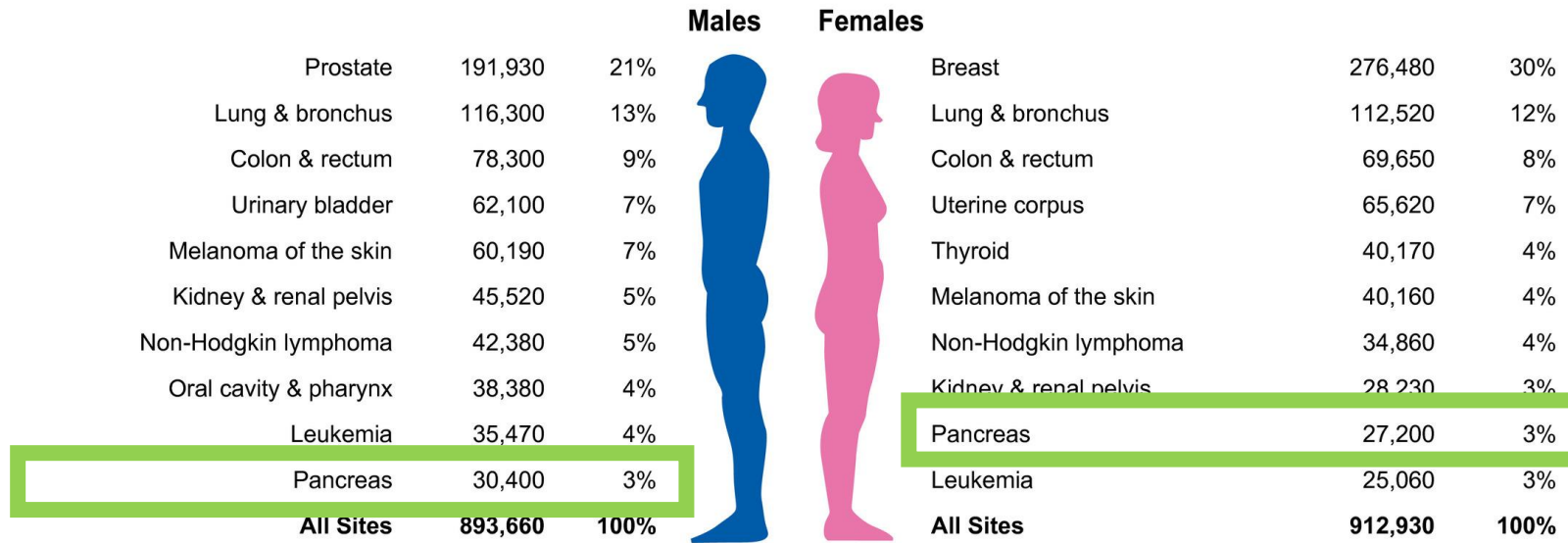


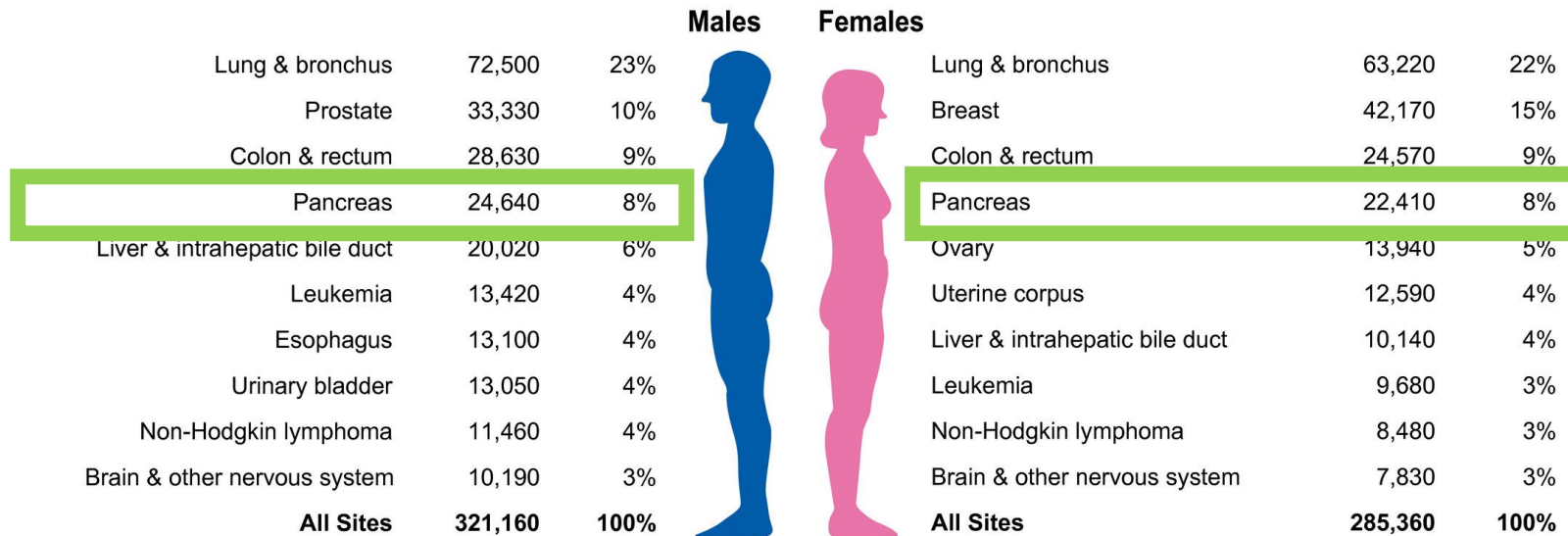
# Pancreatic Cancer: Sequence of Therapy and Resectability

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(504) 460 – 3808

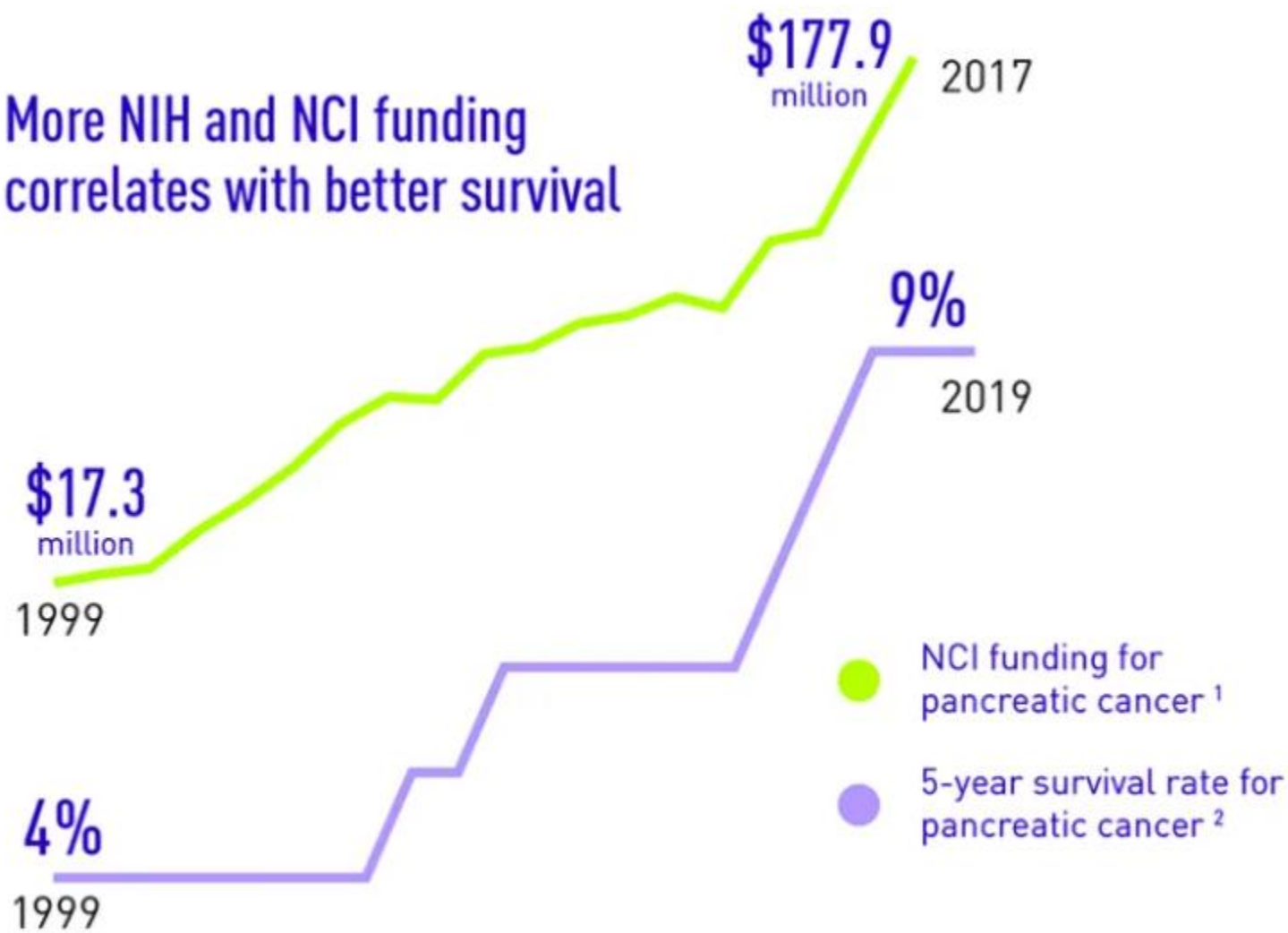
## Estimated New Cases



## Estimated Deaths



## More NIH and NCI funding correlates with better survival



1. NCI Funded Research Portfolio – <http://fundedresearch.cancer.gov/nciportfolio/> [accessed: May 2019].

2. American Cancer Society, Cancer Facts and Figures 1999-2019, SEER-9 database.

# Multidisciplinary Management

- Weekly dedicated Upper GI / Hepatobiliary Tumor Board
  - Surgical Oncology
  - Medical Oncology
  - Gastroenterology
  - Radiation Oncology
  - Radiology
  - Pathology
- Multidisciplinary Appointments – Promotes communication, patient education/understanding
- Tracking of outcomes (NSQIP, clinical trials)

# Staging

# Staging

“All I want to know is, what stage am I?”

**Table 1. Definitions for T, N, M**

**American Joint Committee on Cancer (AJCC) TNM Staging of Pancreatic Cancer (8th ed., 2017)**

<b>T</b>	<b>Primary Tumor</b>
<b>TX</b>	Primary tumor cannot be assessed
<b>T0</b>	No evidence of primary tumor
<b>Tis</b>	Carcinoma <i>in situ</i> This includes high-grade pancreatic intraepithelial neoplasia (PanIn-3), intraductal papillary mucinous neoplasm with high-grade dysplasia, intraductal tubulopapillary neoplasm with high-grade dysplasia, and mucinous cystic neoplasm with high-grade dysplasia
<b>T1</b>	Tumor ≤2 cm in greatest dimension
T1a	Tumor ≤0.5 cm in greatest dimension
T1b	Tumor >0.5 cm and <1 cm in greatest dimension
T1c	Tumor 1–2 cm in greatest dimension
<b>T2</b>	Tumor >2 cm and ≤4 cm in greatest dimension
<b>T3</b>	Tumor >4 cm in greatest dimension
<b>T4</b>	Tumor involves the celiac axis, superior mesenteric artery, and/or common hepatic artery, regardless of size

<b>N</b>	<b>Regional Lymph Nodes</b>
<b>NX</b>	Regional lymph nodes cannot be assessed
<b>N0</b>	No regional lymph node metastases
<b>N1</b>	Metastasis in one to three regional lymph nodes
<b>N2</b>	Metastasis in four or more regional lymph nodes
<b>M</b>	<b>Distant Metastasis</b>
<b>M0</b>	No distant metastases
<b>M1</b>	Distant metastasis

**Table 2. AJCC Prognostic Groups**

	<b>T</b>	<b>N</b>	<b>M</b>
<b>Stage 0</b>	Tis	N0	M0
<b>Stage IA</b>	T1	N0	M0
<b>Stage IB</b>	T2	N0	M0
<b>Stage IIA</b>	T3	N0	M0
<b>Stage IIB</b>	T1, T2, T3	N1	M0
<b>Stage III</b>	T1, T2, T3	N2	M0
	T4	Any N	M0
<b>Stage IV</b>	Any T	Any N	M1

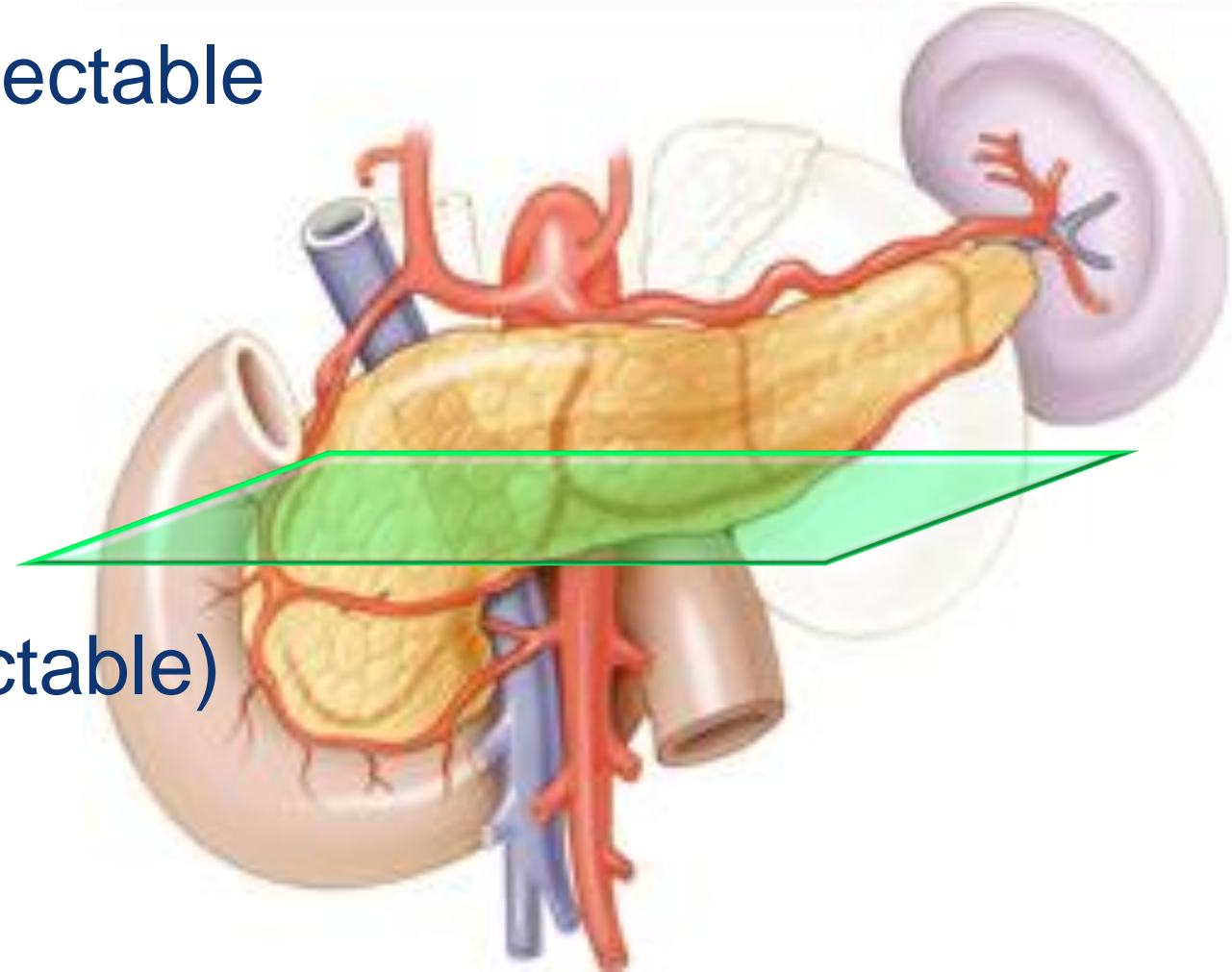
# “Resectability” trumps TNM

Heterogeneity in Stage III patients

Planning Resection  $\leftrightarrow$  Unresectable

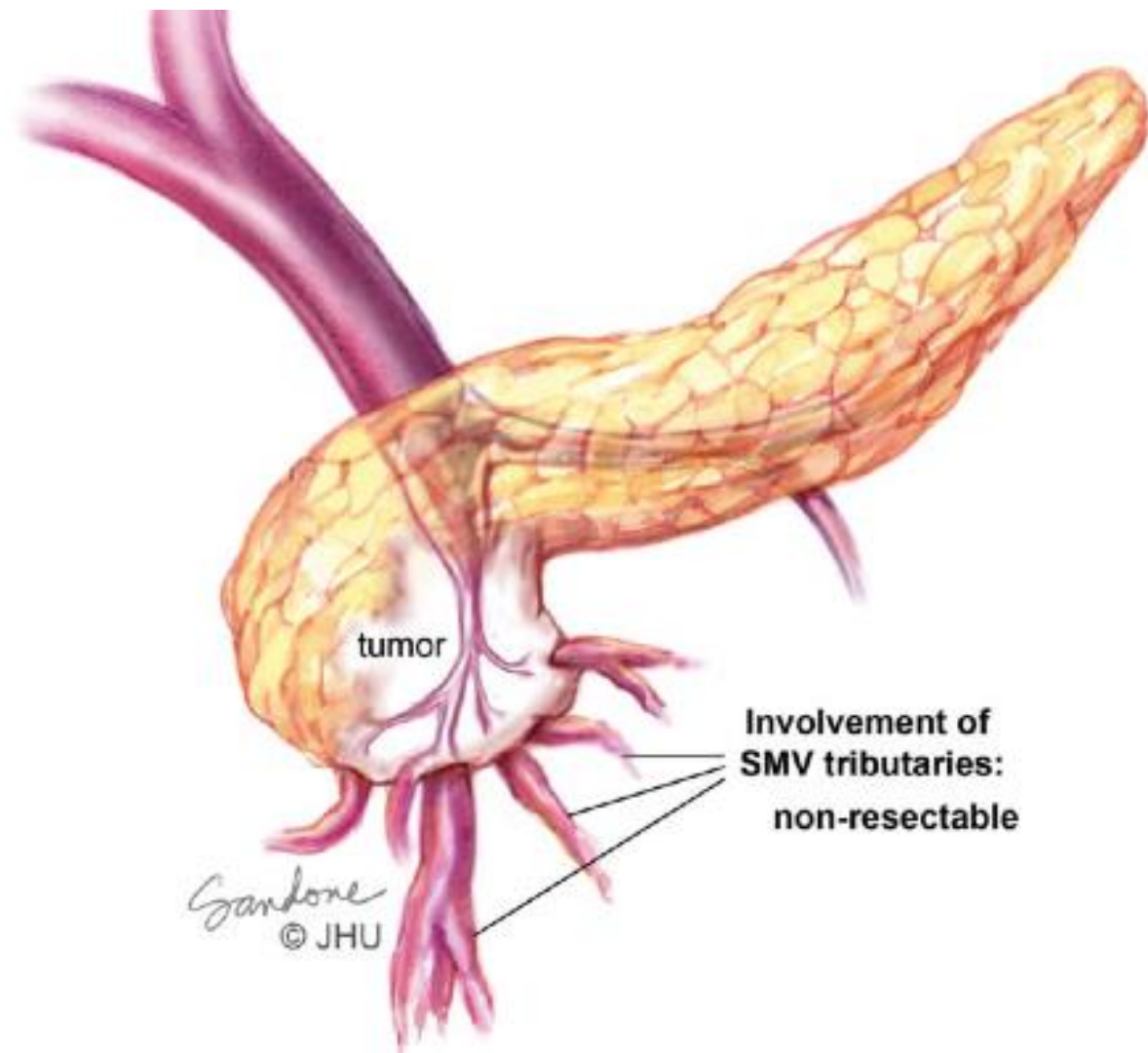
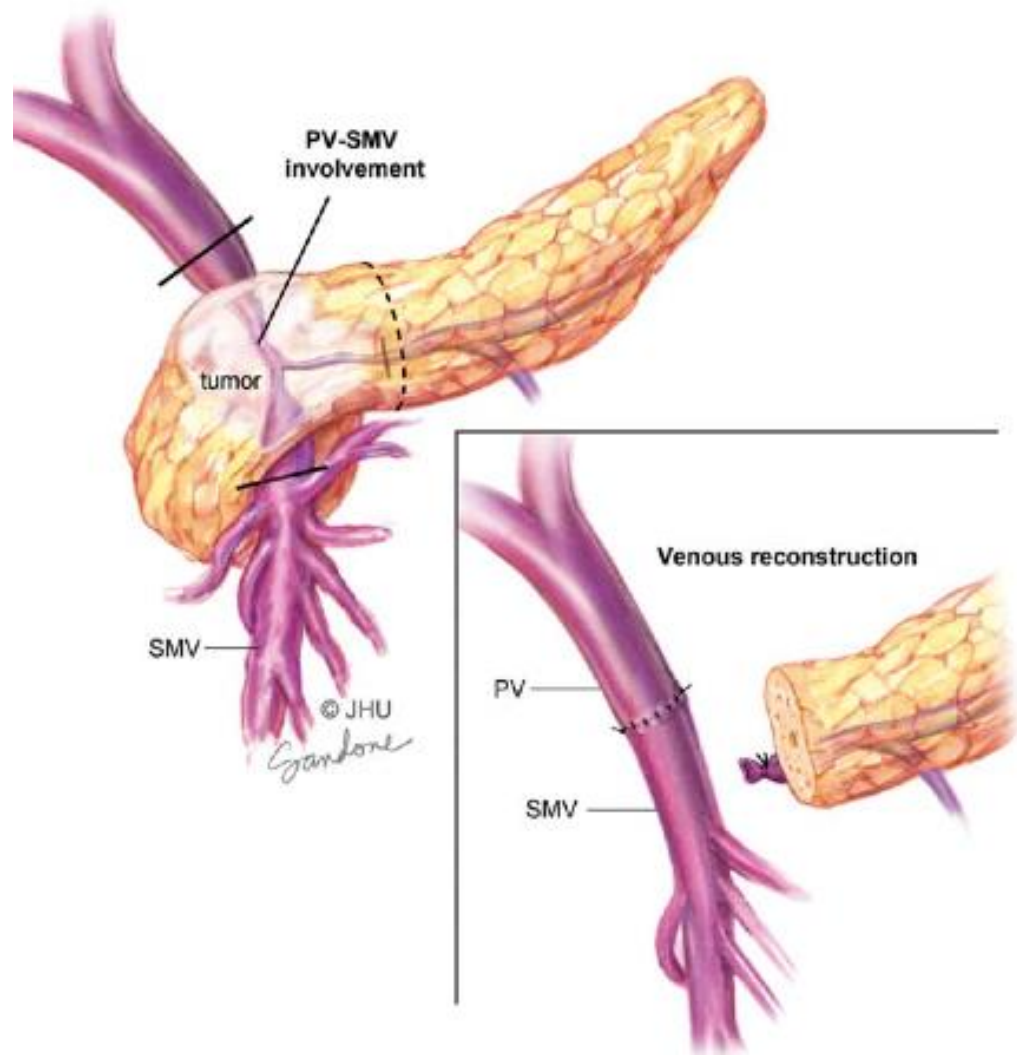
Standard Terminology

- Resectable
- Borderline Resectable
- Locally Advanced (ie unresectable)









# Resectability, NCCN 2018

Resectability Status	Arterial	Venous
Resectable	No arterial tumor contact (celiac axis [CA], superior mesenteric artery [SMA], or common hepatic artery [CHA]).	No tumor contact with the superior mesenteric vein (SMV) or portal vein (PV) or $\leq 180^\circ$ contact without vein contour irregularity.
Borderline Resectable <sup>b</sup>	<p><b><u>Pancreatic head/uncinate process:</u></b></p> <ul style="list-style-type: none"> <li>• Solid tumor contact with CHA without extension to CA or hepatic artery bifurcation allowing for safe and complete resection and reconstruction.</li> <li>• Solid tumor contact with the SMA of <math>\leq 180^\circ</math></li> <li>• Solid tumor contact with variant arterial anatomy (ex: accessory right hepatic artery, replaced right hepatic artery, replaced CHA, and the origin of replaced or accessory artery) and the presence and degree of tumor contact should be noted if present, as it may affect surgical planning.</li> </ul> <p><b><u>Pancreatic body/tail:</u></b></p> <ul style="list-style-type: none"> <li>• Solid tumor contact with the CA of <math>\leq 180^\circ</math></li> <li>• Solid tumor contact with the CA of <math>&gt;180^\circ</math> without involvement of the aorta and with intact and uninvolved gastroduodenal artery thereby permitting a modified Appleby procedure [some panel members prefer these criteria to be in the unresectable category].</li> </ul>	<ul style="list-style-type: none"> <li>• Solid tumor contact with the SMV or PV of <math>&gt;180^\circ</math>, contact of <math>\leq 180^\circ</math> with contour irregularity of the vein or thrombosis of the vein but with suitable vessel proximal and distal to the site of involvement allowing for safe and complete resection and vein reconstruction.</li> <li>• Solid tumor contact with the inferior vena cava (IVC).</li> </ul>
Unresectable <sup>b</sup>	<p>• Distant metastasis (including non-regional lymph node metastasis)</p> <p><b><u>Head/uncinate process:</u></b></p> <ul style="list-style-type: none"> <li>• Solid tumor contact with SMA <math>&gt;180^\circ</math></li> <li>• Solid tumor contact with the CA <math>&gt;180^\circ</math></li> </ul> <p><b><u>Body and tail:</u></b></p> <ul style="list-style-type: none"> <li>• Solid tumor contact of <math>&gt;180^\circ</math> with the SMA or CA</li> <li>• Solid tumor contact with the CA and aortic involvement</li> </ul>	<p><b><u>Head/uncinate process:</u></b></p> <ul style="list-style-type: none"> <li>• Unreconstructible SMV/PV due to tumor involvement or occlusion (can be due to tumor or bland thrombus)</li> <li>• Contact with most proximal draining jejunal branch into SMV</li> </ul> <p><b><u>Body and tail:</u></b></p> <ul style="list-style-type: none"> <li>• Unreconstructible SMV/PV due to tumor involvement or occlusion (can be due to tumor or bland thrombus)</li> </ul>

**TABLE 1. Classification of Locally Advanced Pancreatic Adenocarcinoma Into Type A and B and Comparison With Definitions Used for Resectable and Borderline Resectable Disease**

Vascular Structures That Determine Stage of Disease for Localized Pancreatic Cancer	Locally Advanced			
	Resectable	Borderline Resectable	Type A	Type B
<b>Tumor-artery anatomy</b>				
SMA (usually pertains to tumor of head or uncinate process)	No radiographic evidence of abutment or encasement	$\leq 180^\circ$ (abutment)	$> 180^\circ$ (encasement) but $\leq 270^\circ$	$> 270^\circ$ encasement
Celiac artery (usually pertains to tumor of pancreatic body)	No radiographic evidence of abutment or encasement	$\leq 180^\circ$ (abutment)	$> 180^\circ$ (encasement) but does not extend to aorta and amenable to celiac resection (with or without reconstruction)	$> 180^\circ$ and abutment/ encasement of aorta
Hepatic artery (usually pertains to tumor of pancreatic neck/head)	No radiographic evidence of abutment or encasement	Short-segment abutment/encasement without extension to celiac artery or hepatic artery bifurcation	$> 180^\circ$ encasement with extension to celiac artery and amenable to vascular reconstruction	$> 180^\circ$ encasement with extension beyond bifurcation of proper hepatic artery into right and left hepatic arteries
<b>Tumor-vein anatomy</b>				
SMV-PV	$\leq 50\%$ narrowing of SMV, PV, SMV-PV	$> 50\%$ narrowing of SMV, PV, SMV-PV <b>with</b> distal and proximal target for reconstruction	Occlusion <b>without</b> obvious option for reconstruction	
<b>Traditionally considered for resection after neoadjuvant therapy</b>	Yes	Yes	No	No

# Does resectability influence sequence of therapy?

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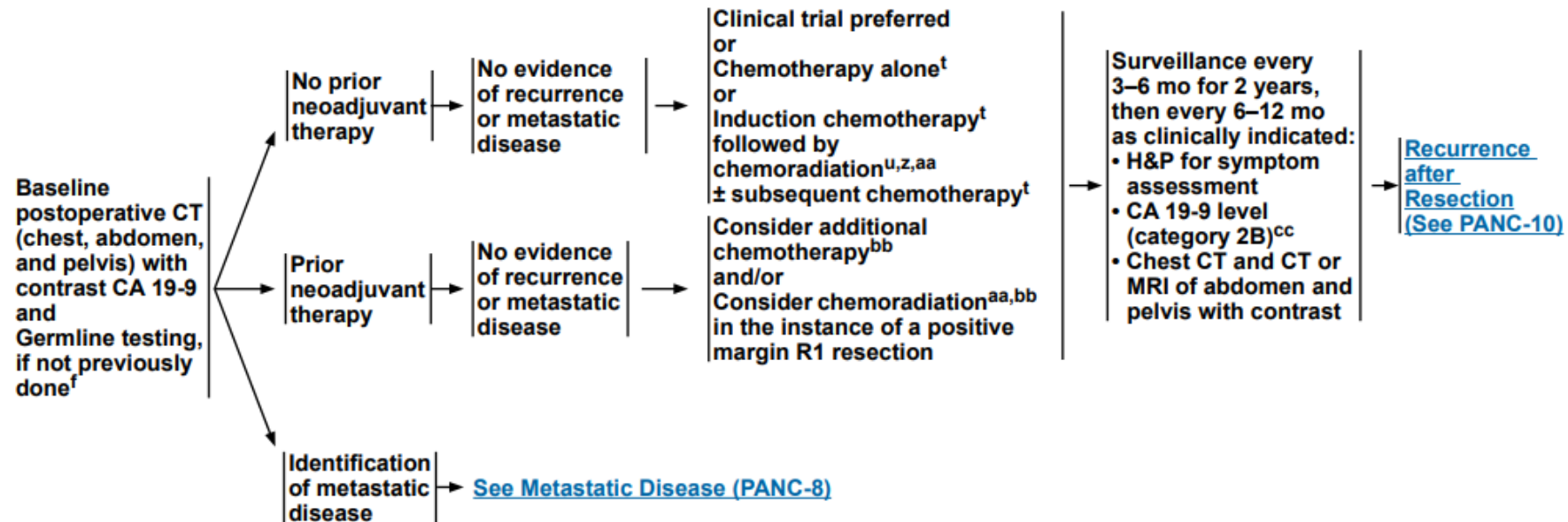
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## NCCN Guidelines Version 1.2021 Pancreatic Adenocarcinoma

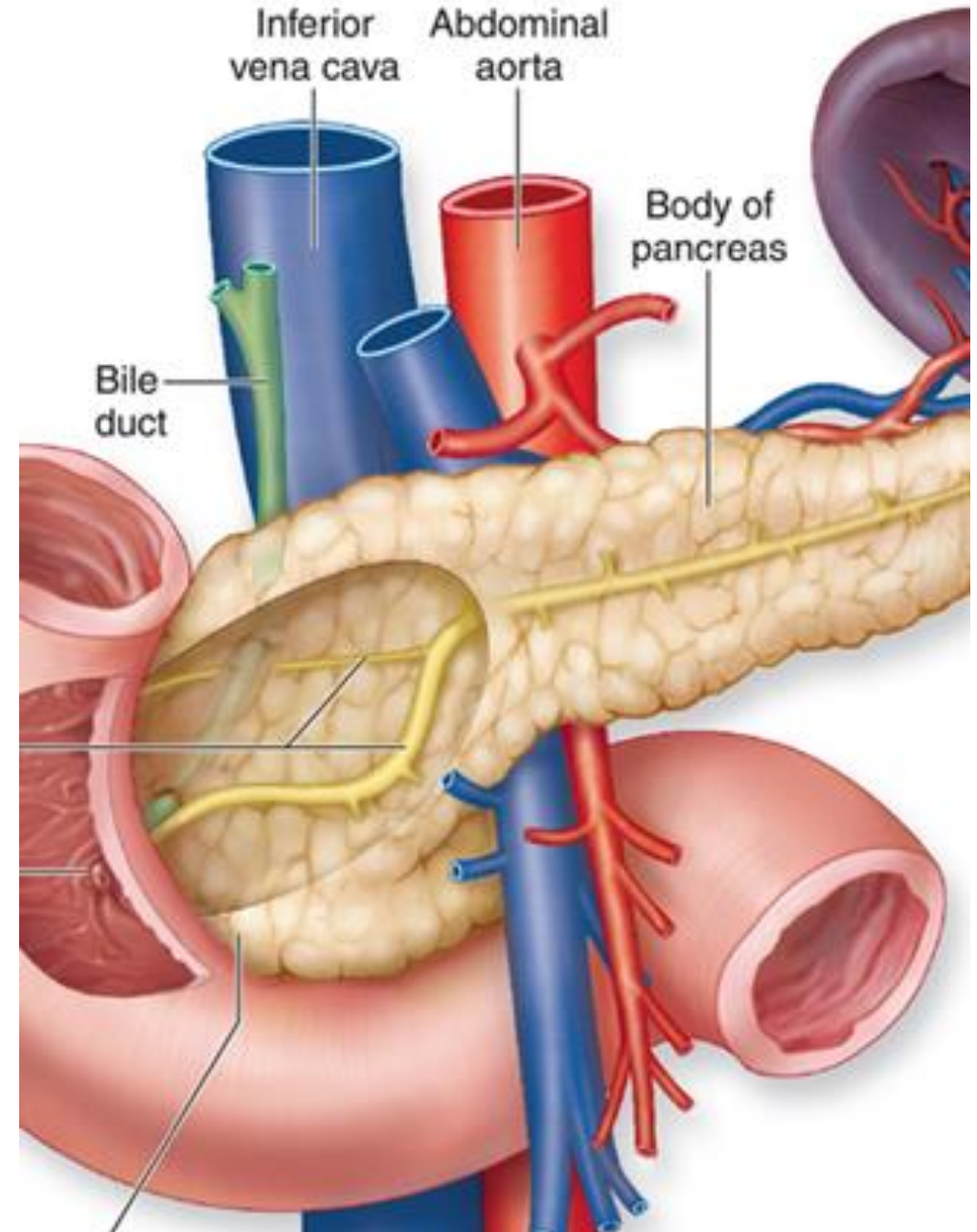
[NCCN Guidelines Index](#)  
[Table of Contents](#)  
[Discussion](#)

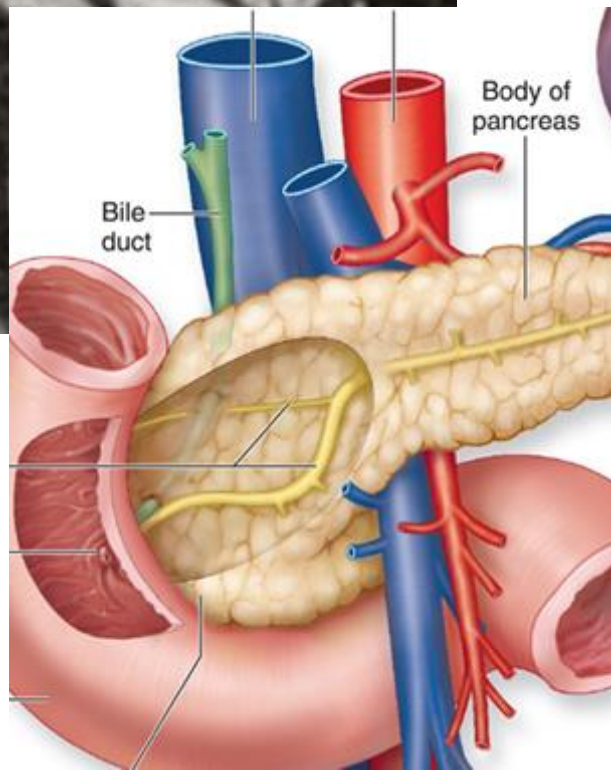
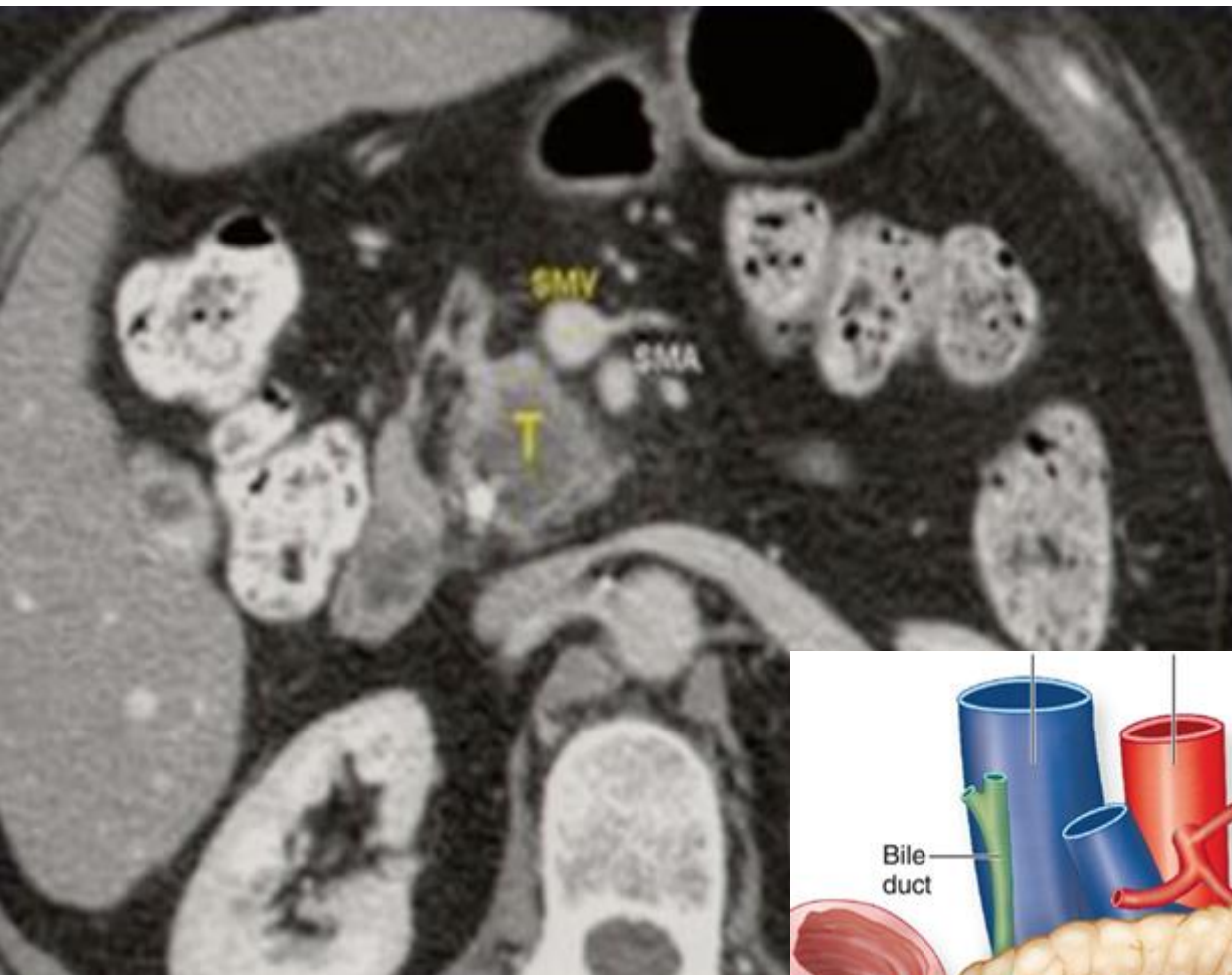
### POSTOPERATIVE ADJUVANT TREATMENT

### SURVEILLANCE



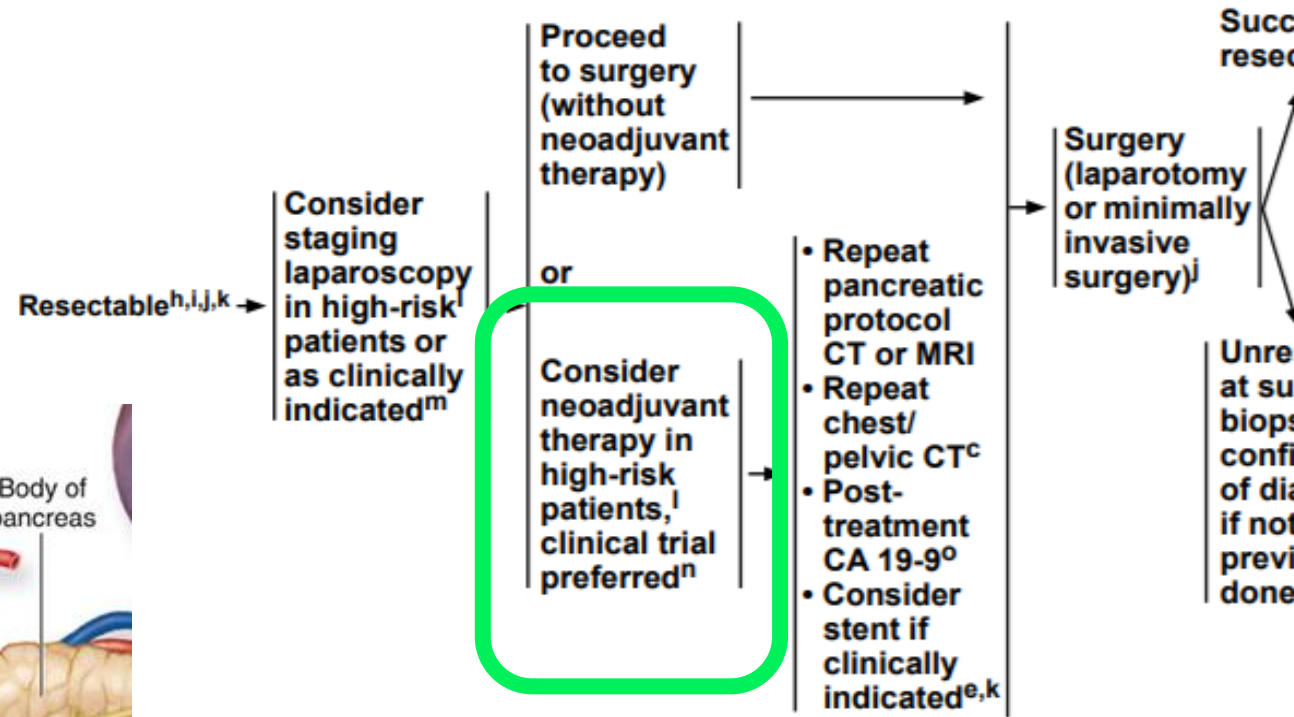
- Resectable
  - Tumor is not touching important vessels
  - Neoadj or Upfront Surgery?
- Borderline resectable
  - Tumor is touching PV/SMV/HA/CA/SMA
  - No SMA encasement
  - Neoadjuvant approach





RESECTABLE

TREATMENT



# Neoadjuvant therapy

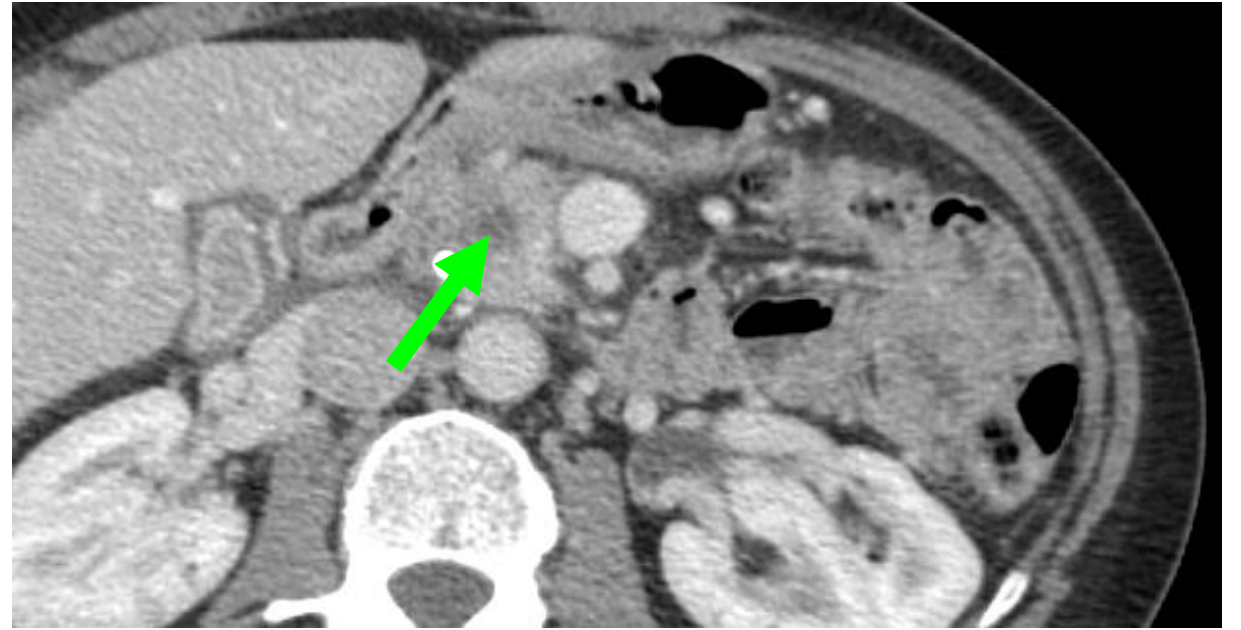
- Giving chemotherapy or radiation prior to resection for patients with local / regional disease
- Merits
  - Front-loading therapy allows for
    - ⊙ Receipt of therapy
    - ⊙ Less toxicity
    - ⊙ In vivo evaluation of response
    - ⊙ Identification of early metastatic disease
    - ⊙ Trial opportunities, measurable disease
    - ⊙ Improvement in patient performance status (Prehabilitation)



# Criticisms of Neoadjuvant Therapy for Resectable Pancreatic Cancer

- Only real chance for cure
- Treatment sequencing does not matter – can give adjuvant therapy
- Window of resectability may be lost
- Other therapies largely ineffective

- Healthy 52 y/o female with painless jaundice



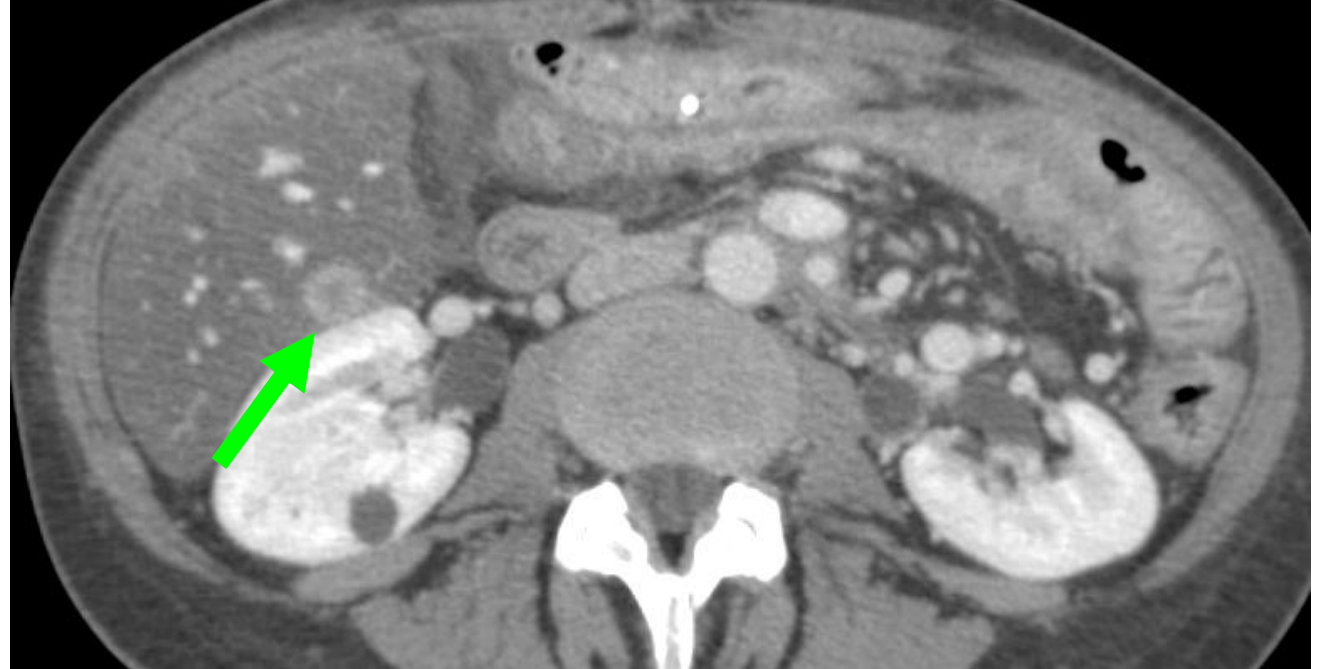
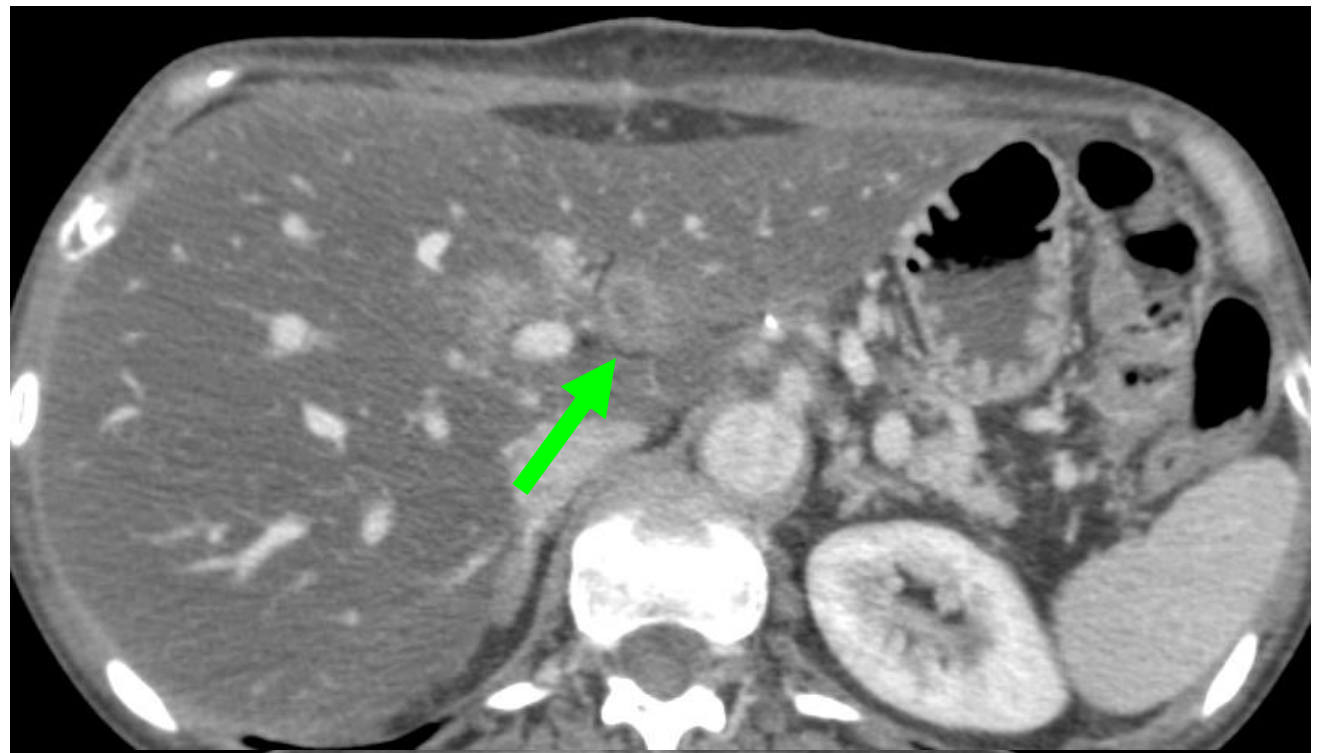
- Whipple
  - Uneventful recovery
  - Adenocarcinoma, node (+)



3 Months Later

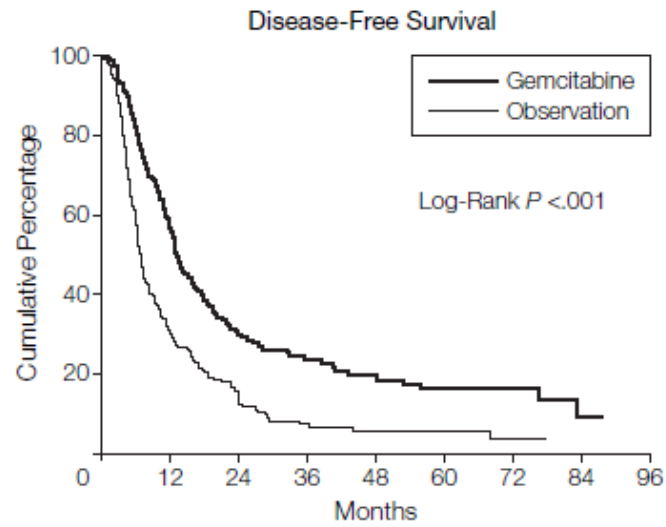
Biopsy proven liver mets

- Zero benefit from major surgery



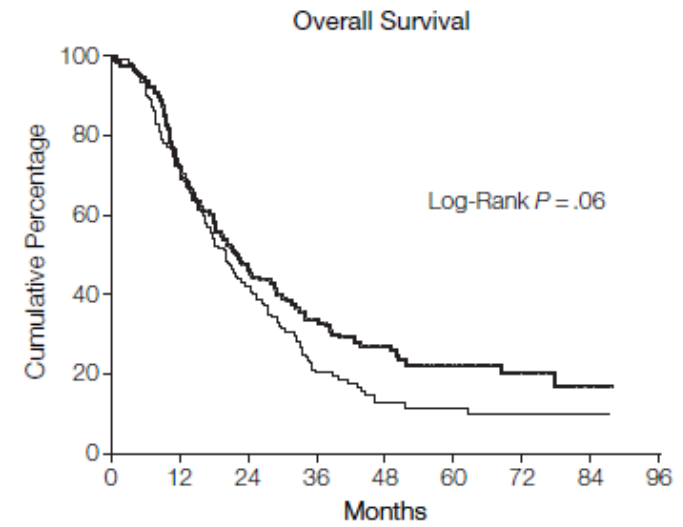
# ~~Only Real Chance for Cure~~

- Radiographically occult metastatic disease in >90% resectable pancreatic cancer
- Consensus now that multimodality therapy is better than surgery alone
- “How can we get this patient all the treatments that work” not “How can I get this patient surgery”



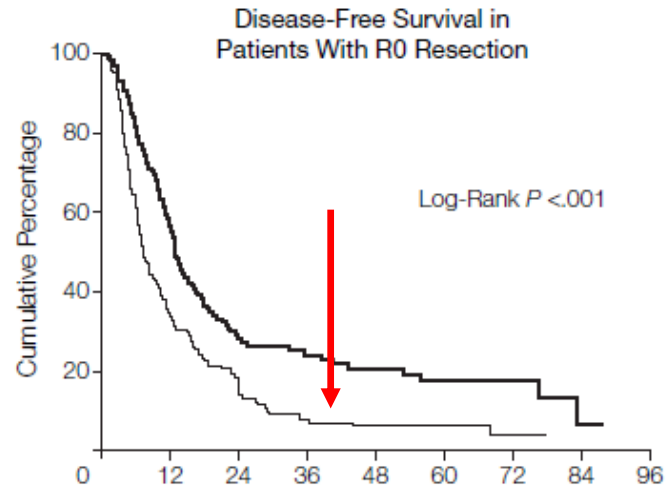
No. at Risk

Gemcitabine	179	96	43	25	17	11	8	1
Observation	175	52	24	10	6	6	2	0



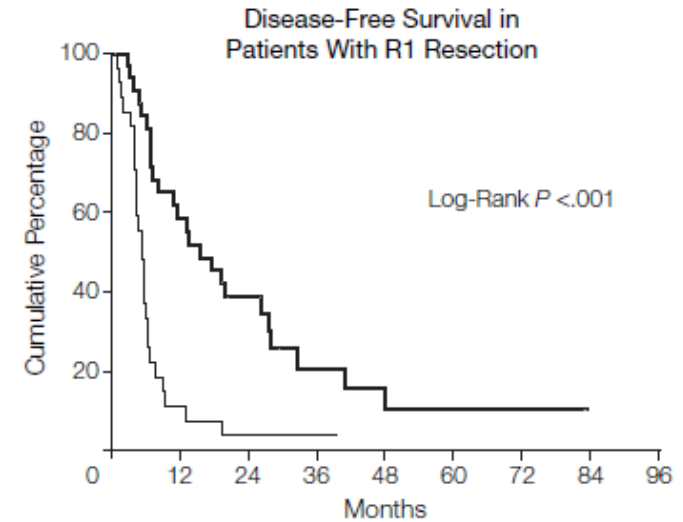
No. at Risk

Gemcitabine	179	128	73	36	23	14	9	2
Observation	175	126	64	25	12	8	4	1



No. at Risk

Gemcitabine	145	78	33	21	14	9	6	1
Observation	148	49	23	9	6	6	2	0



No. at Risk

Gemcitabine	34	18	10	4	2	2	2	0
Observation	27	3	1	1	0	0	0	0

# Criticisms of Neoadjuvant Therapy for Resectable Pancreatic Cancer

- Only real chance for cure - other therapies are largely ineffective
- Treatment sequencing does not matter – can give adjuvant therapy
- Window of resectability may be lost
- Other therapies largely ineffective

# ~~Treatment Sequencing Does Not Matter~~

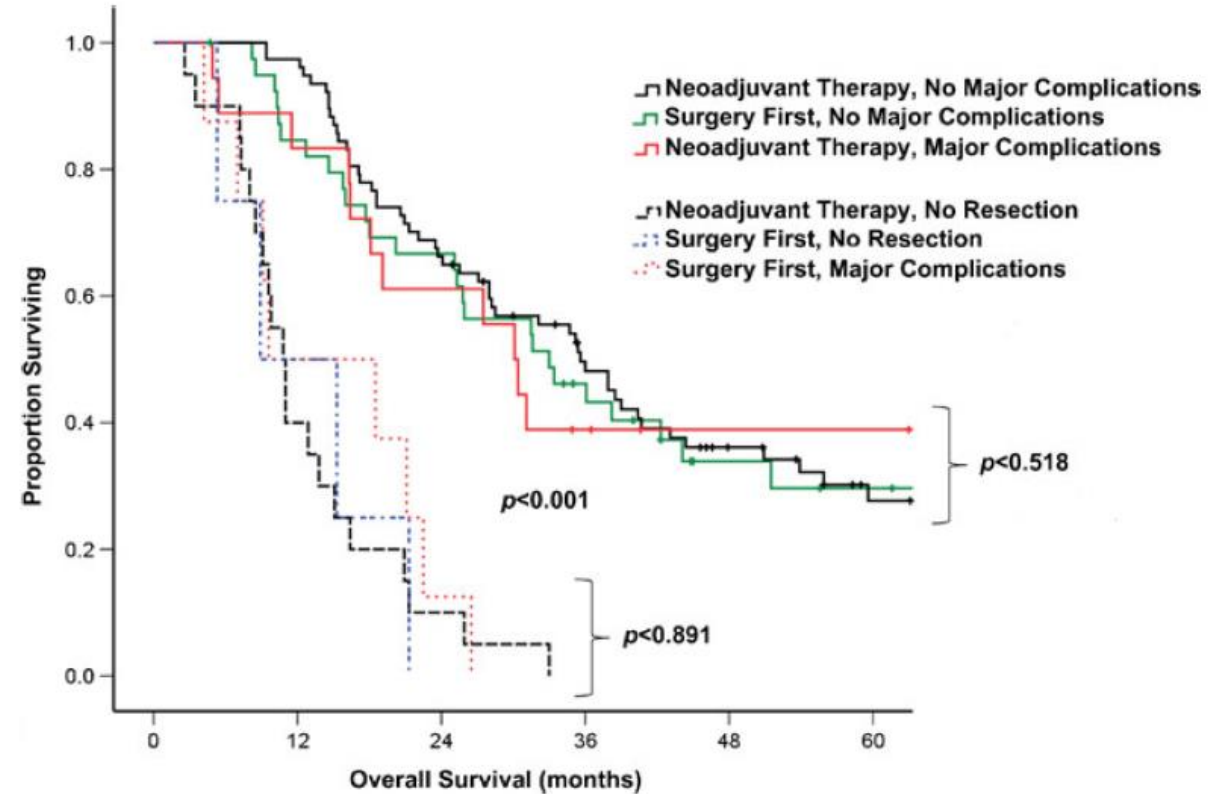
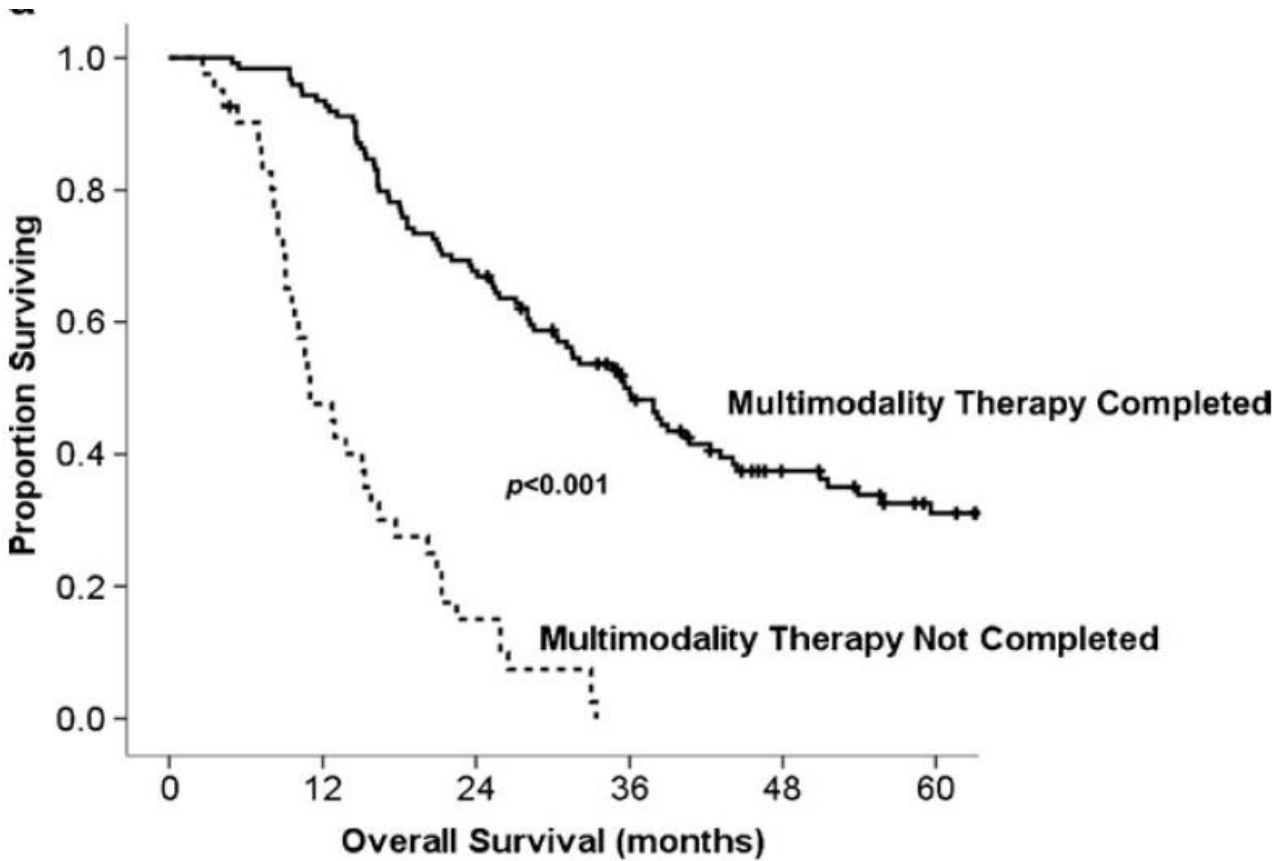
- Surgery has toxicity

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**How many pts actually receive all planned adjuvant therapy?**

Simons, Cancer 2010 (SEER)	48%
Corsini, JCO 2008 (Mayo)	60%
Herman, JCO 2008 (Hopkins)	44%
Merchant, JACS 2009 (Vanderbilt)	50%
Winter, Ann Surg Onc, 2012 (MSKCC)	60%

# ~~Treatment Sequencing Does Not Matter~~





# Criticisms of Neoadjuvant Therapy for Resectable Pancreatic Cancer

- Only real chance for cure - other therapies are largely ineffective
- Treatment sequencing does not matter – can give adjuvant therapy
- **Window of resectability may be lost**
- Other therapies largely ineffective

# ~~Window of Resectability May Be Lost~~

- Local progression on NAT is rare
  - 1/176 patients (0.6%)
- Distant progression rate = 12-24%

VOLUME 26 · NUMBER 21 · JULY 20 2008

JOURNAL OF CLINICAL ONCOLOGY

ORIGINAL REPORT

## Preoperative Gemcitabine and Cisplatin Followed by Gemcitabine-Based Chemoradiation for Resectable Adenocarcinoma of the Pancreatic Head

*Gauri R. Varadhachary, Robert A. Wolff, Christopher H. Crane, Charlotte C. Sun, Jeffrey E. Lee, Peter W.T. Pisters, Jean-Nicolas Vauthey, Eddie Abdalla, Huamin Wang, Gregg A. Staerke, Jeffrey H. Lee, William A. Ross, Eric P. Tamm, Priya R. Bhosale, Sunil Krishnan, Prajnan Das, Linus Ho, Henry Xiong, James L. Abbruzzese, and Douglas B. Evans*

# Criticisms of Neoadjuvant Therapy for Resectable Pancreatic Cancer

- Only real chance for cure - other therapies are largely ineffective
- Treatment sequencing does not matter – can give adjuvant therapy and stent not an issue
- Window of resectability may be lost
- Other therapies largely ineffective

Table 1

Overall survival data from older prospective, randomized trials of adjuvant therapy in resected pancreas cancer

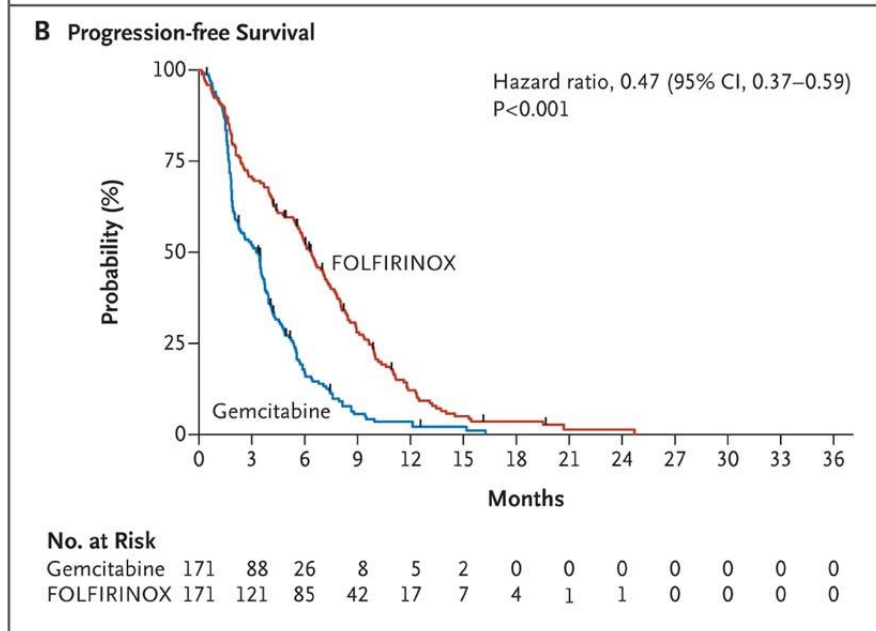
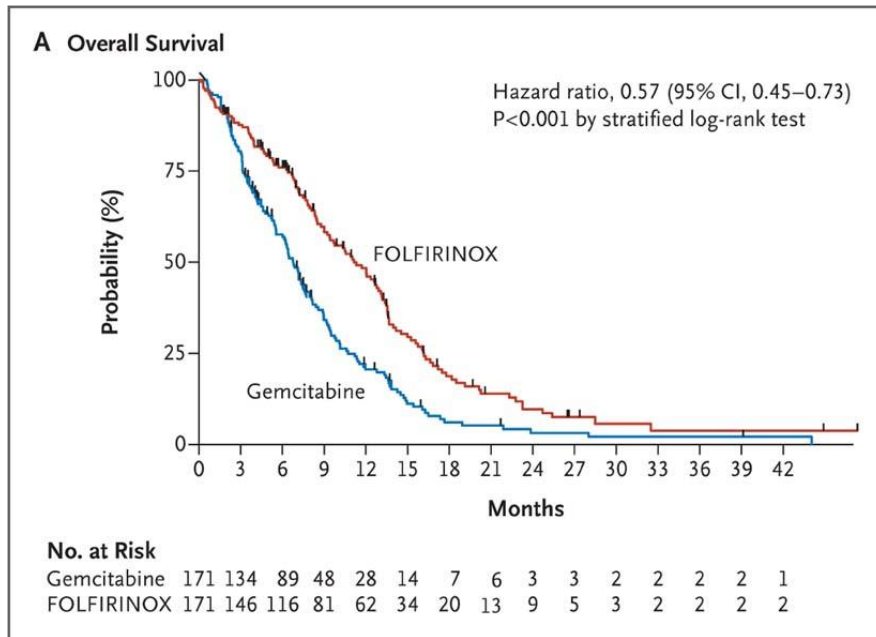
Trial	N	Randomization	Overall Survival (mo)	P	Classification
CONKO-001	368	Chemotherapy (gemcitabine) vs observation	22.1 vs 20.1 Long follow-up: 22.8 vs 20.2	.06 .01	1a
GITSG	43	Observation or radiation/ bolus 5-FU	20 vs 11	Not reported	1a
ESPAC-1	541	Chemoradiation (5-FU, 20 Gy) vs no chemoradiation	15.5 vs 16.1	.24	1a
		Chemotherapy vs observation	19.7 vs 14.0	.0005	
EORTC 40,891	114	Chemoradiation (5-FU 1 40 Gy EBRT) vs observation	17.1 vs 12.6	.99	1a
RTOG 9704	451	Gemcitabine and 5-FU 1 50.4 Gy EBRT vs 5-FU 1 50.4 Gy EBRT	20.5 vs 16.9	.05	1a

They say laughter is the best medicine, unless you have cancer, in which case chemotherapy is more effective



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# Other Therapies Largely Ineffective



**Table 2. Objective Responses in the Intention-to-Treat Population.\***

Variable	FOLFIRINOX (N = 171)	Gemcitabine (N = 171)	P Value
Response — no. (%)			
Complete response	1 (0.6)	0	
Partial response	53 (31.0)	16 (9.4)	
Stable disease	66 (38.6)	71 (41.5)	
Progressive disease	26 (15.2)	59 (34.5)	
Could not be evaluated	25 (14.6)	25 (14.6)	
Rate of objective response†			
No. (%)	54 (31.6)	16 (9.4)	<0.001
95% CI	24.7–39.1	5.4–14.7	
Rate of disease control‡			
No. (%)	120 (70.2)	87 (50.9)	<0.001
95% CI	62.7–76.9	43.1–58.6	
Response duration — mo			
Median	5.9	3.9	0.57
95% CI	4.9–7.1	3.1–7.1	

\* CI denotes confidence interval, and FOLFIRINOX oxaliplatin, irinotecan, fluorouracil, and leucovorin.

† The rate of objective response was defined as the percentage of patients who had a complete response or partial response.

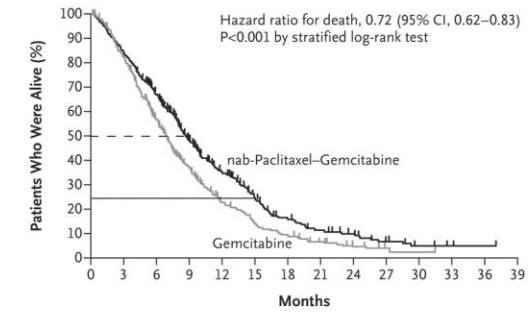
‡ The rate of disease control was defined as the percentage of patients who had a complete response, partial response, or stable disease.

# Other Therapies Largely Ineffective

**Table 2. Overall Survival, Progression-free Survival, and Response Rates in the Intention-to-Treat Population.**

Efficacy Variable	nab-Paclitaxel plus Gemcitabine (N=431)	Gemcitabine Alone (N=430)	Hazard Ratio or Response-Rate Ratio (95% CI)*	P Value
<b>Overall survival</b>				
Median overall survival — mo (95% CI)	8.5 (7.9–9.5)	6.7 (6.0–7.2)	0.72 (0.62–0.83)	<0.001
Survival rate — % (95% CI)				
6 mo	67 (62–71)	55 (50–60)		<0.001
12 mo	35 (30–39)	22 (18–27)		<0.001
18 mo	16 (12–20)	9 (6–12)		0.008
24 mo	9 (6–13)	4 (2–7)		0.02
<b>Progression-free survival</b>				
Median progression-free survival — mo (95% CI)	5.5 (4.5–5.9)	3.7 (3.6–4.0)	0.69 (0.58–0.82)	<0.001
Rate of progression-free survival — % (95% CI)				
6 mo	44 (39–50)	25 (20–30)		
12 mo	16 (12–21)	9 (5–14)		
<b>Response</b>				
Rate of objective response				
Independent review				
No. of patients with a response	99	31	3.19 (2.18–4.66)	<0.001
% (95% CI)	23 (19–27)	7 (5–10)		
Investigator review				
No. of patients with a response	126	33	3.81 (2.66–5.46)	<0.001
% (95% CI)	29 (25–34)	8 (5–11)		
Rate of disease control†				
No. of patients	206	141	1.46 (1.23–1.72)	<0.001
% (95% CI)	48 (43–53)	33 (28–37)		
Best response according to independent review — no. (%)				
Complete response	1 (<1)	0		

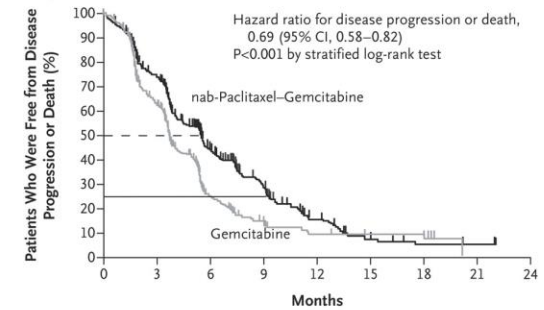
**A Overall Survival**



**No. at Risk**

Time (Months)	0	3	6	9	12	15	18	21	24	27	30	33	36	39
nab-Paclitaxel-Gemcitabine	431	357	269	169	108	67	40	27	16	9	4	1	1	0
Gemcitabine	430	340	220	124	69	40	26	15	7	3	1	0	0	0

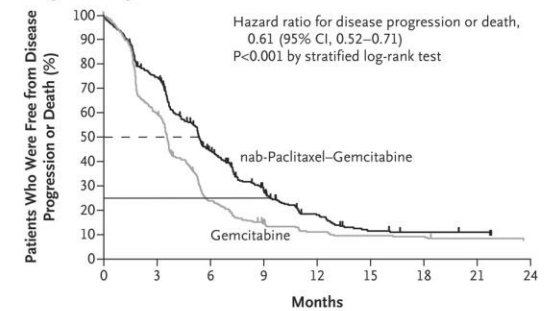
**B Progression-free Survival, According to Independent Review**



**No. at Risk**

Time (Months)	0	3	6	9	12	15	18	21	24
nab-Paclitaxel-Gemcitabine	431	281	122	62	24	8	4	2	0
Gemcitabine	430	209	51	23	10	6	4	0	0

**C Progression-free Survival, According to Investigator Review**

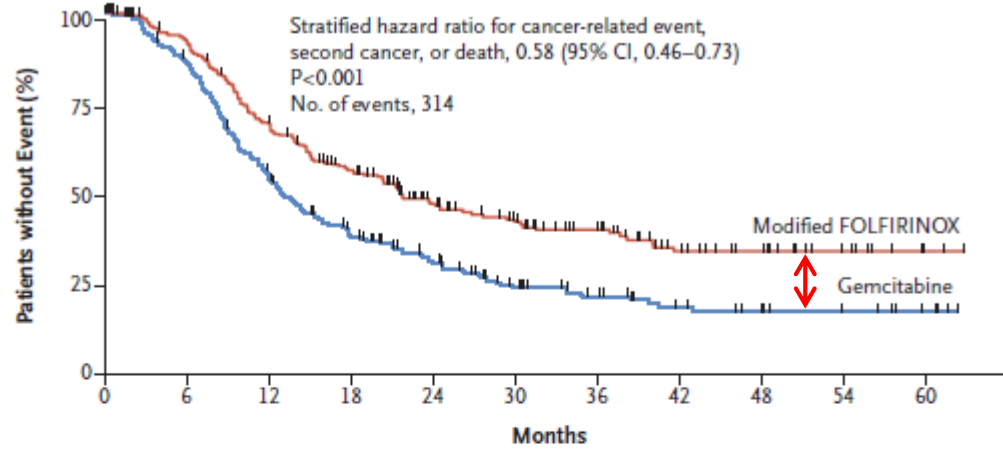


**No. at Risk**

Time (Months)	0	3	6	9	12	15	18	21	24
nab-Paclitaxel-Gemcitabine	431	288	132	64	26	8	5	3	0
Gemcitabine	430	211	54	24	9	5	4	1	0

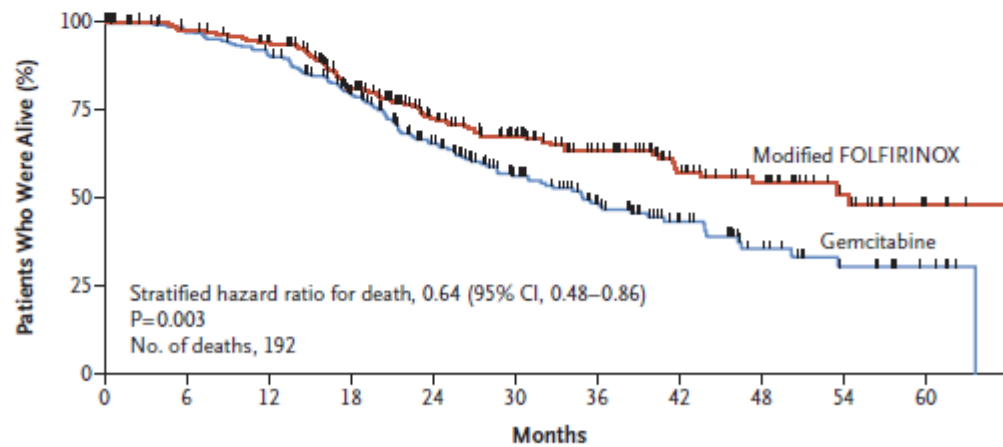
# FOLFIRINOX or Gemcitabine as Adjuvant Therapy for Pancreatic Cancer

## A Disease-free Survival



No. at Risk	0	6	12	18	24	30	36	42	48	54	60
Modified FOLFIRINOX	247	210	156	118	80	60	46	29	21	11	2
Gemcitabine	246	205	127	85	59	34	24	15	10	7	3

## B Overall Survival



No. at Risk	0	6	12	18	24	30	36	42	48	54	60
Modified FOLFIRINOX	247	223	210	165	119	91	68	46	32	16	4
Gemcitabine	246	233	215	171	120	81	55	33	18	9	4

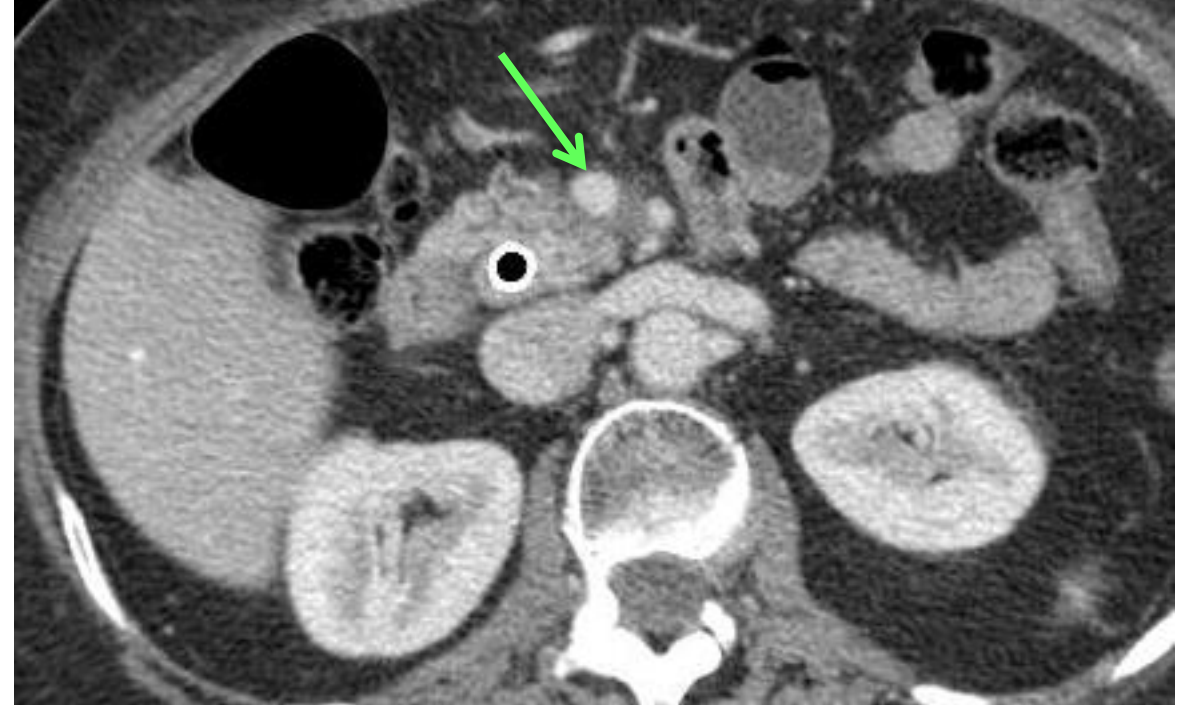
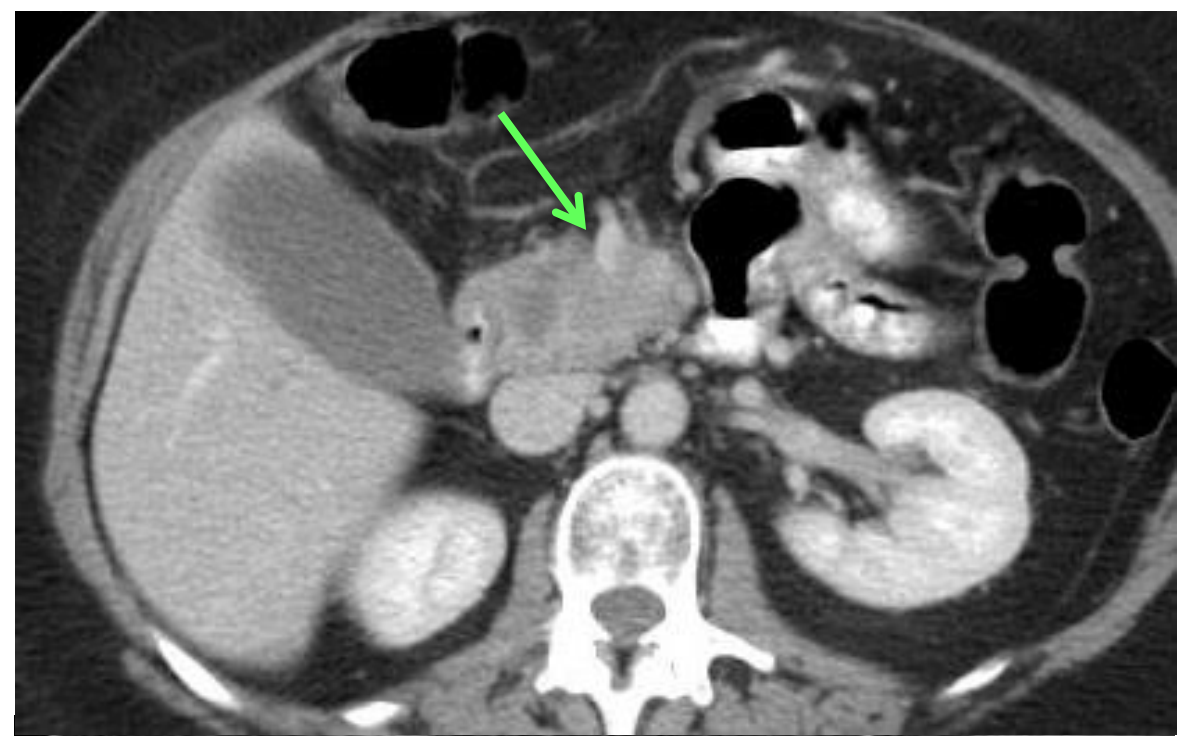
Subgroup	Modified FOLFIRINOX (N=247) no. of events/total no. of patients	Gemcitabine (N=246) no. of events/total no. of patients	Unstratified Hazard Ratio (95% CI)	P Value
Sex				0.42
Male	78/142	96/135	0.68 (0.50-0.92)	
Female	56/105	84/111	0.56 (0.40-0.78)	
Age				0.88
<65 yr	83/152	103/140	0.61 (0.46-0.82)	
≥65 yr	51/95	77/106	0.63 (0.44-0.90)	
WHO performance-status score				0.10
0	61/122	96/127	0.51 (0.37-0.71)	
1	73/123	80/115	0.77 (0.56-1.06)	
Diabetes				0.59
No	100/183	123/177	0.66 (0.50-0.86)	
Yes	33/62	52/64	0.55 (0.35-0.85)	
Tumor location				0.89
Head	105/193	129/175	0.62 (0.48-0.80)	
Other	28/53	47/67	0.62 (0.39-0.98)	
Tumor grade				0.69
Well differentiated	32/70	58/79	0.52 (0.34-0.81)	
Moderately differentiated	75/124	91/125	0.69 (0.51-0.93)	
Poorly differentiated or undifferentiated	21/35	23/29	0.62 (0.34-1.13)	
Primary tumor status				0.82
pT1 or pT2	16/31	16/25	0.67 (0.34-1.34)	
pT3 or pT4	118/216	164/221	0.62 (0.49-0.79)	
Nodal status				0.10
pN0	25/55	33/61	0.89 (0.53-1.49)	
pN1	109/192	147/185	0.54 (0.42-0.69)	
Tumor stage				0.31
IA or IB	3/12	8/14	0.36 (0.10-1.38)	
IIA or IIB	127/226	167/226	0.64 (0.50-0.80)	
III or IV	4/9	5/6	0.07 (0.01-0.61)	
Status of surgical margins				0.15
R0	73/148	88/134	0.72 (0.53-0.98)	
R1	61/99	92/112	0.52 (0.37-0.72)	
Superior-mesenteric-vein resection				0.29
No	122/228	161/221	0.61 (0.48-0.77)	
Yes	12/19	19/25	0.92 (0.44-1.91)	
Portal-vein resection				0.86
No	112/215	145/204	0.62 (0.49-0.80)	
Yes	22/32	35/42	0.64 (0.37-1.11)	
Postoperative CA 19-9 level				0.85
≤90 U/ml	123/231	166/226	0.61 (0.48-0.77)	
>90 U/ml	11/16	14/20	0.74 (0.33-1.64)	
Early stopping of treatment				0.49
No	83/158	137/192	0.56 (0.42-0.73)	
Yes	51/80	42/51	0.53 (0.35-0.81)	
Overall	134/247	180/246	0.62 (0.49-0.77)	



# Neoadjuvant Approach

- Provides early treatment of micrometastatic disease  
(at least 90% of “resectable” patients)
- Patients with rapidly progressive disease will not be subjected to non-therapeutic operations
- Logical strategy for the high incidence of positive margins. (Katz JOGS 2012)
- Delayed recovery does not delay systemic treatment
  - Tzeng JOGS 2014: 83% vs. 58% completion
- Tissue retrieval pre/post treatment for correlative studies

- 59 y/o female with abdominal pain and jaundice
- CA 19-9 1010
- 4 cycles FOLFIRINOX
- 5/FU + XRT
- CA 19-9 6
- Whipple
- Pathologic CR





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