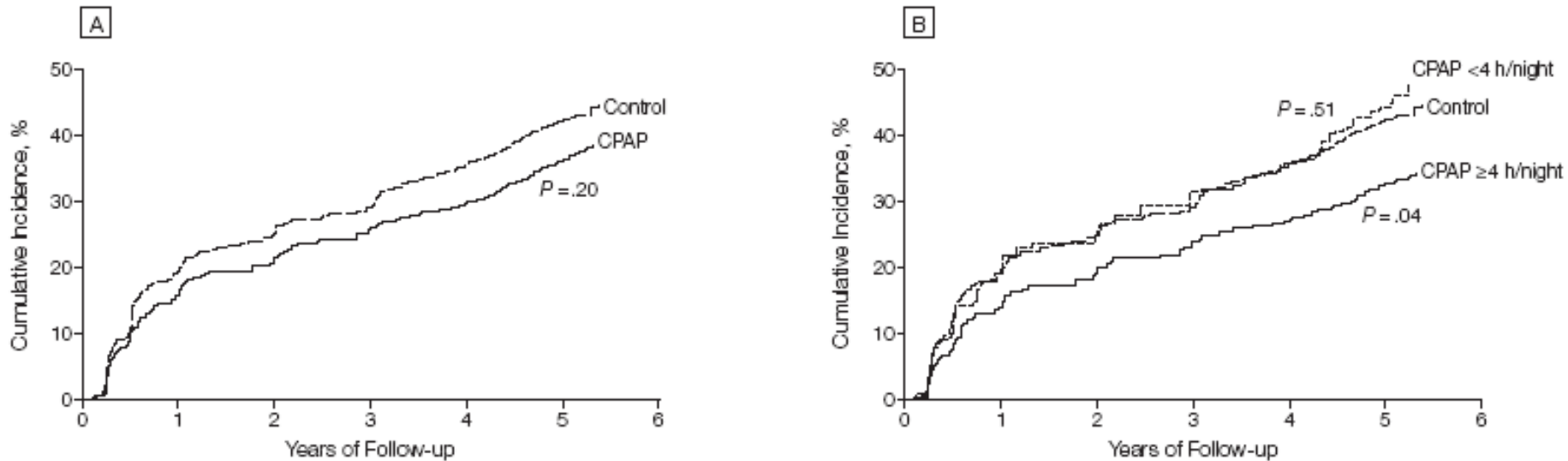
Zwischenbilanz...

- OSA Prävalenz ist hoch bei Patienten CV-Erkrankungen
- Observationsstudien deuten eindeutig auf ein erhöhtes, schwergrad-abhängiges CV-Risiko durch OSA hin
- CPAP kann den Blutdruck senken (RCTs)
- Longitudinale Observationsstudien (nicht-randomisiert!) zeigen klar eine CPAP-assoziierte Senkung des CV Risikos und verbessertes Überleben

So, wie sieht es mit prospektiven RCTs aus?

Incidence of HTN or CV events in non sleepy OSA patients (CERCAS trial)

Figure 2. Cumulative Incidence of Hypertension or Cardiovascular Events During Follow-up



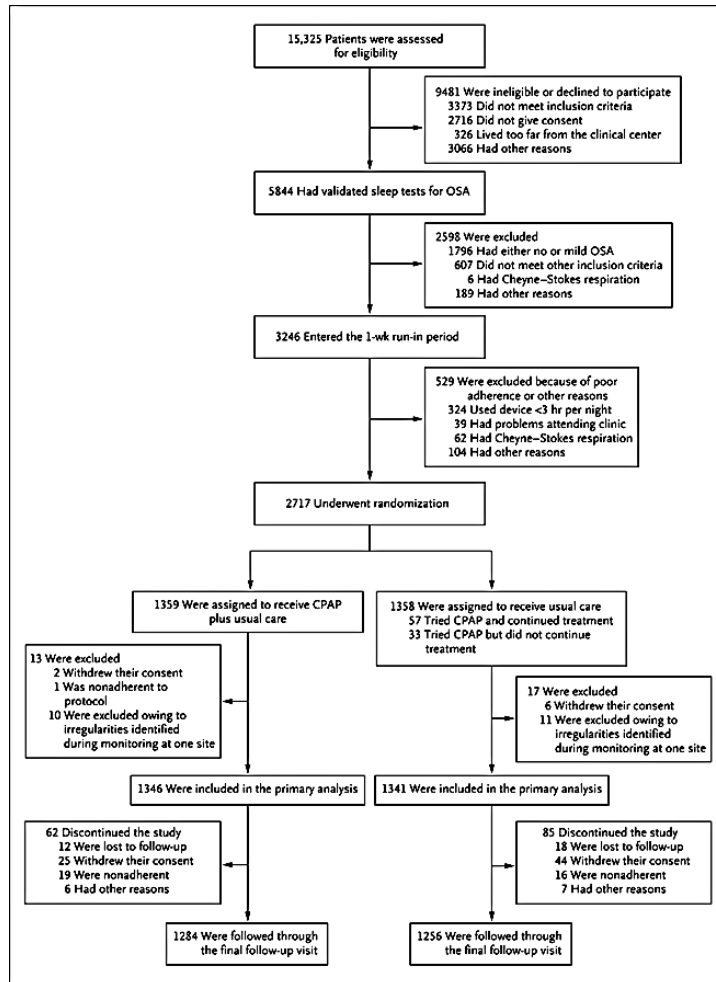
- **Multicenter RCT with 725 patients with AHI>20 without sleepiness (ESS≤10)**
- In this cohort of non-sleepy patients (50% with initial HTN whom 25% treated for HTN), no beneficial effect of CPAP on incident HTN or CV events.
- In post hoc analysis, compliance > **4h/night** leads to significant decrease

CPAP for Prevention of Cardiovascular Events in OSA

McEvoy RD et al. *N Engl J Med.* 2016 Sep 8;375(10):919-31.

“SAVE-Trial”

Non-sleepy patients with pre-existing coronary or cerebrovascular disease



Secondary prevention trial
(almost half with prior stroke)

- 5844 sleep tests
- 3246 eligible for run-in (AHI ≥ 12)
- 2717 randomized (sham adherence ≥ 3 hrs/night)
- Mean AHI 29/hour
- Mean ESS 7.4

Mean f/u 3.7 years

Mean CPAP use 3.3 hours/night

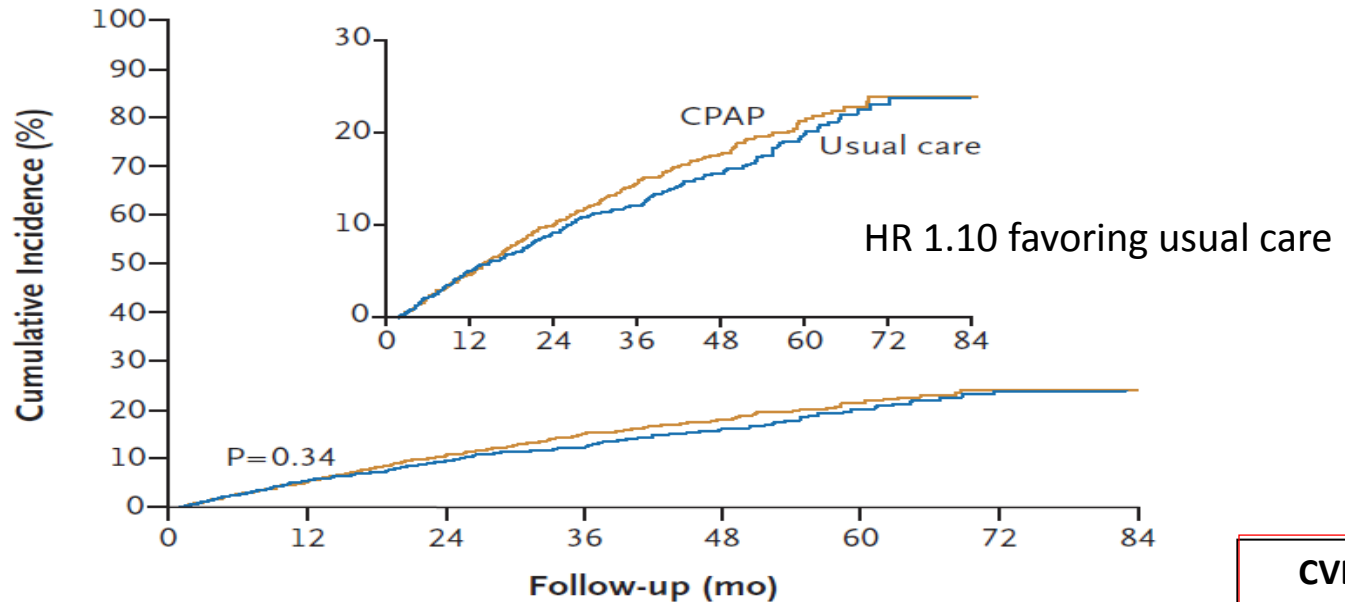
Primary outcome:

CV death, MI, stroke, or hospitalization for heart failure, unstable angina or TIA

CPAP for Prevention of Cardiovascular Events in OSA

McEvoy RD et al. *N Engl J Med.* 2016 Sep 8;375(10):919-31.

“SAVE-Trial”



No. at Risk

CPAP	1346	1222	1118	754	482	278	146	146
Usual care	1341	1211	1108	727	499	290	103	103

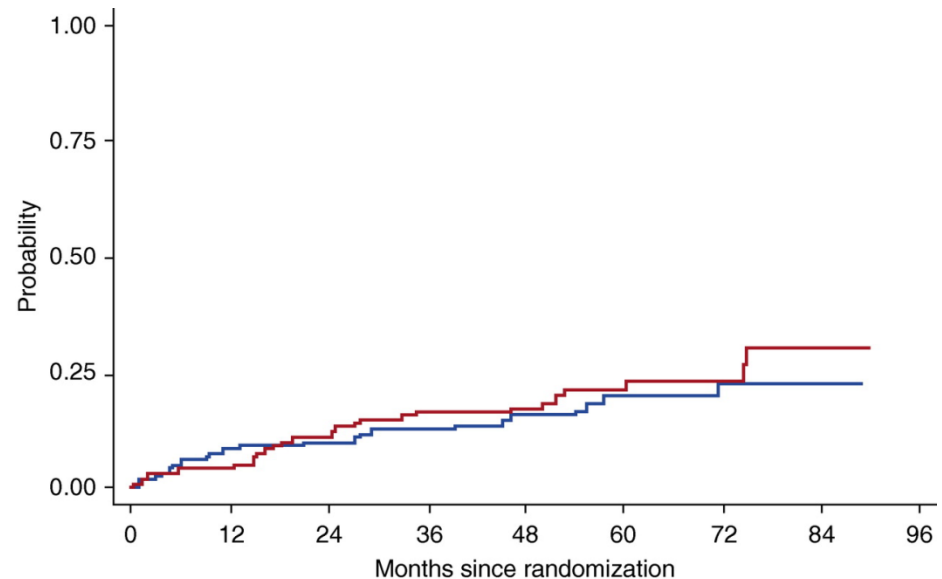
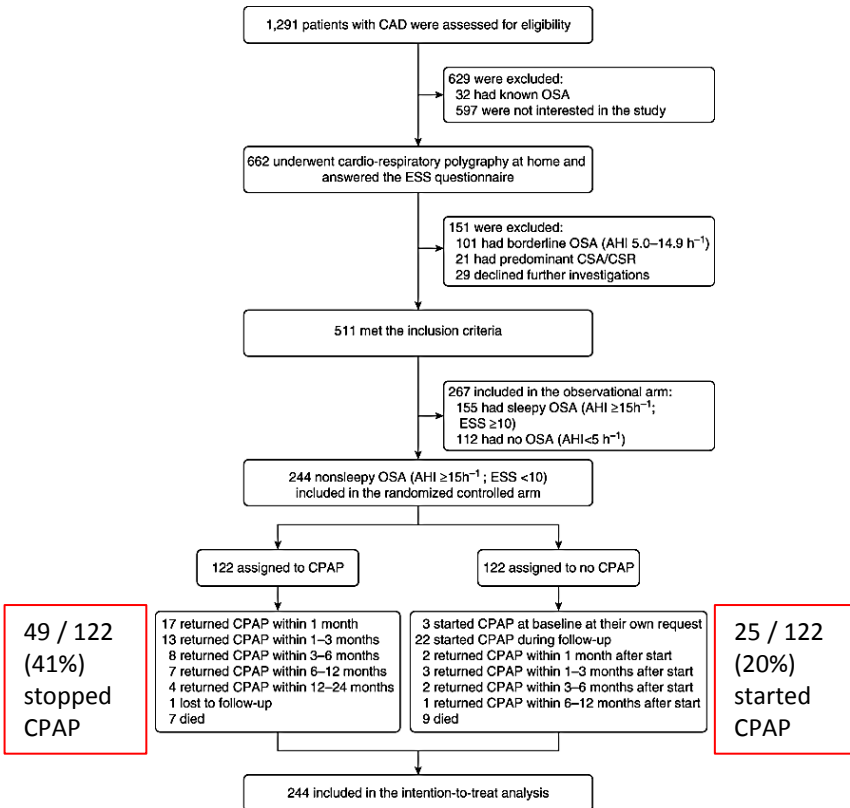
CVD Endpoints

229
207

**Primary endpoint neutral. Average compliance only 3.3 hrs.
CPAP treated patients showed a significant drop in ESS, QoL, mood and in diastolic BP**

Effect of CPAP on Cardiovascular Outcomes in Coronary Artery Disease Patients with Nonsleepy OSA. The RICCADSA Randomized Controlled Trial.

Peker Y et al. Am J Respir Crit Care Med. 2016 Sep 1;194(5):613-20

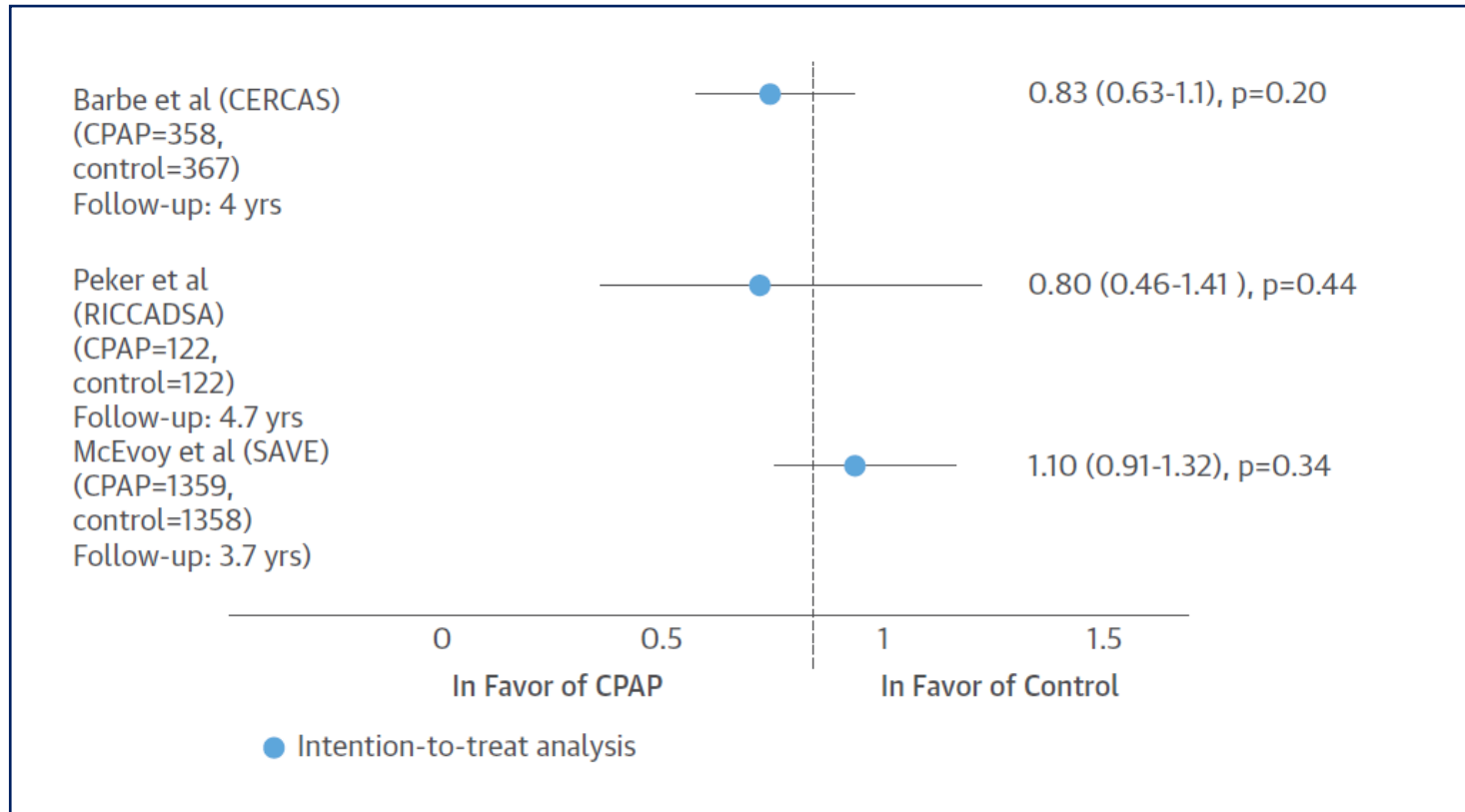


	0	12	24	36	48	60	72	84	96
CPAP	122	111	108	90	74	45	29	5	0
Control	122	117	109	87	71	43	27	5	0

— CPAP — Control

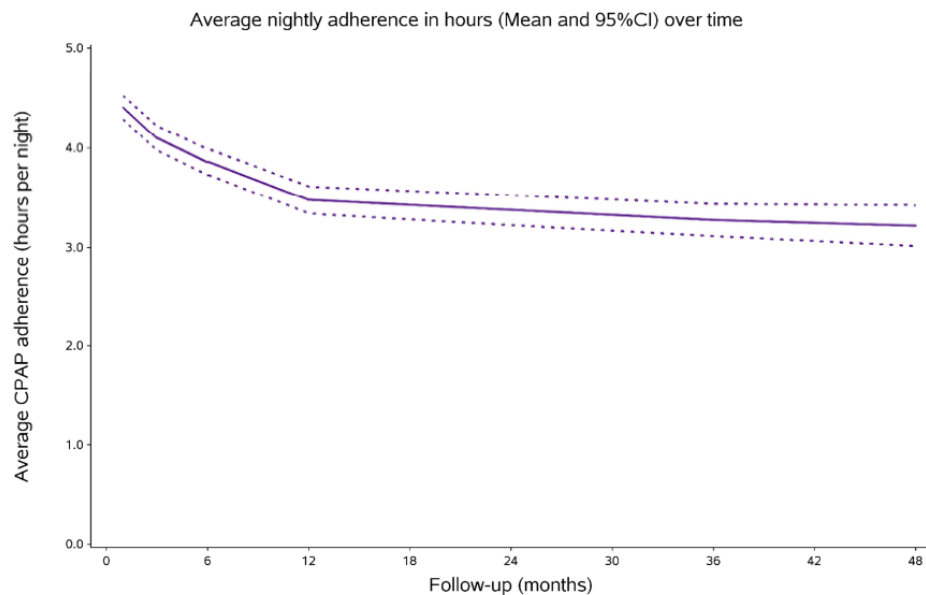
Schlussfolgerung: Keine Verbesserung des CV Outcome bei den CPAP behandelten Patienten. Aber, signifikanter Vorteil der CPAP-Therapie bei Patienten mit guter Compliance (>4 h/Nacht): HR 0.29 (CI 0.10-0.86 on multivariate analysis, P=0.026)

Zusammenfassung RCTs: CPAP-Effekt vs. Kontrolle



Warum zeigte sich in den RCTs kein Vorteil für CPAP?

- Vielleicht reduziert CPAP das CV-Risiko gar nicht?
- Inadequate CPAP Nutzung?
z.B. Adherence im SAVE-Trial:



Means hours of use per night at:	
Sham run-in	5.2 hrs
1 month	4.4 hrs
12 months	3.5 hrs
Total study	3.3 hrs

Warum zeigte sich in den RCTs kein Vorteil für CPAP?

Einfluss der CPAP Adherence

SAVE-Trial

- Baseline AHI: 29 /h
- CPAP AHI: 3.7/h (mean usage per night: 3.3 h)
- Assumed “normal” sleep duration in adults: 6 – 8 hours per night (mean 7 h)

SAVE Trial baseline

7 hours

Mean AHI: 29 / h

AHI: 29 / h

SAVE Trial «ideal» usage

7 hours

Mean AHI: 3.7 / h

AHI: 3.7 / h

SAVE Trial «real» usage

3.3 hours

3.7 hours

Mean AHI: 17.1 / h!

AHI: 3.7 / h

AHI: 29 / h

SAVE Trial «good» compliance

4 hours

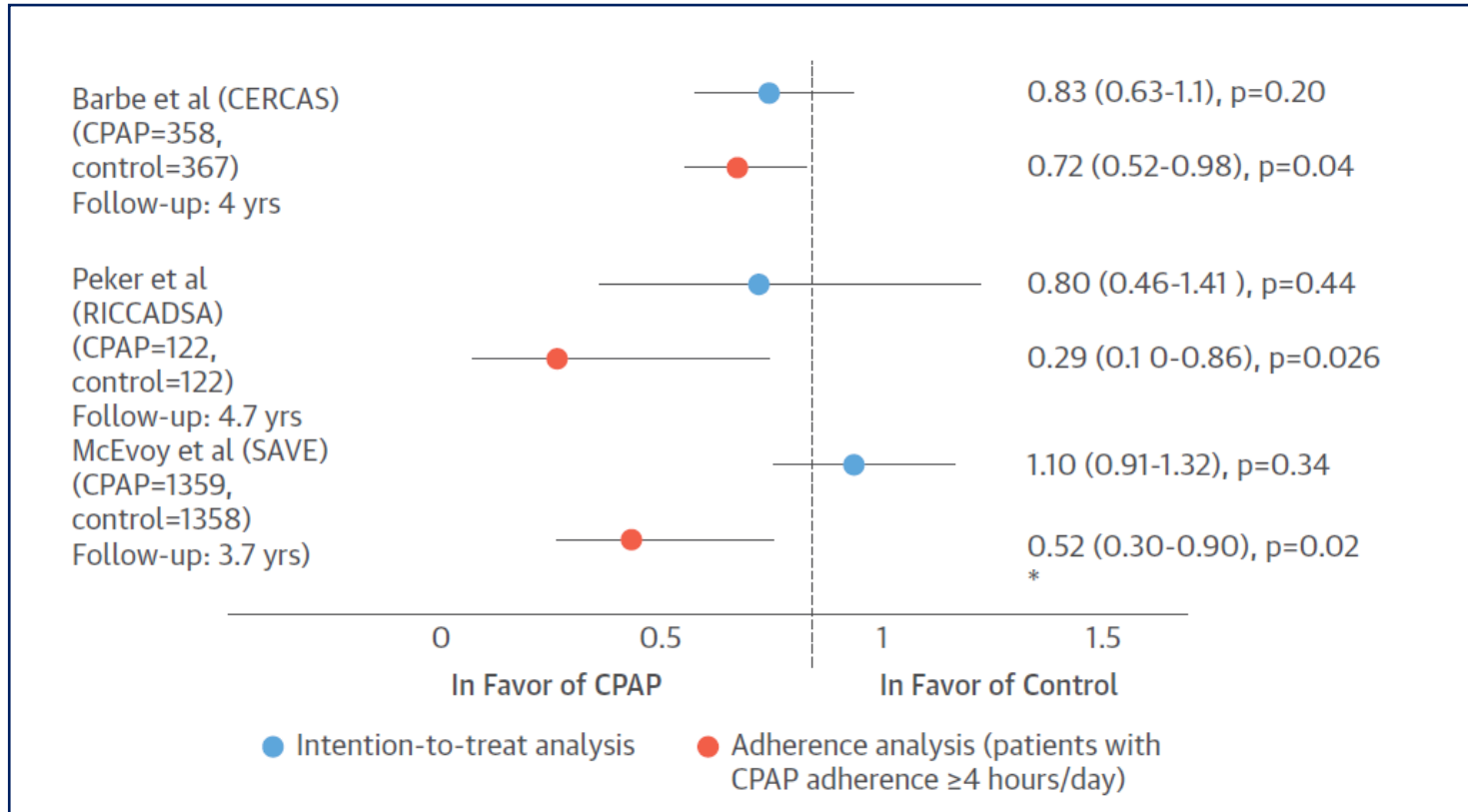
3 hours

Mean AHI: 14.5 / h

AHI: 3.7 / h

AHI: 29 / h

RCTs Summary of CPAP-Effect vs. Control

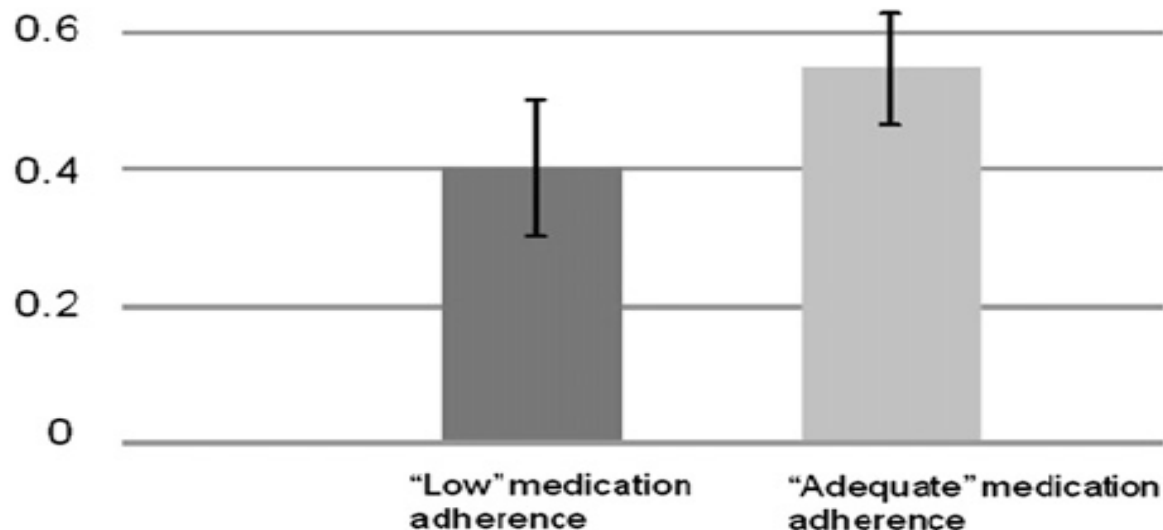


Warum zeigte sich in den RCTs kein Vorteil für CPAP?

Einfluss der CPAP Adherence

“Healthy User Effect” und CPAP

Die Wahrscheinlichkeit einer guten CPAP-Nutzung (≥ 4 h/Nacht) lässt sich anhand der Therapietreue bei Lipidsenkern vorhersagen...



Warum zeigte sich in den RCTs kein Vorteil für CPAP?

- Vielleicht reduziert CPAP das CV-Risiko gar nicht?
- Inadequate CPAP Nutzung?
- Sekundärprophylaxe ist möglicherweise der falsche Ansatz?
- Behandlung von “Mediatoren” des OSA-Effekts (Hypertonus, Diabetes, etc.) überdeckt das OSA-assoziierte Risiko?

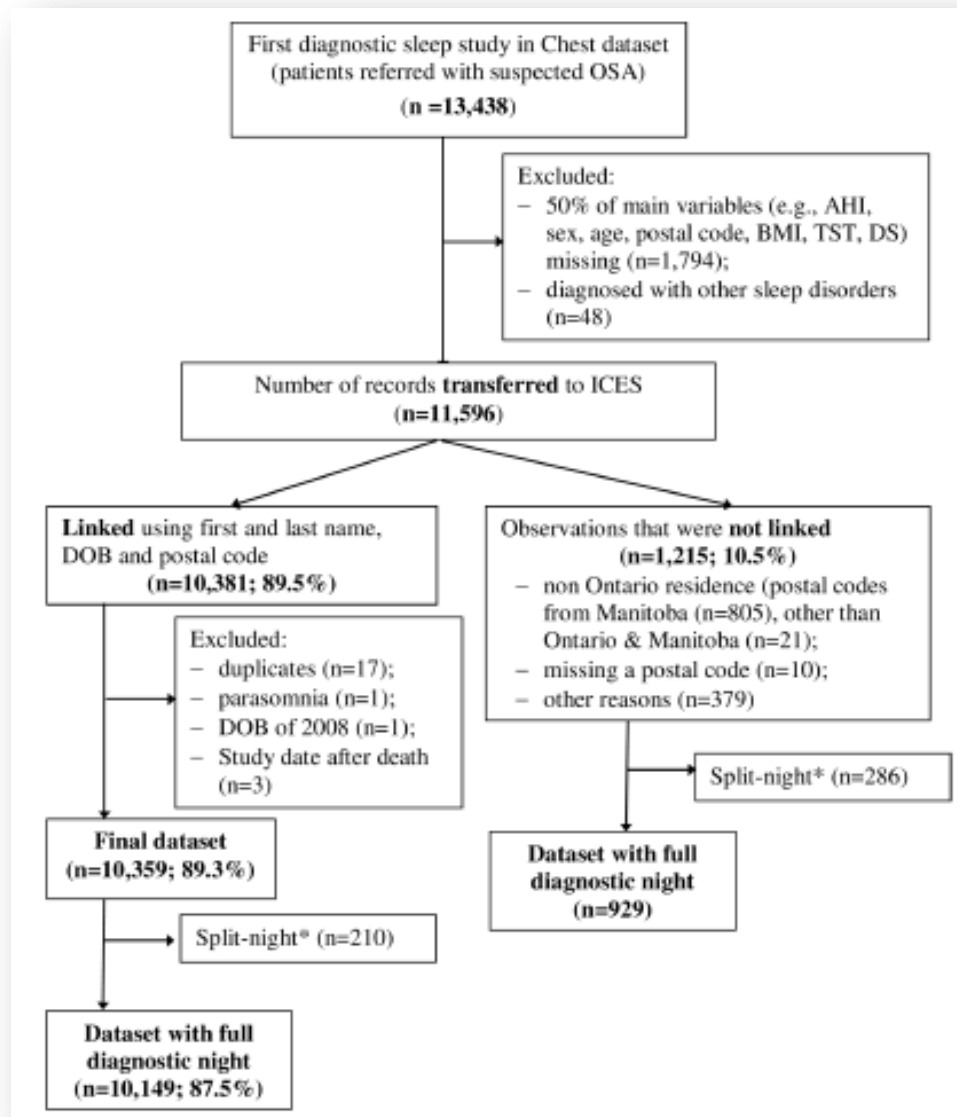
Medications — no./total no. (%)		
Antihypertensive agent	1049/1346 (77.9)	1040/1341 (77.6)
Statin or other lipid-lowering agent	762/1346 (56.6)	800/1341 (59.7)
Antidiabetic oral medication	291/1346 (21.6)	291/1341 (21.7)
Insulin	80/1346 (5.9)	83/1341 (6.2)
Aspirin or other antithrombotic agent	1009/1346 (75.0)	1009/1341 (75.2)

McEvoy RD et al. N Engl J Med. 2016

Warum zeigte sich in den RCTs kein Vorteil für CPAP?

- Vielleicht reduziert CPAP das CV-Risiko gar nicht?
- Inadequate CPAP Nutzung?
- Sekundärprophylaxe ist möglicherweise der falsche Ansatz?
- Behandlung von “Mediatoren” des OSA-Effekts (Hypertonus, Diabetes, etc.) überdeckt das OSA-assoziierte Risiko?
- AHI ist nicht der “richtige” Parameter, um das OSA-assoziierte CV-Risiko beurteilen zu können?

Ist der AHI der richtige Parameter zur Beurteilung der OSA-Schwere und des CV-Risikos?



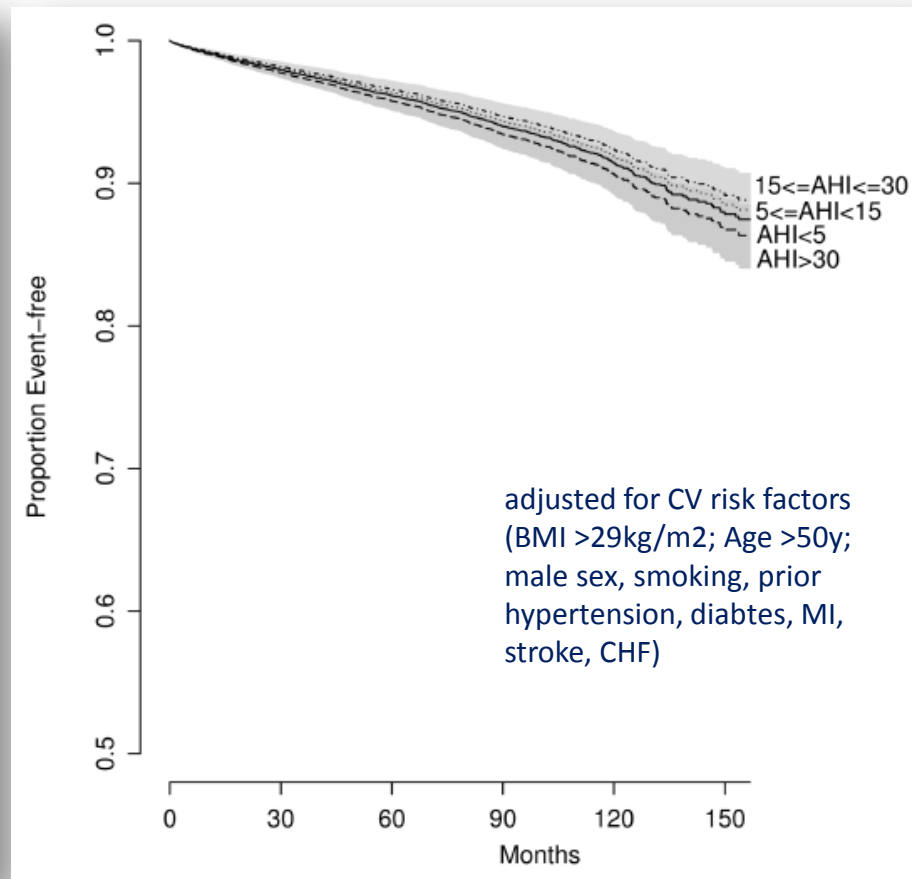
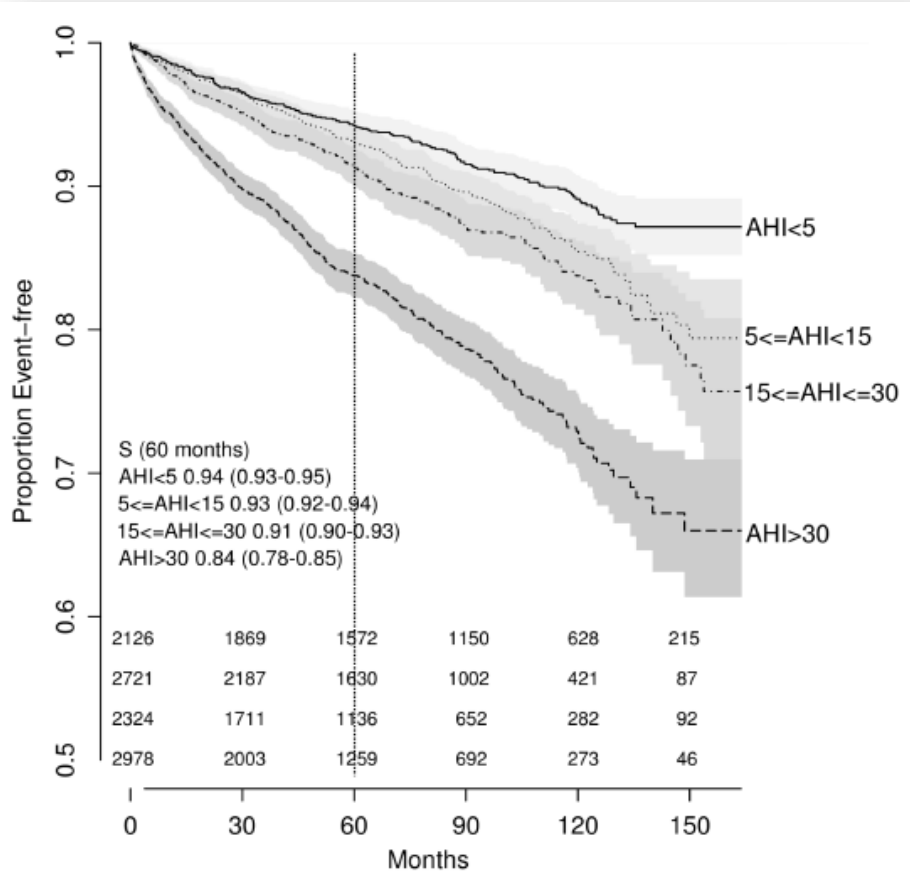
Cohort study based on clinical database and health administrative data from Ontario (Canada)

All adults referred for suspected OSA between 1994 and 2010 were followed until May 2011

Endpoint:
Occurrence of a composite outcome

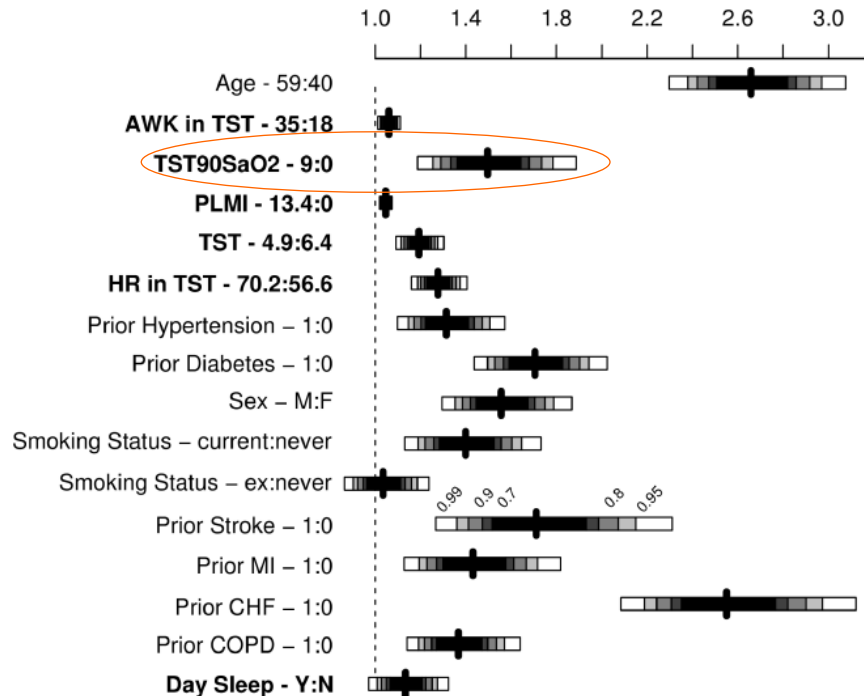
- myocardial infarction,
- Stroke
- CHF
- revascularization procedures
- death from any cause

Ist der AHI der richtige Parameter zur Beurteilung der OSA-Schwere und des CV-Risikos?



Ist der AHI der richtige Parameter zur Beurteilung der OSA-Schwere und des CV-Risikos?

In a fully adjusted model, other than AHI OSA-related variables were significant independent predictors: time spent with oxygen saturation 90%, sleep time, awakenings, periodic leg movements, heart rate and daytime sleepiness



Schlussfolgerung:

Andere OSA-assoziierte Faktoren (nicht AHI) waren wichtige Prädiktoren für das CV-Risiko bei OSA

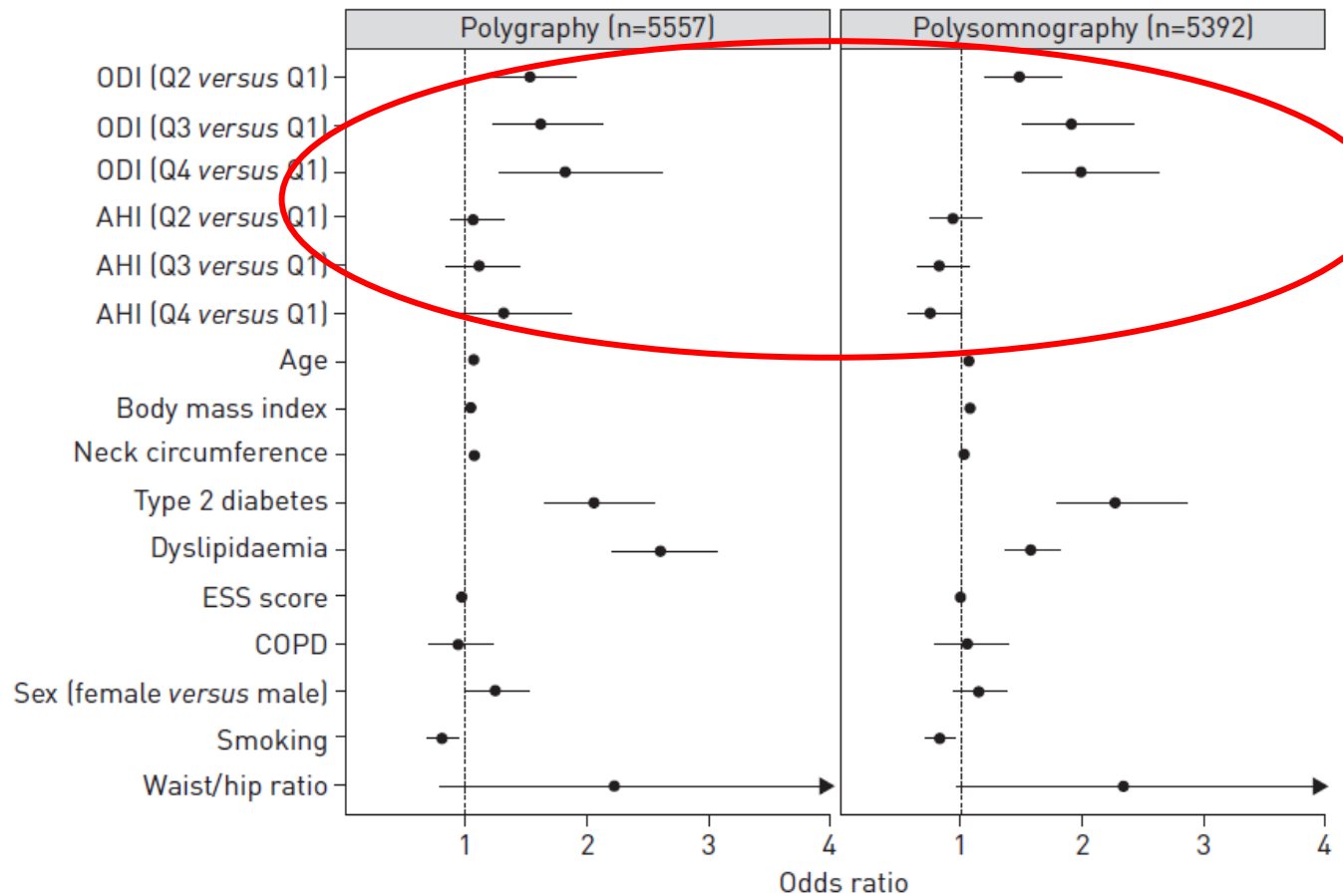
Nocturnal intermittent hypoxia predicts prevalent hypertension in the European Sleep Apnoea Database cohort study

Ruzena Tkacova^{1,2}, Walter T. McNicholas³, Martin Javorsky^{1,2}, Ingo Fietze⁴, Pawel Sliwinski⁵, Gianfranco Parati^{6,7}, Ludger Grote⁸ and Jan Hedner⁸, on behalf of the European Sleep Apnoea Database study collaborators⁹

N=11 911
(2007 – 2013)

AHI Q2 6.0 – 17.4

ODI Q2 3.6 – 11.9



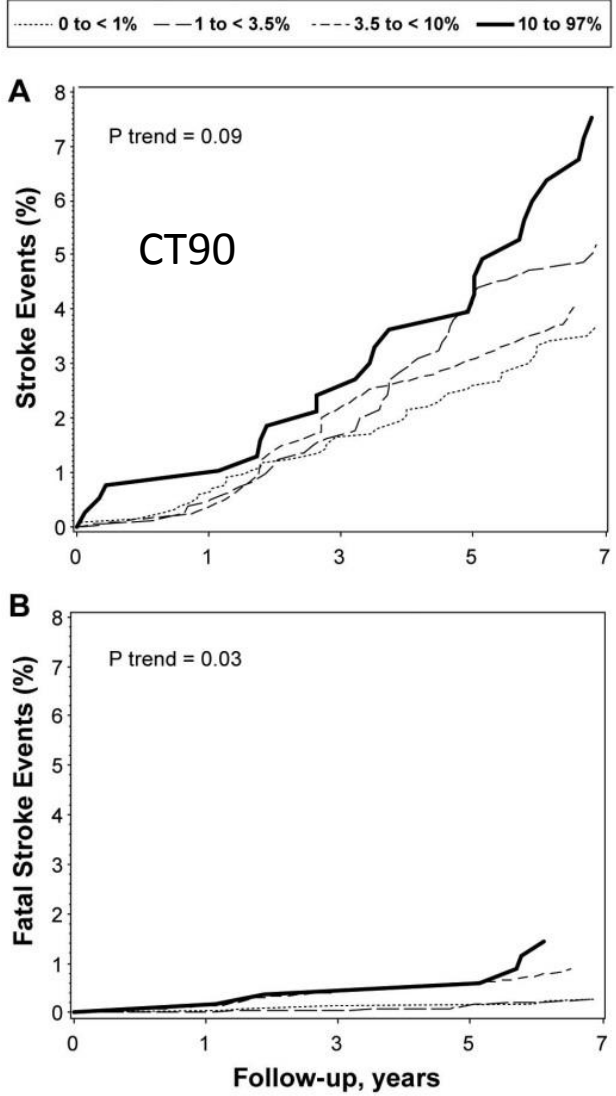
Sleep Disordered Breathing and Risk of Stroke in Older Community-Dwelling Men.

Stone, Sleep 2016.

2,872 elderly men having PSG.
 156 (5.4%) had stroke during average 7.3 yrs follow-up.

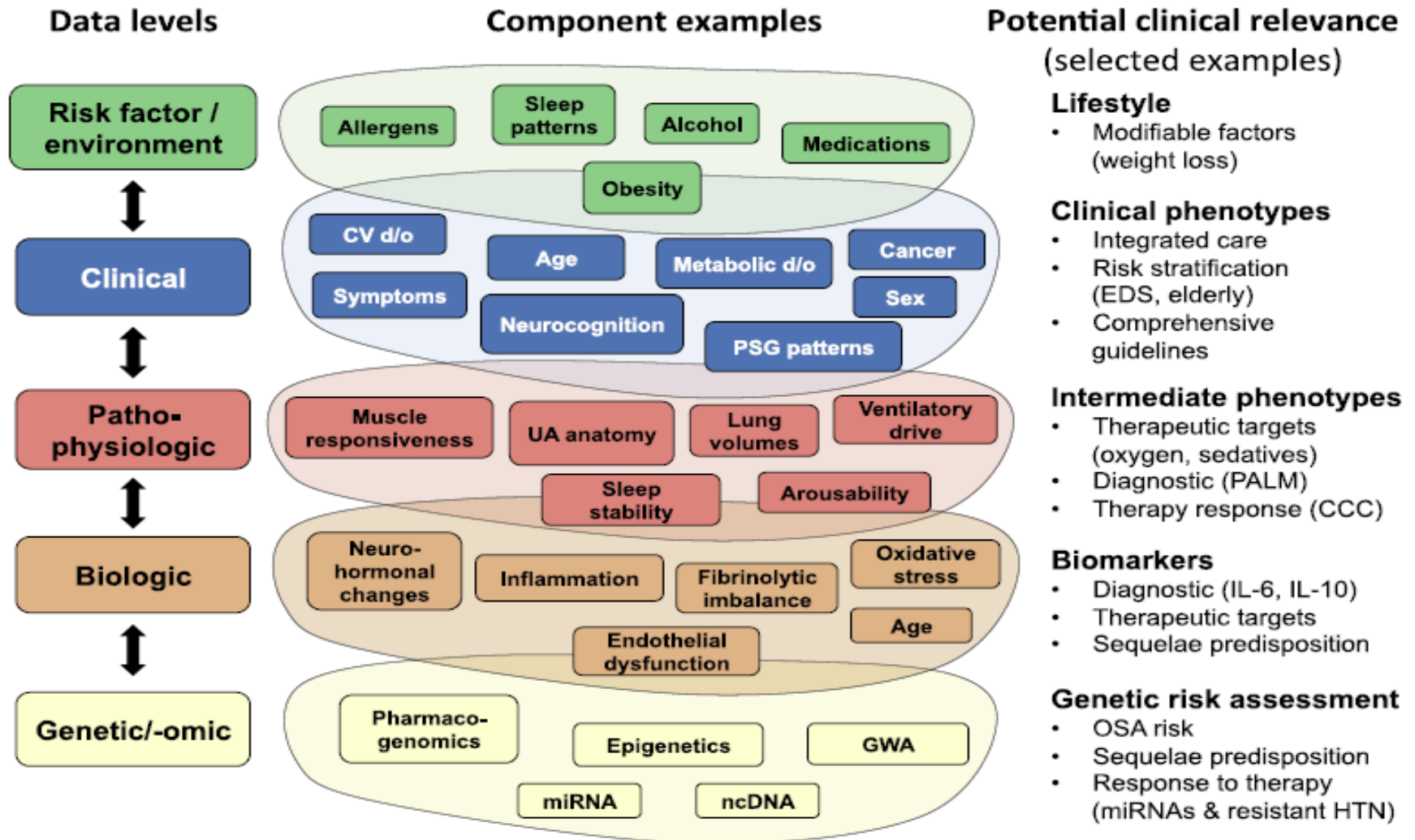
Severe nocturnal hypoxemia (CT90 >10%) had a 1.8-fold increased risk of incident stroke (P = 0.02).

AHI not associated with incident stroke.



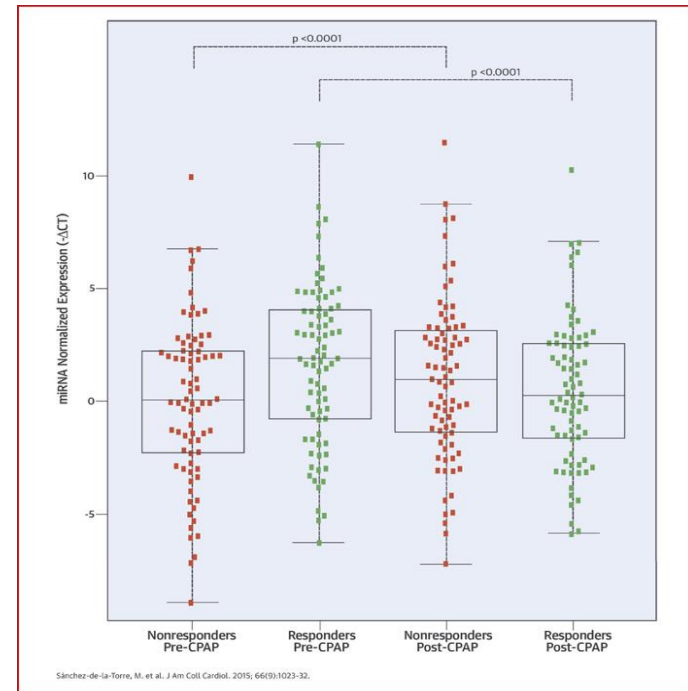
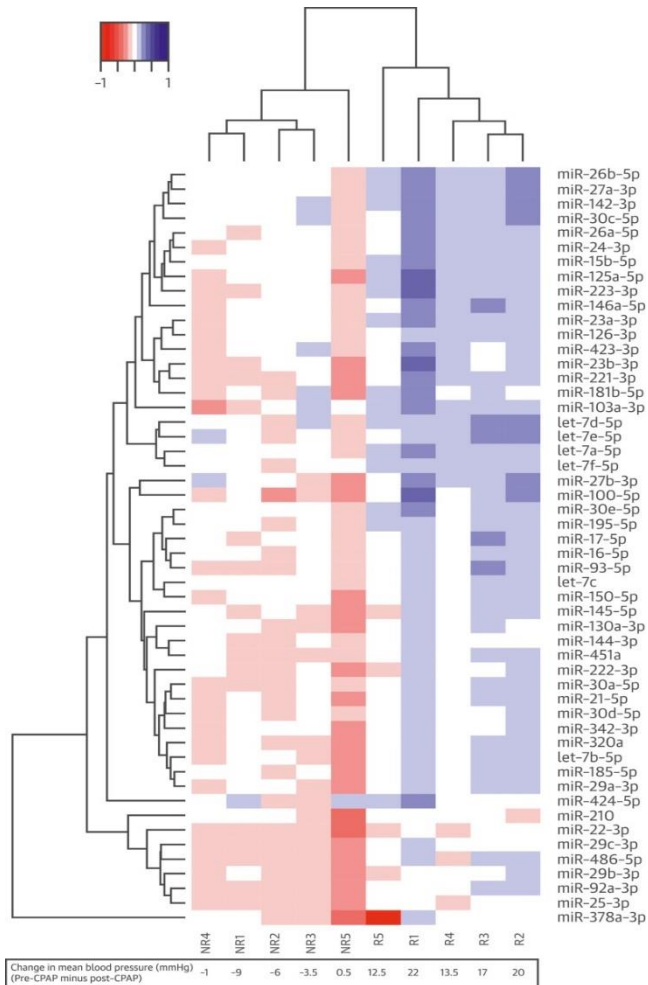
	Follow-up, years				
No. at risk:	0	1	3	5	7
0 to < 1%	1,400	1,383	1,299	1,194	1,080
1 to < 3.5%	753	744	703	634	582
3.5 to < 10%	365	358	336	307	271
10 to 97%	354	346	317	282	239

Data levels in OSA phenotyping and their potential relevance



Precision Medicine: Response to CPAP in Resistant Hypertension and OSA

Occurance of CVD-linked miRNA in relation to blood pressure change after CPAP
Prediction of the BP response to CPAP in patients with RH and OSA (proposed HIPARCO score)



Sanchez-de-la-Torre et al. The Spanish Sleep Network.
J Am Coll Cardiol. 2015, 66 (9):1023

Zusammenfassung

- Die Prävalenz der OSA hat in den vergangenen Jahren deutlich zugenommen
- OSA ist bei Patienten mit CV-Erkrankung überdurchschnittlich oft zu finden
- Gute Evidenz für die zugrunde liegenden Pathomechanismen
- Observationsstudien zeigen klar ein erhöhtes, schweregradabhängiges CV-Risiko bei OSA und einen deutlichen therapeutischen Effekt von CPAP (Senkung des CV-Risiko)
- Die jüngsten RCTs lassen allerdings an der Effektivität einer CPAP-Behandlung in der sekundäre Prophylaxe von CV-Erkrankungen zweifeln
Aber: methodologische Schwächen geben Anlass zu Bedenken
bzgl. Aussagekraft (z.B. schlechte Compliance, nur non-sleepy, etc.)
- Möglicherweise ist die aktuelle Definition von OSA (z.B. Schweregrad nach AHI, etc.) unzureichend zur Beurteilung der Schwere der OSA und des CV-Risikos
- OSA Phänotypisierung könnte helfen Risiko-Patienten besser zu erkennen.

„Laugh and the world laughs with you,
snore and you sleep alone.“

Anthony Burgess (1917 - 1993), British Writer



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Back-up

APPENDIX 1. AN OVERVIEW OF THE SCORING CRITERIA CHANGES WITH REGARDS TO APNEAS AND HYPOPNEAS IN ADULTS IN THE AASM MANUAL

	<i>Chicago criteria (1999)</i>	<i>AASM version 1.0 (2007)</i>	<i>AASM version 2.0 (2012)</i>	<i>AASM version 2.03 (2014)</i>
Apnea				
Recommended		≥90% signal drop for ≥10 s and ≥90% of the event duration meets amplitude reduction criteria	≥90% signal drop for ≥10 s	Same as in version 2.0
Hypopnea				
Recommended	≥50% drop or <50% with ≥3% desat or arousal	≥30% signal drop for ≥10 s and ≥4% oxygen desaturation and ≥90% of the event duration meets amplitude reduction criteria	≥30% signal drop for ≥10 s and ≥3% oxygen desaturation or an associated arousal	Same as in version 2.0
Alternative		≥50% signal drop for ≥10 s and ≥3% oxygen desaturation or arousal and ≥90% of the event duration meets amplitude reduction criteria	NA	NA
Acceptable*		NA	NA	≥30% signal drop for ≥10 s with ≥4% oxygen desaturation

AASM, American Academy of Sleep Medicine; NA, not available.
 *The acceptable hypopnea scoring method was added to version 2.01 published in July 2013.