

Particle Accelerators

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European Spallation Source

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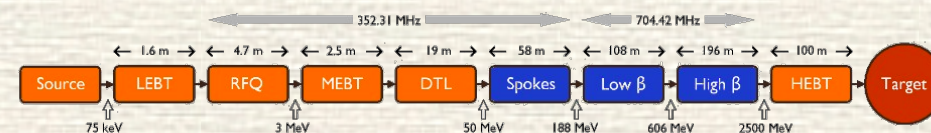
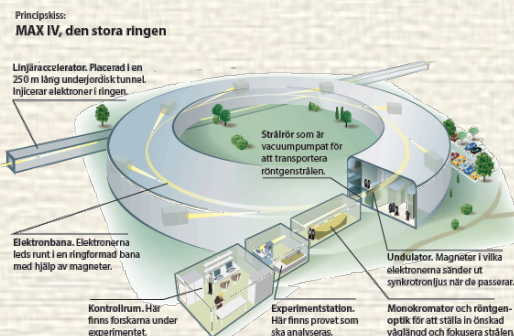
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- Not all of accelerator technology: Not ion sources, diagnostics, controls, cryogenics, ...
 - Not all about ESS or MAX IV: Not spallation targets, moderators, synchrotron radiation, ...

Accelerators, MAX IV, ESS

Category	Number in Use
High-energy accelerators (> 1 GeV)	~ 120
Synchrotron radiation sources	> 100
Medical radioisotope production	~ 200
Radiotherapy accelerators	> 7500
Research acc, including biomedical	~ 1000
Acc for industrial processing, research	~ 1500
Ion implanters, surface modification	> 7000
Sum 2004	> 17500

from Maciszewski and Scharf



Motion in Electric and Magnetic Fields

Non-relativistic

$$\mathbf{F} = m\mathbf{a} = m d\mathbf{v}/dt$$

$$\mathbf{p} = m\mathbf{v}$$

$$\mathbf{F} = d\mathbf{p}/dt$$

$$\mathbf{F} = q(\mathbf{E} + \mathbf{v} \times \mathbf{B})$$

$$d\mathbf{p}/dt = q(\mathbf{E} + \mathbf{v} \times \mathbf{B})$$

$$E_{\text{kin}} = mv^2 / 2$$

Relativistic

$$m = \gamma m_0 \neq \text{const.}$$

$$\mathbf{p} = m\mathbf{v} = \gamma m_0 \mathbf{v}$$

$$\mathbf{F} = d\mathbf{p}/dt$$

$$\mathbf{F} = q(\mathbf{E} + \mathbf{v} \times \mathbf{B})$$

$$d\mathbf{p}/dt = q(\mathbf{E} + \mathbf{v} \times \mathbf{B})$$

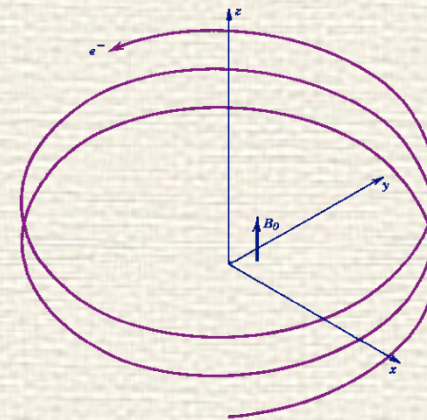
$$E = mc^2 = \gamma m_0 c^2$$

$$E_{\text{kin}} = (\gamma - 1)m_0 c^2$$

$$\gamma = 1/(1 - \beta^2)^{-1/2}, \quad \beta = v/c$$

$$e^- : \quad m_0 c^2 = 511 \text{ keV}$$

$$p : \quad m_0 c^2 = 938 \text{ MeV}$$



$$r = mv/qB = p/qB$$

Maxwell's Equations

Differential form

$$\begin{aligned}\nabla \times \mathbf{E} &= -\partial \mathbf{B} / \partial t \\ \nabla \times \mathbf{B} &= \mu_0 \mathbf{j} + 1/c^2 \partial \mathbf{E} / \partial t \\ \nabla \cdot \mathbf{E} &= \rho / \epsilon_0 \\ \nabla \cdot \mathbf{B} &= 0\end{aligned}$$

Integral form

$$\begin{aligned}\oint \mathbf{E} \cdot d\mathbf{l} &= -\int \frac{\partial \mathbf{B}}{\partial t} \cdot d\mathbf{S} \\ \oint \mathbf{B} \cdot d\mathbf{l} &= \mu_0 \int \left(\mathbf{j} + \epsilon_0 \frac{\partial \mathbf{E}}{\partial t} \right) \cdot d\mathbf{S} \\ \int \mathbf{E} \cdot d\mathbf{S} &= \frac{1}{\epsilon_0} \int \rho dV \\ \int \mathbf{B} \cdot d\mathbf{S} &= 0\end{aligned}$$

Faraday's law

Ampère's law

Gauss's law

Potentials

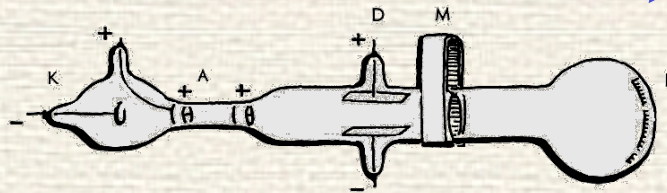
$$\begin{aligned}\mathbf{E} &= -\nabla \phi - \frac{\partial \mathbf{A}}{\partial t} \\ \mathbf{B} &= \nabla \times \mathbf{A}\end{aligned}$$

Waves in empty space

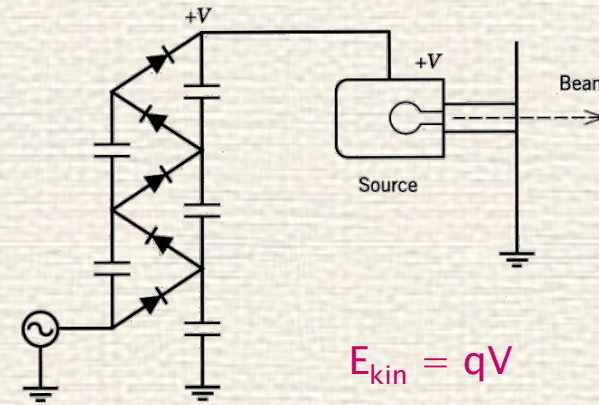
$$\begin{aligned}\nabla^2 \mathbf{E} - \frac{1}{c^2} \frac{\partial^2 \mathbf{E}}{\partial t^2} &= 0 \\ \nabla^2 \mathbf{B} - \frac{1}{c^2} \frac{\partial^2 \mathbf{B}}{\partial t^2} &= 0\end{aligned}$$

Electrostatic Accelerators

Cathode ray tube used by Thomson when discovering the electron

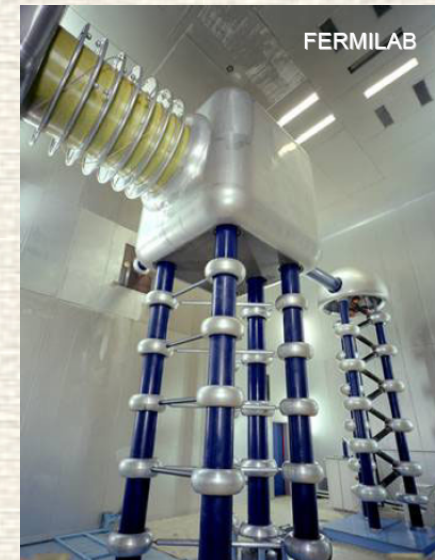


Teckningen visar det gasfyllda katodstrålerör som Thomson använde vid upptäckten av elektronen. I området mellan A och K alstras laddade partiklar. De negativt laddade partiklarna accelereras mot anoden A och passerar ett avböjningsarrangemang D och M i vilket partiklarna påverkas av elektriska och magnetiska krafter. Partikelstrålen får sedan träffa en fluorescerande skärm F. Läget på skärmen bestäms enbart av förhållandet mellan partiklarnas laddning och massa. Thomson fann att partiklarna träffade skärmen på samma ställe oberoende av vilken gasfyllning som användes, vilket antyder att alla atomer innehåller samma slags partikel. Denna partikel fick namnet elektron.



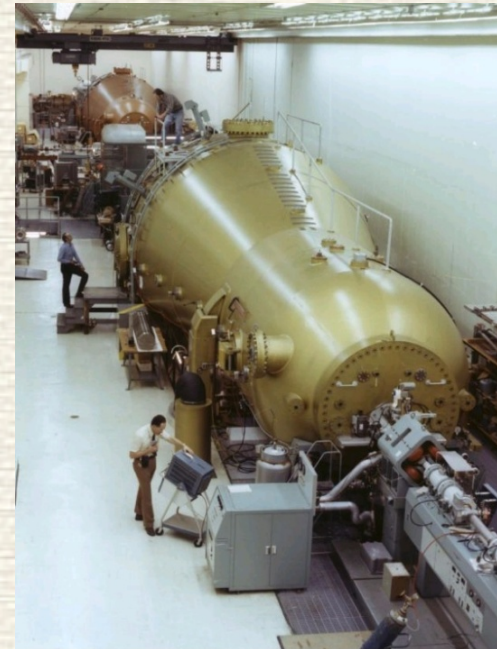
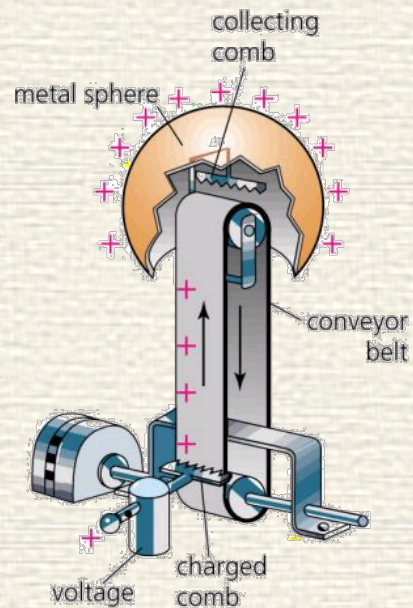
Cockcroft Walton generator, the first type of accelerator that “split the atom”

Cascade generator with capacitors and rectifier diodes can produce voltages up to several MV



Electrostatic Accelerators

Van de Graaff accelerator



Brookhaven Nat'l Lab, 15 MV

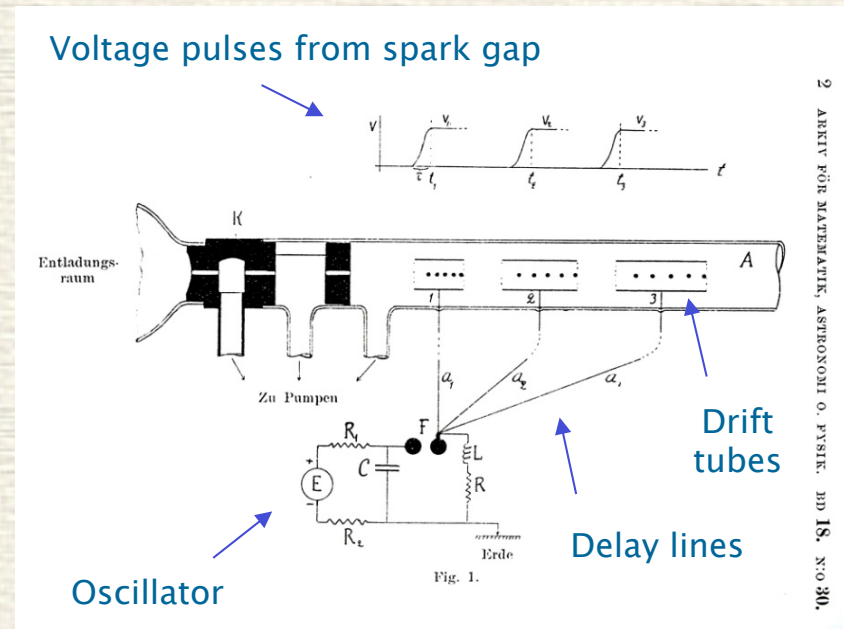
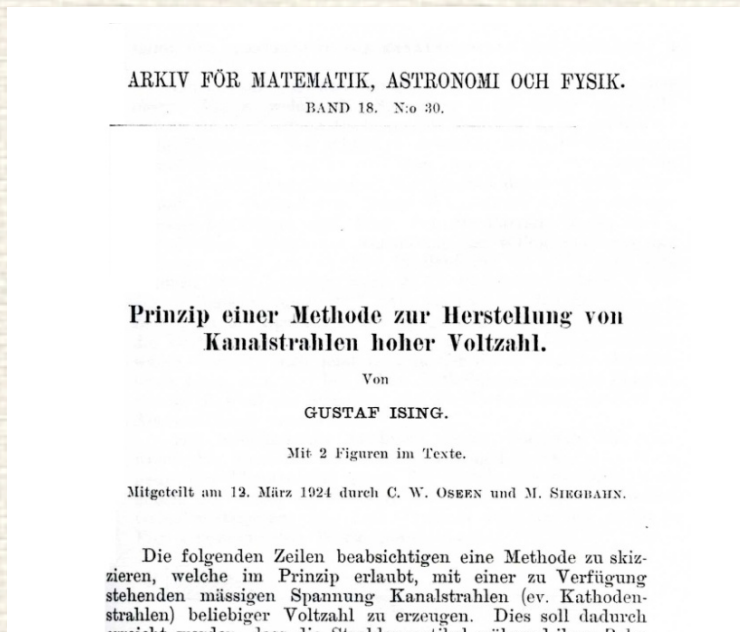


Highest voltage with an electrostatic accelerator is 25.5 MV (Oak Ridge)

Gives $25.5(1+q)$ MeV in tandem configuration after stripping of negative ions in the high-voltage terminal

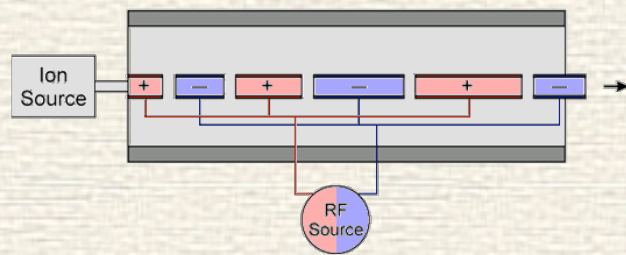
Accelerators with Time-Varying Fields

First idea by Gustaf Ising at Stockholms Högskola



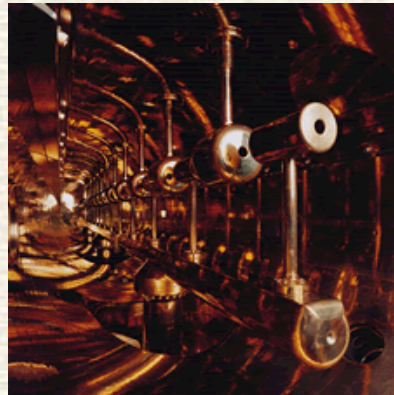
Drift-Tube Linacs

Wideröe

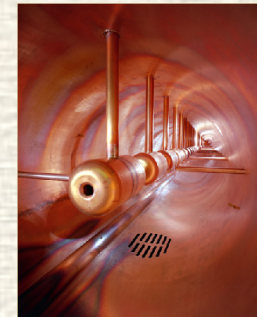


First working accelerator with AC fields, based on Ising's principle. Electrical fields only between drift tubes. Increasing drift tube length as energy increases. Low frequencies avoid power loss through radiation.

GSI, ions,
27 MHz
< 1999



Alvarez



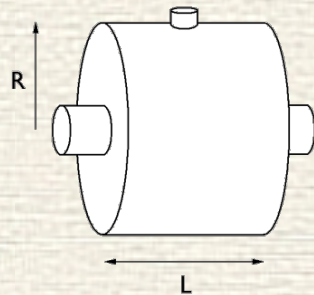
Fermilab DTL, protons, 201 MHz

Resonant electromagnetic cavity, fields everywhere inside the vacuum tank. Saves power, higher frequencies possible.



CERN Linac2, protons, 202 MHz

“Pill-Box” Cavity



Cylinder with conducting walls. Look for solution to the wave equation with only E_z and B_θ . Then E_z must be zero at $r = R$.

The wave equation for E_z in cylindrical coordinates is

$$\frac{\partial^2 E_z}{\partial r^2} + \frac{1}{r} \frac{\partial E_z}{\partial r} = \frac{1}{c^2} \frac{\partial^2 E_z}{\partial t^2}$$

There is a solution of the form

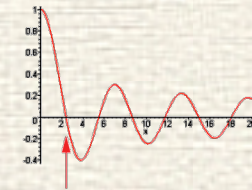
$$E_z(r, t) = E(r)e^{i\omega t}$$

$E(r)$ must satisfy

$$E'' + \frac{E'}{r} + \left(\frac{\omega}{c}\right)^2 E = 0$$

The solution is

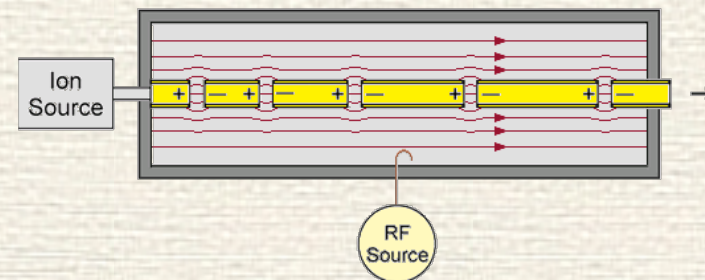
$$E(r) = E_0 J_0\left(\frac{\omega}{c} r\right)$$



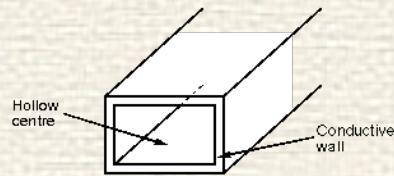
Requiring $E = 0$ at $r = R$ gives

$$\frac{\omega}{c} R = 2.405$$

ESS has a DTL with $f = 352$ MHz, thus $R = 0.33$ m.



Waveguides



Electromagnetic fields propagating in waveguides (hollow conductors) can be used for acceleration of particles.

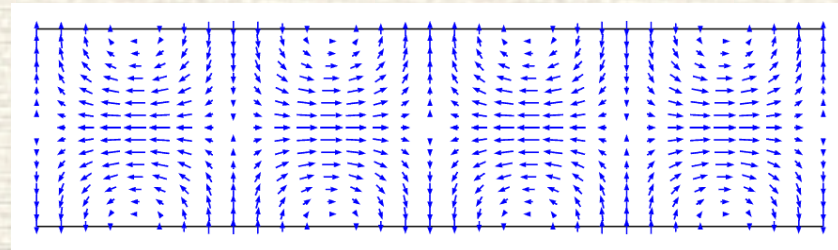
Looking for solutions to the wave equations proportional to $\exp[j(\omega t - kz)]$, with $E_z = 0$ at the waveguide boundary, one finds waves with different symmetries or modes:

- TE_{mn} with transverse electric fields
- TM_{mn} with transverse magnetic fields
- (Hybrid modes)
- (TEM not possible)

The simplest transverse magnetic mode (i.e. with longitudinal electric field that can accelerate particles) in cylindrical coordinates is TM_{01} where

$$E_z(r, z, t) = E_0 J_0(Kr) e^{j(\omega t - kz)}$$
$$E_r(r, z, t) = -j E_0 \frac{k}{K} J_1(Kr) e^{j(\omega t - kz)}$$
$$k^2 = \frac{\omega^2}{c^2} - K^2, \quad K = \frac{2.405}{R}$$

Electrical field of a TM_{01} wave with $k = \pi/R$, in a plane through the centre of the waveguide:



Travelling-Wave Linacs



DC

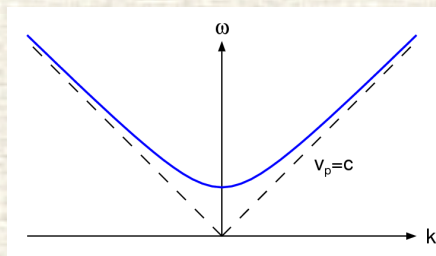


RF

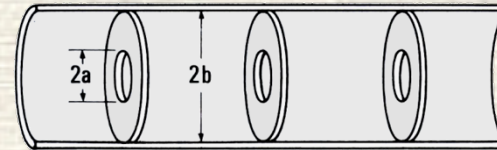
In a travelling-wave linac, the particle speed must match the phase velocity of the wave:

$$v_p = \frac{\omega}{k} = \frac{c}{\sqrt{1 - (kc/\omega)^2}} > c \quad \left(v_g = \frac{d\omega}{dk} \right)$$

The speed of the wave depends on the frequency (dispersion) but is always $> c$.



The wave can be slowed down with apertures in the waveguide (disk-loaded cavities, suitable for electrons with $v \approx c$).



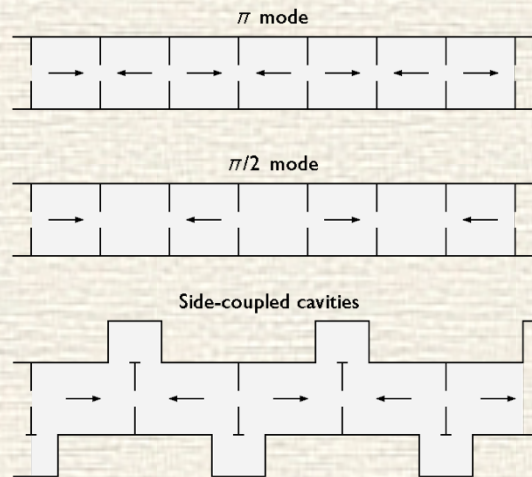
For an infinite such cavity, it can be shown that the field repeats from cell to cell, except for a phase shift.



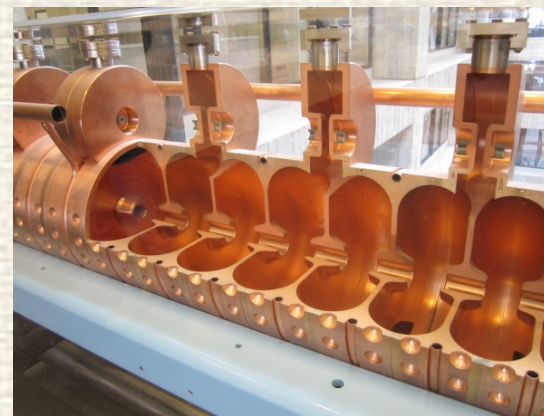
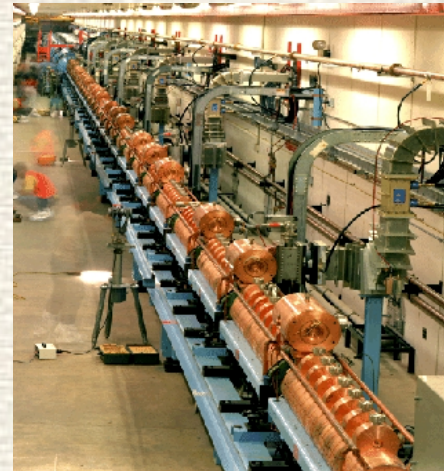
Pohang Light Source, 3 GHz

Standing-Wave Linacs

Standing-wave cavities (like pillboxes) can be coupled to longer structures of different geometries



A side-coupled cavity structure combines good mechanical and electromagnetic properties with high shunt impedance (see next slide).



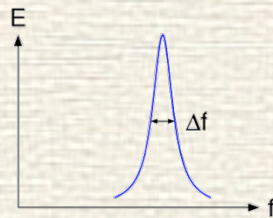
Fermilab side-coupled linac protons, 805 MHz, 7.5 MV/m

Figures of Merit

The Q value of a resonator (of any kind) is the energy stored divided by energy loss per radian. For a pillbox, the stored energy can be calculated from the field, and also the current in the cavity walls which create the losses can be calculated, giving

$$Q = \frac{U}{P_d / \omega} = \frac{\frac{1}{2} \epsilon_0 \int E^2 dV}{\frac{1}{2} \rho_s \int J_s^2 dS / \omega} = \frac{2.405 \mu_0 c}{2 \rho_s (1 + R/L)}$$

using also Ampère's law to get $J_s = B_\theta / \mu_0$. For a copper cavity around 400 MHz, the Q value becomes $\sim 10^4$. The Q value also tells about the resonance width: $1/Q = \Delta f / f$.



The (effective) shunt impedance R_s is another figure of merit telling how efficiently rf power is transferred to the beam. It is the square of the energy gain per unit charge divided by the power dissipation:

$$R_s = \frac{V^2}{P_d} = \frac{Z_0^2 L}{\pi \rho_s R (1 + R/L) J_1^2 (2.405)} T^2$$

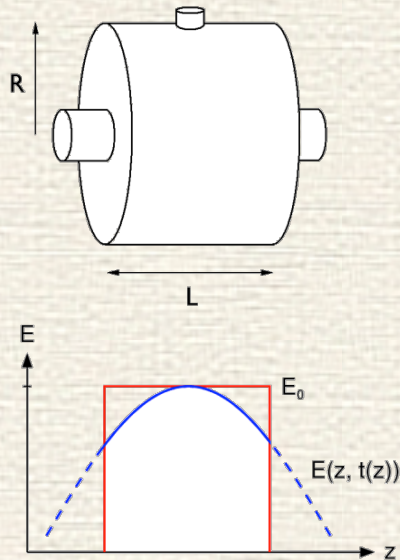
where the last expression holds for a pillbox, again, $Z_0 = 377 \Omega$ and T is defined on next slide.

(Maybe, power to the beam divided by dissipated power would be more natural, but this depends on the RF amplitude.)

The shunt impedance per unit length is often used, since the shunt impedance itself depends on the accelerator length.

Finally, R_s/Q gives the acceleration efficiency per unit stored energy. It depends only on cavity geometry and frequency, not on the losses.

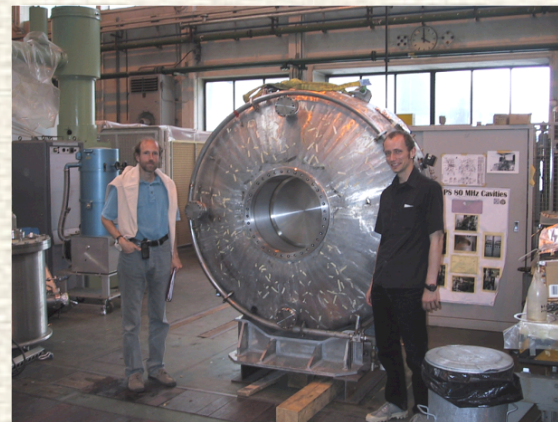
Transit Time Factor



$$T = \frac{E_0 \int_0^{L/2} \cos(\omega z / v) dz}{E_0 L / 2} = \frac{\sin u}{u},$$
$$u = \frac{\omega L}{2v}$$

Setting $T=0.9$ (for example) and $v \approx c$ gives $u=0.8$ and $L/R=0.65$ for a pillbox cavity.

The transit time factor is introduced since the particles don't see the maximum field all the time. It is defined as the ratio between energy actually given to the particle and energy if the field were constant at its peak value.



CERN PS, 80 MHz (C. Plostinar)

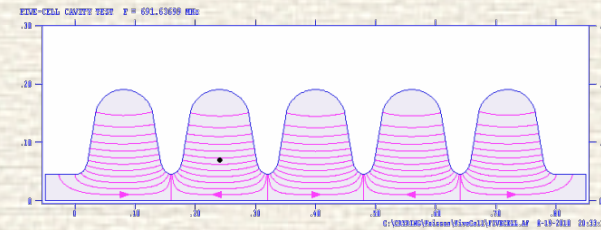
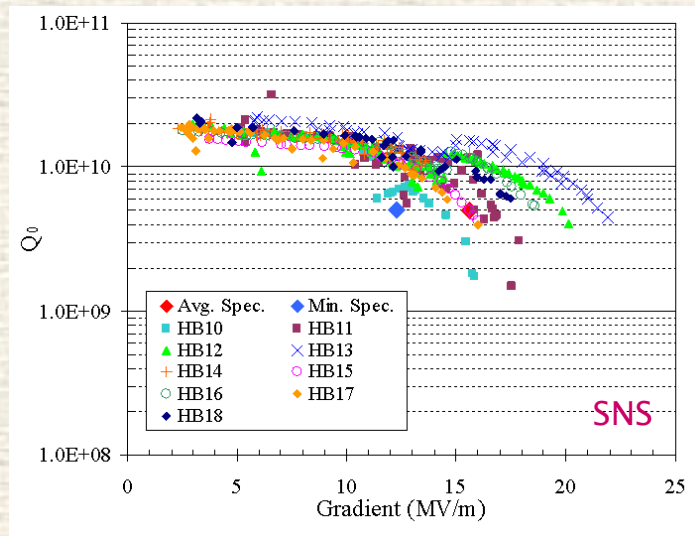
Superconducting RF

High beam currents and high duty factors give high losses in copper cavities which limits performance. Losses are orders of magnitude smaller in superconducting cavities, and they can also be given larger apertures. The cost and complexity now shifts to cryogenics.

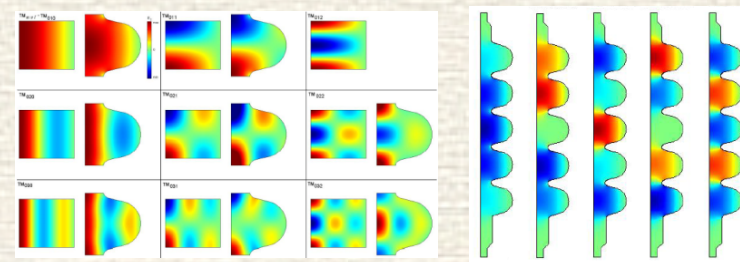
The Q value is an important figure of merit as well as maximum field strength.



XFEL 9-cell cavity



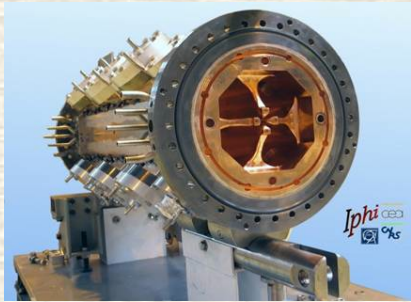
Field lines in ESS five-cell cavity (π mode)



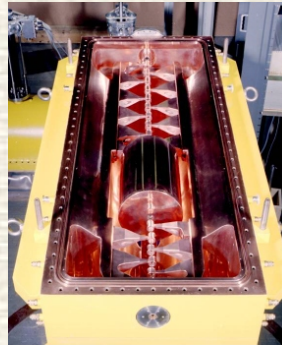
Other oscillation modes (Olivia Karlberg)

Non-Axisymmetric RF Structures

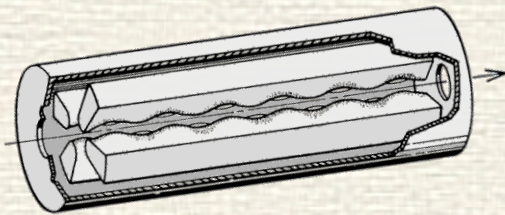
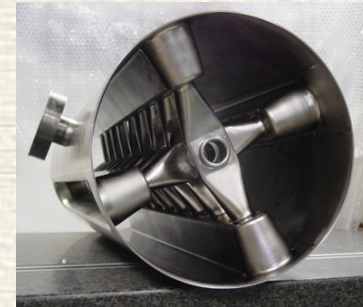
Radio Frequency Quadrupole (RFQ)



Interdigital H-mode (IH) DTL



Crossbar H-mode (CH) DTL

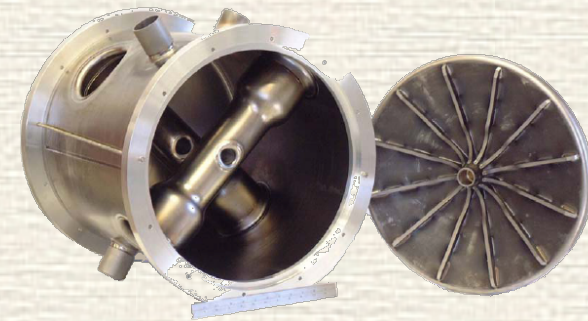


Bunches, focuses and accelerates a DC ion beam with near 100% transmission.

Single-spoke resonator



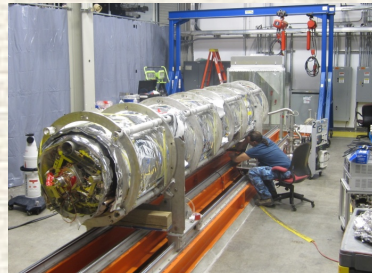
Double-spoke resonator



RF Power



SNS Klystron Gallery



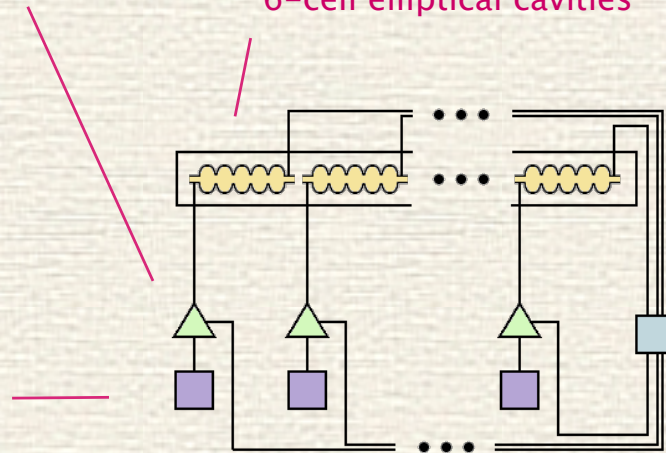
SNS Cryomodule with four 6-cell elliptical cavities



SNS Low-level RF



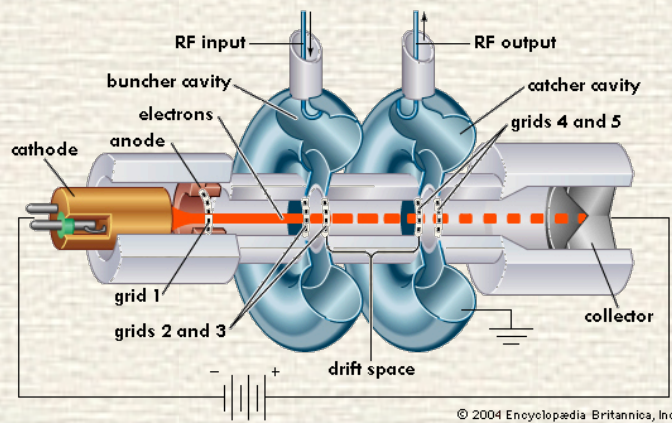
SNS Modulator



<u>ESS_prel.</u>	Quantity	SEK each
Cryomodules	41	10 M
Klystrons	203	2 M
Modulators	203	3 M
Sum, my rough estimate: 1425 MSEK		

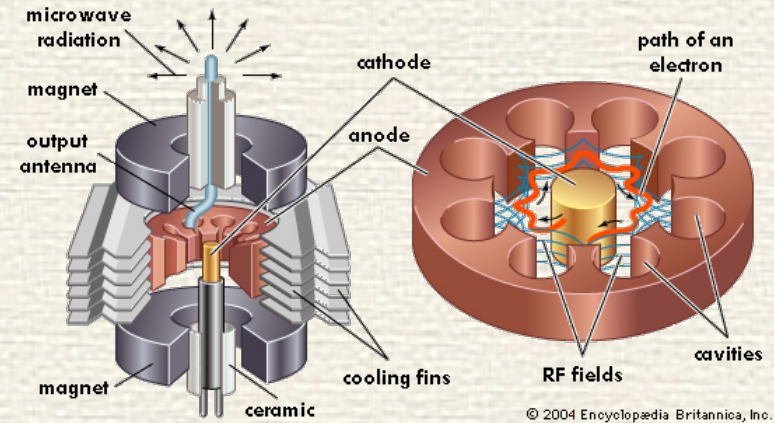
Microwave Sources

Klystron



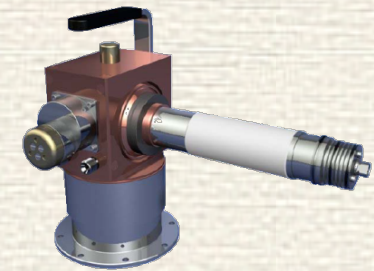
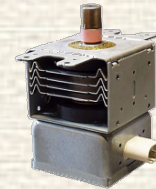
Small rf input gives small density perturbation in electron beam, large density perturbation develops giving large rf output

Magnetron



CPI VKP-7952C, 1.0 MW peak

ESS (elliptical)
704 MHz
1.5 MW peak
5% duty factor
110 kV, 21 A

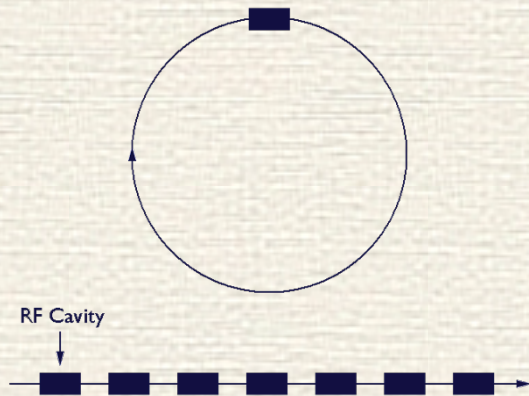


Transverse magnetic field makes electrons spiral from cathode to anode, passing resonance cavities where output field is induced

Microwaves: 300 MHz – 300 GHz

Phase Stability

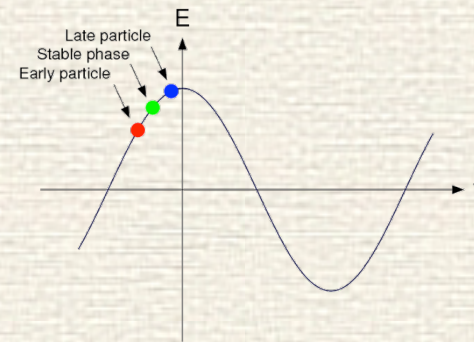
Consider particles that are accelerated in a periodic RF structure - in a circular or linear accelerator:



A particle keeps being accelerated if the RF frequency and/or cavity spacing is matched to the energy gain such that the particle enters each cavity at the right RF phase, in order to get the right energy gain, to match the increasing RF frequency or decreasing cavity spacing...

A particle which passes each gap at the same RF phase (assuming this is the design) is called the synchronous particle.

Phase stability ensures and is the consequence of the fact that slow particles see a stronger electric field and get more accelerated and vice versa. Therefore, a certain velocity or energy spread can be accepted.



Slow particles come late, gain more energy.
Fast particles come early, gain less energy.
Synchronous particle stays at constant phase.
Only works for one sign of dE/dt .

Convention for RF is sine for circular machines, cosine for linacs. We think about linacs here, c.f. later...

Longitudinal Dynamics

A particle's phase with respect to the RF changes when it goes from one acceleration gap to the next according to

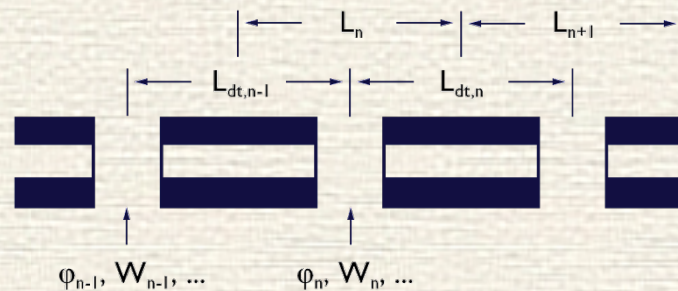
$$\varphi_n = \varphi_{n-1} + \omega \frac{L_{dt,n-1}}{\beta_{n-1}c}$$

The synchronous particle by definition has a speed given by

$$L_{dt,n-1} = \beta_{s,n-1}\lambda$$

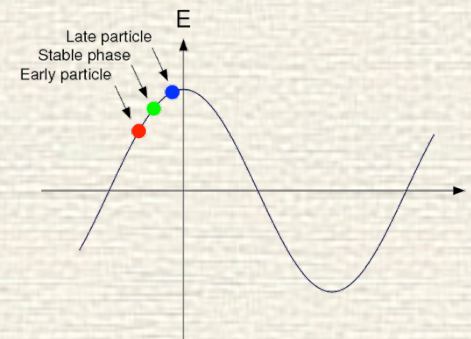
Convert to a cell from centre to centre of drift tube:

$$L_n = (\beta_{s,n-1} + \beta_{s,n})\lambda/2$$



Consider how the difference in phase between an arbitrary particle and the synchronous one changes from cell to cell:

$$\begin{aligned} \Delta(\varphi - \varphi_s)_n &= \Delta\varphi_n - \Delta\varphi_{s,n} \\ &= (\varphi_n - \varphi_{n-1}) - (\varphi_{s,n} - \varphi_{s,n-1}) \\ &= \omega \frac{L_{dt,n-1}}{\beta_{n-1}c} - \omega \frac{L_{dt,n-1}}{\beta_{s,n-1}c} \\ &= \frac{\omega\beta_{s,n-1}\lambda}{c} \left(\frac{1}{\beta_{n-1}} - \frac{1}{\beta_{s,n-1}} \right) \\ &= 2\pi\beta_{s,n-1} \left(\frac{1}{\beta_{n-1}} - \frac{1}{\beta_{s,n-1}} \right). \end{aligned}$$



Longitudinal Dynamics

Use

$$\frac{1}{\beta} - \frac{1}{\beta_s} = \frac{1}{\beta_s + \delta\beta} - \frac{1}{\beta_s} \approx -\frac{\delta\beta}{\beta_s^2},$$

$$\frac{\delta\beta}{\beta} = \frac{1}{\gamma^2 \beta^2} \frac{\delta W}{W} = \frac{1}{mc^2 \gamma^3 \beta^2} \delta W$$

This results in a relation between change of phase difference and energy gain, and energy gain can be calculated from cavity voltage and phase:

$$\Delta(\varphi - \varphi_s)_n = \frac{2\pi(W_{n-1} - W_{s,n-1})}{mc^2 \gamma_{s,n-1}^3 \beta_{s,n-1}^2},$$

$$\Delta(W - W_s)_n = \Delta W_n - \Delta W_{s,n}$$

$$= qE_0 TL_n (\cos \varphi_n - \cos \varphi_{s,n})$$

Here, q is the particle charge, E_0 the cavity peak voltage and T the transit time factor.

Now make the phase a continuous variable by changing differentials to derivatives and combine the two equations, assuming also that everything except W and phase are constants:

$$\frac{d^2(\varphi - \varphi_s)}{dn^2} = \frac{2\pi q E_0 TL (\cos \varphi - \cos \varphi_s)}{mc^2 \gamma_s^3 \beta_s^2}$$

$$\approx \frac{2\pi q E_0 TL \sin \varphi_s}{mc^2 \gamma_s^3 \beta_s^2} (\varphi - \varphi_s)$$

This shows that the phase performs harmonic oscillations about the synchronous phase with a frequency

$$Q_s = \left(-\frac{q E_0 TL \sin \varphi_s}{2\pi mc^2 \gamma_s^3 \beta_s^2} \right)^{1/2}$$

expressed as number of oscillations per cavity spacing (the symbol Q not to be confused with quality factor).

Longitudinal Dynamics

A first integral is obtained by multiplying by $d(\varphi - \varphi_s)/dn$ and integrating over n . Assume $d\varphi_s/dn = 0$:

$$\int \frac{d^2(\varphi - \varphi_s)}{dn^2} \frac{d(\varphi - \varphi_s)}{dn} dn = \frac{2\pi q E_0 T L \sin \varphi_s}{mc^2 \gamma_s^3 \beta_s^2} \int (\cos \varphi - \cos \varphi_s) \frac{d(\varphi - \varphi_s)}{dn} dn$$

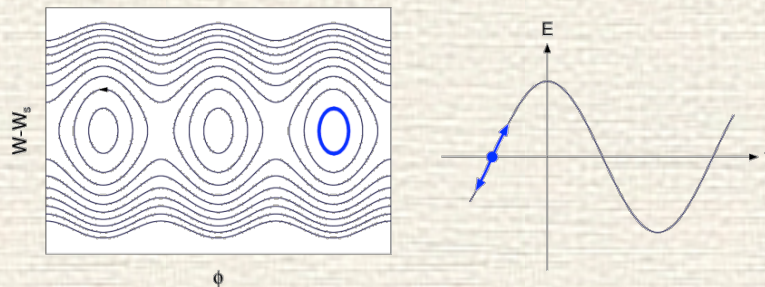
$$\frac{1}{2} \left(\frac{d(\varphi - \varphi_s)}{dn} \right)^2 + \frac{2\pi q E_0 T L \sin \varphi_s}{mc^2 \gamma_s^3 \beta_s^2} (\varphi \cos \varphi_s - \sin \varphi_s) = \text{const.}$$

Together with the expression for $\Delta(\varphi - \varphi_s)_n$ from the previous slide rewritten with derivatives we obtain

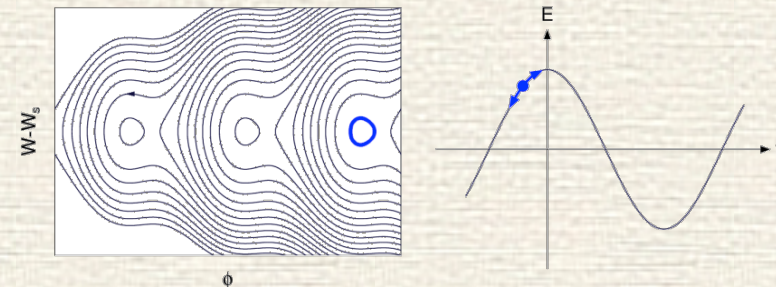
$$(W - W_s)^2 + \frac{2q E_0 T L mc^2 \gamma_s^3 \beta_s^2 \sin \varphi_s}{2\pi} (\varphi \cos \varphi_s - \sin \varphi) = \text{const.}$$

Energy difference as a function of phase can now be plotted for particles with different values of the integration constant, and for different synchronous phases:

No acceleration, $\varphi_s = -\pi/2$

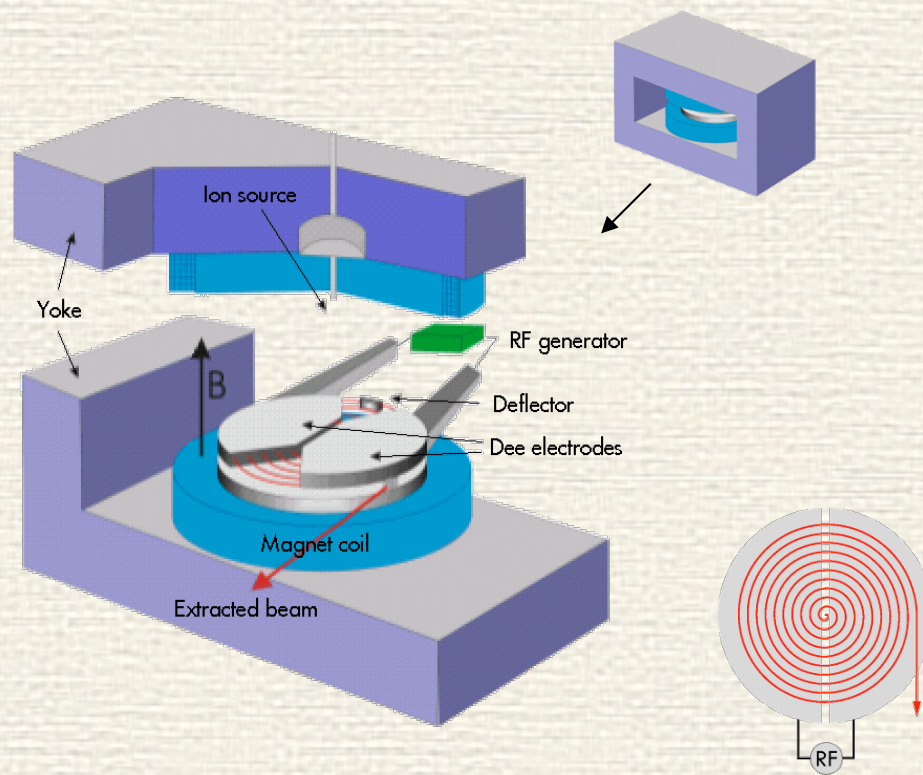


Acceleration, $\varphi_s = -\pi/5$

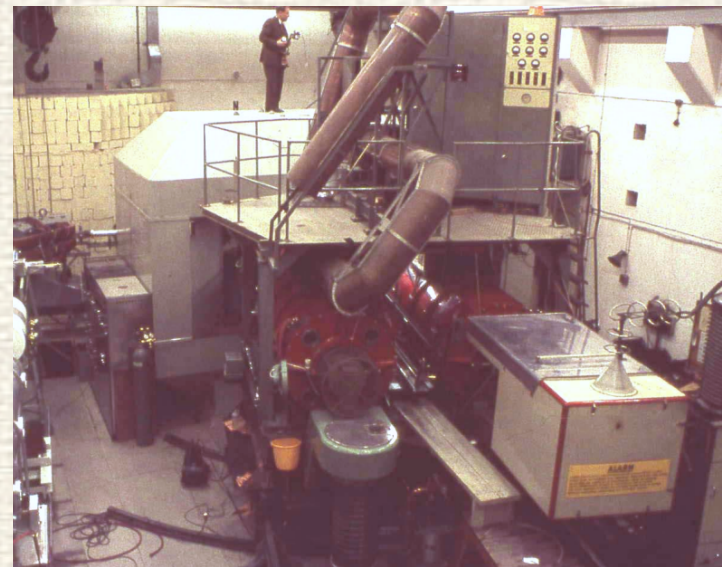


Classical Cyclotron

$$\omega = qB/m = \text{const.}$$
$$r = p/qB \text{ increases}$$

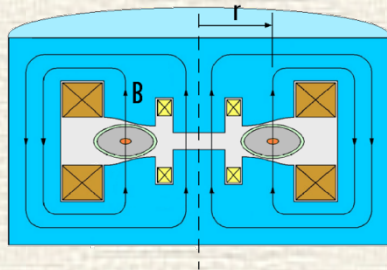


Nobel Institute
225-cm Cyclotron



Betatron

In a betatron the particles move on a constant radius, and they are accelerated by the electrical field that is induced when the magnetic field is increased ($\text{rot } E = -\partial B/\partial t$)



Constant radius:

$$r = \frac{p}{qB} = \text{const.} \Rightarrow \frac{d}{dt} \left(\frac{p}{qB} \right) = 0$$

$$\Rightarrow \frac{\dot{p}}{qB} - \frac{p\dot{B}}{qB^2} = 0 \Rightarrow \frac{\dot{p}}{p} = \frac{\dot{B}}{B}$$

Induced field from change of flux inside r:

$$E = \frac{\dot{\Phi}}{2\pi r} \Rightarrow \dot{p} = \frac{q\dot{\Phi}}{2\pi r}$$

Together:

$$\dot{\Phi} = \frac{2\pi r \dot{p}}{q} = \frac{2\pi r p}{q} \frac{\dot{B}}{B} = 2\pi r^2 \dot{B}$$

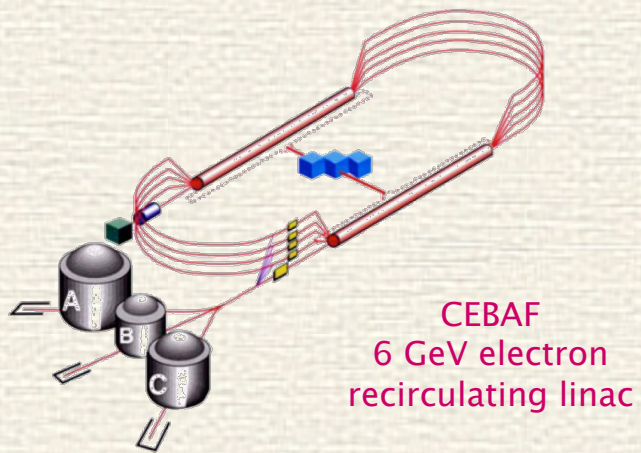
Integrating and expressing the flux as πr^2 times the average field:

$$\Phi = 2\pi r^2 B + \text{const.} \Rightarrow \bar{B} = 2B + \text{const.}$$

Portable 6 MeV betatron for radiography

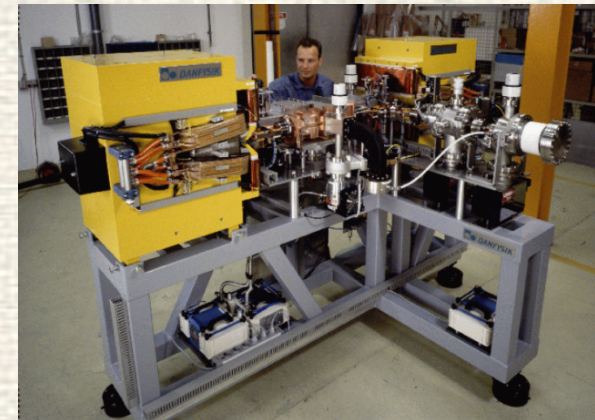
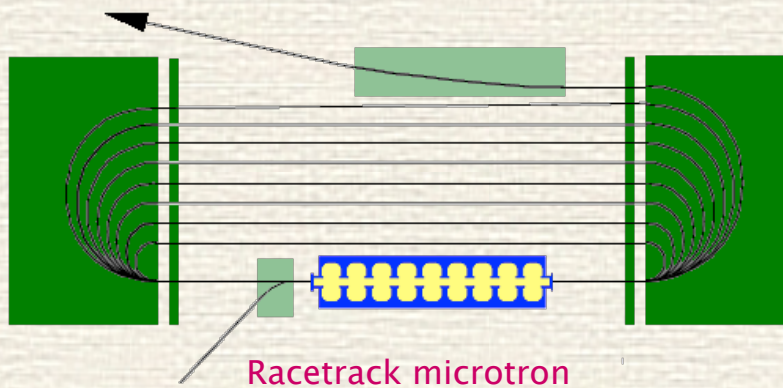


Microtrons, Recirculating Linacs



Microtrons are used as electron accelerators for moderate energy and high reliability.

A racetrack microtron is similar to a recirculating linac, which is used for higher energies and has separate magnets for each orbit.

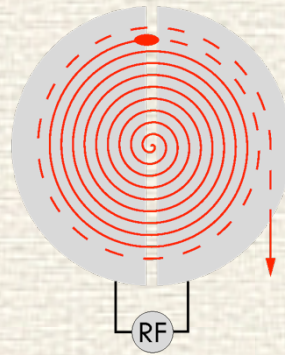


Danfysik 53 MeV microtron for ANKA

Synchro-Cyclotron

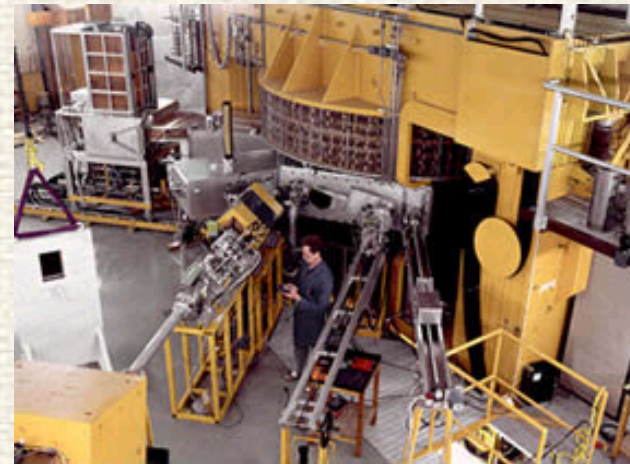
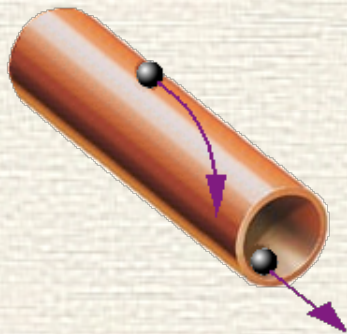
A classical cyclotron has a fixed frequency $\omega = qB/m$, but when particles become relativistic, $m = \gamma m_0$ increases and the particles get out of phase with the rf.

The magnetic field cannot increase with radius because particle orbits become unstable transversally. Instead ω must vary with time.



The frequency matches the relativistic mass only at one specific radius

The result is a pulsed beam and lower average current



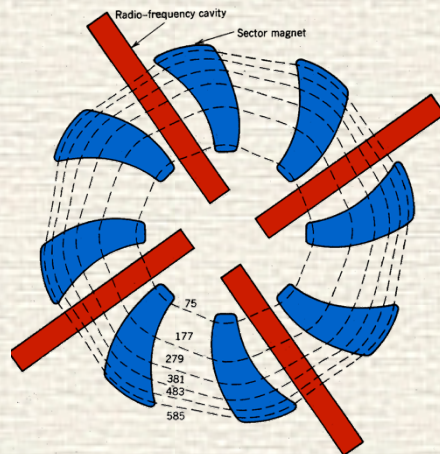
Gustaf Werner Cyclotron
(now mainly isochronous)

Isochronous Cyclotrons

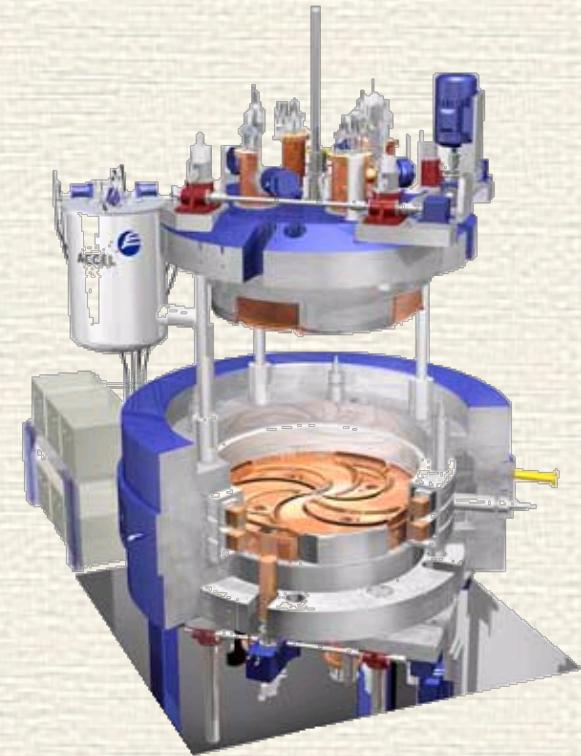
Later it was found out that azimuthally varying fields allow fixed frequency – same time for all orbits = isochronous – and orbit stability simultaneously, even for relativistic particles.

A ring geometry with separate magnets allows for more rf cavities, smaller gap and higher intensity.

Commercial superconducting 250 MeV proton cyclotron for cancer therapy



PSI ring cyclotron
> 1 MW protons



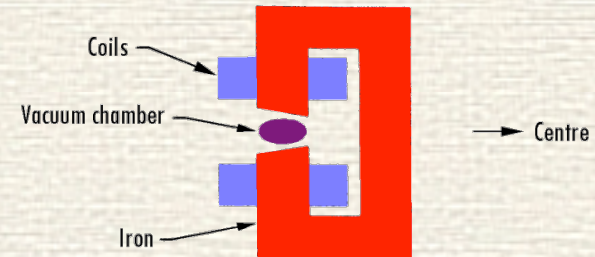
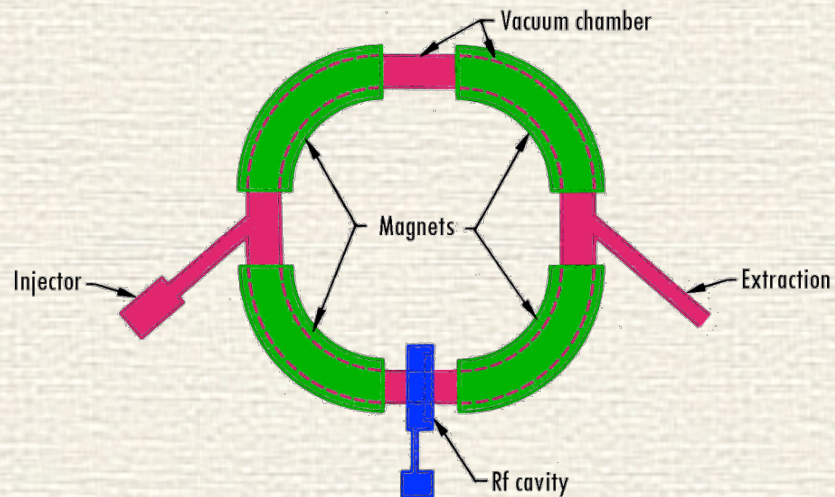
Synchrotrons

In a synchrotron both magnetic field and frequency change with time (“synchronously”) as the particles are accelerated, while the orbit radius remains constant.

This requires $B = p/qr$, $\omega = v/r$

Phase stability if slow particles see a higher accelerating field (?)

Transverse stability if $dB/dr < 0$



Diamond Light Source

Phase Stability

Does a higher-energy particle come around faster?

Use circumference C , orbit radius r , revolution time T , velocity v :

$$T = \frac{C}{v} \Rightarrow \frac{\Delta T}{T} = \frac{\Delta C}{C} - \frac{\Delta v}{v} = \frac{\Delta r}{r} - \frac{\Delta v}{v}$$

From definition $p = \gamma m_0 v$:

$$\frac{\Delta v}{v} = \frac{1}{\gamma^2} \frac{\Delta p}{p}$$

Bending radius in a magnet:

$$r = \frac{p}{qB} \Rightarrow p = qBr \Rightarrow \frac{dp}{dr} = qB + qr \frac{dB}{dr}$$

$$\Rightarrow \frac{\Delta p}{p} = \left(1 + \frac{r}{B} \frac{dB}{dr}\right) \frac{\Delta r}{r} \equiv \gamma_{tr}^2 \frac{\Delta r}{r}$$

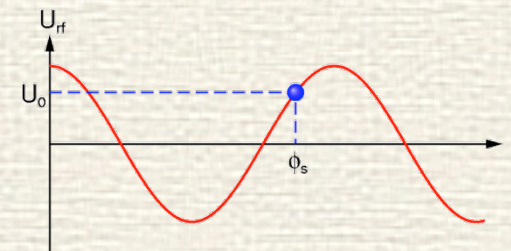
Define frequency slip factor, which can be positive or negative:

$$\eta = \frac{1}{\gamma_{tr}^2} - \frac{1}{\gamma^2}$$

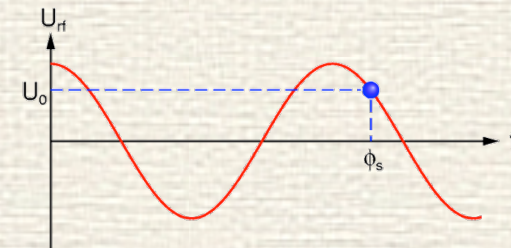
The result tells that the answer depends on the construction of the machine:

$$\frac{\Delta T}{T} = \eta \frac{\Delta p}{p}$$

Stable phase, $\eta < 0$



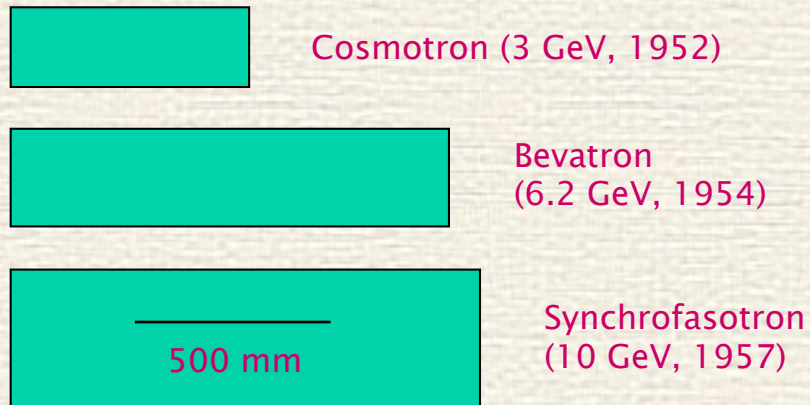
Stable phase, $\eta > 0$



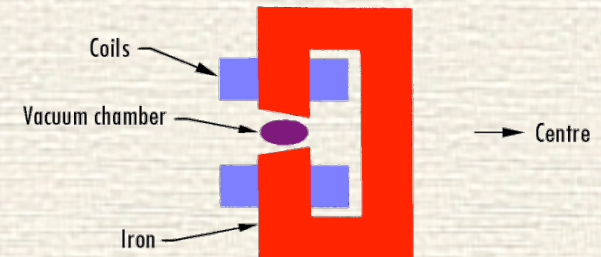
What about $\eta = 0$?




Transverse Focusing

Size of magnet aperture

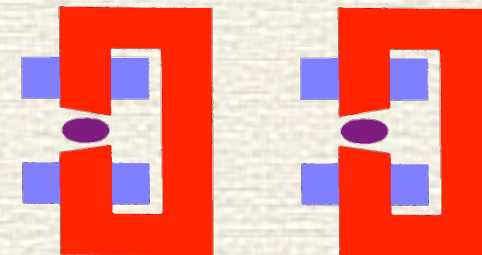


Weak focusing



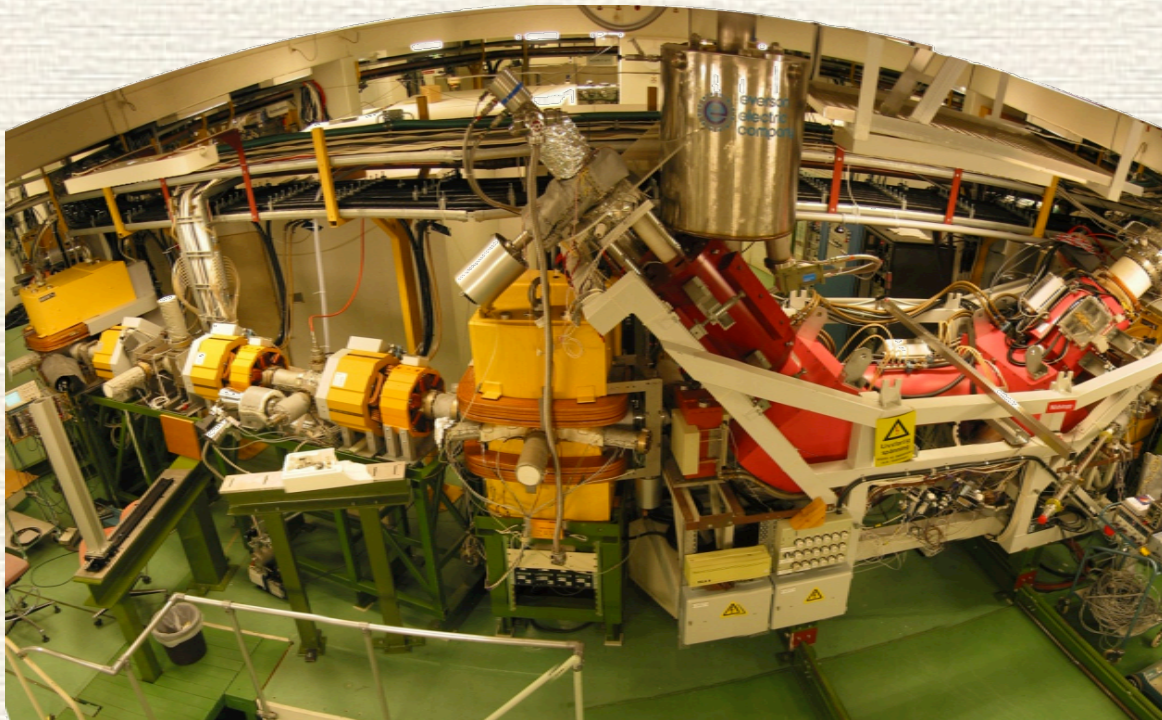
-  CERN PS (28 GeV, 1959)
-  SPS (450 GeV, 1976)
-  LHC (7 TeV, 2008)

Alternating gradient



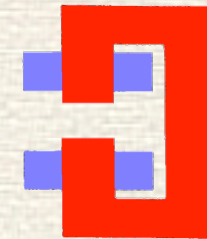
Separated Functions

CRYRING synchrotron at Manne Siegbahn Laboratory

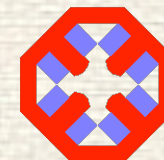


Different magnets for bending, focusing, ...

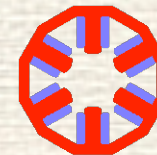
Dipole



Quadrupole

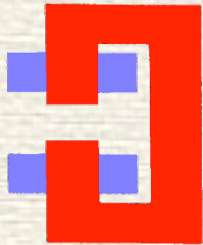


Sextupole

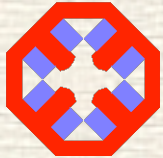


Multipole magnets

Dipole, $B \sim \text{const.}$



Quadrupole, $B \sim r$



Sextupole, $B \sim r^2$



For magnetic fields in vacuum:

$$\nabla \times \mathbf{B} = 0, \quad \mathbf{B} = \nabla \times \mathbf{A}$$

Two dimensions:

$$\nabla^2 A_z(x, y) = 0$$

Separation of variables gives a solution, finite at the origin:

$$A_z = \sum_{n=1}^{\infty} r^n (a_n \cos n\varphi + b_n \sin n\varphi) = \text{Re} \sum_{n=1}^{\infty} c_n (x + iy)^n$$

Take derivative and concentrate on “straight” as opposed to “skew” magnets (subscript zero means evaluated at the origin):

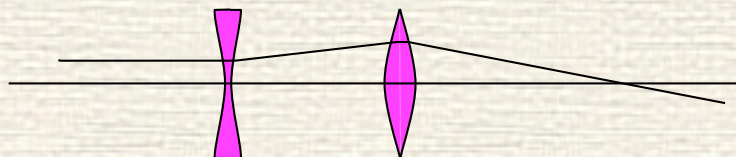
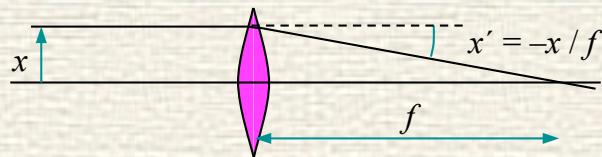
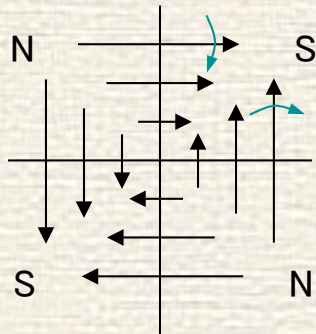
$$B_x = \frac{\partial A_z}{\partial y} = \frac{\partial B_{y,0}}{\partial x} y + \frac{\partial^2 B_{y,0}}{\partial x^2} xy + \dots$$

$$B_y = -\frac{\partial A_z}{\partial x} = B_{y,0} + \frac{\partial B_{y,0}}{\partial x} x + \frac{1}{2} \frac{\partial^2 B_{y,0}}{\partial x^2} (x^2 - y^2) + \dots$$

Dipole Quadrupole Sextupole

Lenses and Transfer Matrices

Quadrupole magnets focus in one plane and defocus in the other. They are linear in the sense that deflection is proportional to distance from optical axis, and parallel incoming rays are focused to a single point.



Transfer matrix for focusing lens with focal length f

$$\begin{pmatrix} x \\ x' \end{pmatrix}_{\text{out}} = \begin{pmatrix} 1 & 0 \\ -1/f & 1 \end{pmatrix} \begin{pmatrix} x \\ x' \end{pmatrix}_{\text{in}}$$

Example:

$$\begin{pmatrix} 1 \\ -1/f \end{pmatrix}_{\text{out}} = \begin{pmatrix} 1 & 0 \\ -1/f & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix}_{\text{in}}$$

$$\begin{pmatrix} 0 \\ 1 \end{pmatrix}_{\text{out}} = \begin{pmatrix} 1 & 0 \\ -1/f & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix}_{\text{in}}$$

Defocusing lens:

$$\begin{pmatrix} 1 & 0 \\ 1/f & 1 \end{pmatrix}$$

Drift space of length L :

$$\begin{pmatrix} 1 & L \\ 0 & 1 \end{pmatrix}$$

Quadrupole doublet gives focusing in both planes

$$\begin{pmatrix} 1 & 0 \\ -1/f & 1 \end{pmatrix} \begin{pmatrix} 1 & L \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 1/f & 1 \end{pmatrix} = \begin{pmatrix} 1+L/f & L \\ -L/f^2 & 1-L/f \end{pmatrix}$$

Transverse Stability

Using transfer matrices one can analyze if a periodic magnet lattice, like in a synchrotron, has stable particle orbits or not: Calculate a transfer matrix M for one entire period by multiplying individual matrices M_i for the N_p quadrupoles, drift spaces, edge focusing, etc. in the period:

$$M = M_1 M_2 \cdots M_{N_p}$$

Introduce eigenvectors \mathbf{v}_1 and \mathbf{v}_2 with eigenvalues λ_1 and λ_2 defined by

$$M\mathbf{v}_i = \lambda_i \mathbf{v}_i, \quad i = 1, 2$$

Any start vector can be written as a linear combination of the eigenvectors

$$\begin{pmatrix} x \\ x' \end{pmatrix}_{\text{in}} = A\mathbf{v}_1 + B\mathbf{v}_2$$

After a particle has made n periods through the lattice, its coordinates have become

$$\begin{aligned} \begin{pmatrix} x \\ x' \end{pmatrix}_{\text{out}} &= M^n \begin{pmatrix} x \\ x' \end{pmatrix}_{\text{in}} = M^n (A\mathbf{v}_1 + B\mathbf{v}_2) \\ &= A\lambda_1^n \mathbf{v}_1 + B\lambda_2^n \mathbf{v}_2 \end{aligned}$$

The motion is bounded if λ_i^n remain finite when $n \rightarrow \infty$. The question of transverse stability has now turned into a requirement on the eigenvalues of the transfer matrix for one magnet period.

Transfer matrices M_i for lenses, drift spaces, etc., have the determinant equal to 1, and since $|AB| = |A| |B|$, it follows that $|M| = 1$. Furthermore, the product of the eigenvalues of a matrix equals its determinant. In two dimensions one thus has $\lambda_1 \lambda_2 = 1$ or

$$\lambda_1 = 1/\lambda_2$$

Transverse Stability

If one writes $\lambda_1 = e^{i\mu}$, it follows that $\lambda_2 = e^{-i\mu}$. The requirement that λ_i^n are finite can now be reformulated into stating that μ_i must be real.

Eigenvalues are found by solving the eigenvalue equation

$$|M - \lambda I| = 0$$

Writing

$$M = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

one has to solve

$$|M - \lambda I| = (ad - bc) - (a + d)\lambda + \lambda^2 = 0$$

We can use $ad - bc = |M|$ and $a + d$ is equal to the trace of M , $\text{Tr } M$. The eigenvalue equation then becomes

$$\begin{aligned} 1 - (\text{Tr } M)\lambda + \lambda^2 &= 0 \\ \Rightarrow \lambda + 1/\lambda &= \text{Tr } M \\ \Rightarrow e^{i\mu} + e^{-i\mu} &= 2 \cos \mu = \text{Tr } M \end{aligned}$$

The cosine of a real angle is always between -1 and 1 , so there is now a recipe for putting together accelerator magnets:

Just make sure that the trace of the transfer matrix for an entire magnet period is between -2 and 2 , and the particle will have stable orbits.

For a non-periodic lattice, like in a linear accelerator, this formalism is still useful when there are many, even though not infinitely many, identical lattice cells.

Transverse Beam Size

The size of the beam is an important quantity. With only dipoles and quadrupoles (linear focusing) and the orbit in one plane, the particle motion in each of the transverse directions will satisfy

$$x'' + K(s)x = 0$$

This is Hill's equation, and if $K(s)$ is periodic, like in a synchrotron, Floquet's theorem says that there is a solution

$$x(s) = A\beta^{1/2}(s)\cos[\psi(s) + \delta]$$

where $\beta(s)$ is a periodic function (for a stable machine) with the same period as $K(s)$, and A and δ are constants of integration. The beta function and the phase satisfy

$$2\beta\beta'' - \beta'^2 + 4\beta^2K = 4$$

$$\psi(s) = \int \frac{ds}{\beta(s)} + \text{const.}$$

where the last integration constant can be absorbed into δ , and only the phase advance

from one point to another has physical meaning. The proofs of these statements are too long to be reproduced here.

The variables

$$\beta(s), \alpha(s) = -\frac{1}{2} \frac{d\beta(s)}{ds}, \gamma(s) = \frac{1 + \alpha^2(s)}{\beta(s)}$$

are known as Twiss parameters or Courant-Snyder parameters, and β determines the beam size together with A . Note that α , β , γ and the phase difference $\psi_1 - \psi_2$ between two points are properties of the machine while A and δ are properties of the particles.

Beta functions are useful also for linacs although they are not uniquely defined when the periodic boundary condition is missing.

Also important is the number of oscillations – betatron oscillations – that a particle performs in one turn, known as the tune or the Q value (called ν in the U.S.):

$$Q = \frac{1}{2\pi} \oint \frac{ds}{\beta(s)}$$

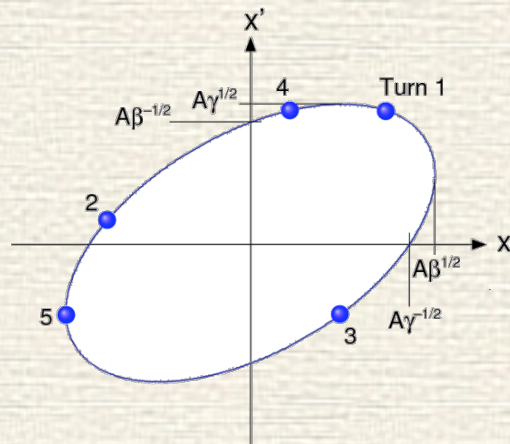
Emittance

Squaring and adding $x(s)$ from last slide and the combination $\alpha(s)x(s) + \beta(s)x'(s)$, one finds the relation

$$A^2 = \gamma(s)x^2(s) + 2\alpha(s)x(s)x'(s) + \beta(s)x'^2(s)$$

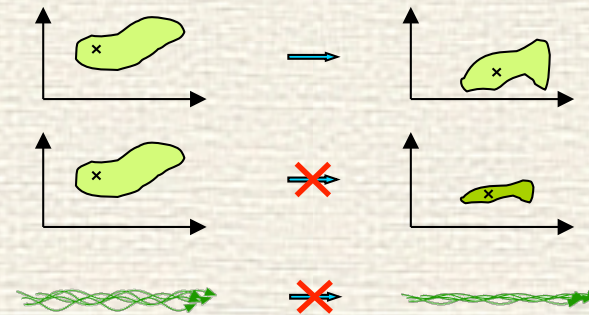
This is the equation of an ellipse in the $x-x'$ plane for each position s in the accelerator, and πA^2 is the area of the ellipse.

Remembering that A is a constant of integration for the motion of each particle, this means that when a particle comes back to the same position in the ring, it appears on the same ellipse all the time.



All particles have ellipses of the same shape at the same s , and the area of the largest ellipse (or, e.g., that of a particle with the rms amplitude) defines the emittance ϵ of the beam. The emittance is clearly constant, at least as long as the beam is not accelerated. An accelerated beam gets an emittance inversely proportional to the momentum, i.e. to $1/(\beta\gamma)$. Therefore, a normalized emittance $\epsilon_N = \epsilon\beta\gamma$ is often used.

No fancy scheme of magnets or any other system of conservative forces can change the beam emittance as a consequence of Liouville's theorem. Beam cooling is about how to circumvent Liouville's theorem.



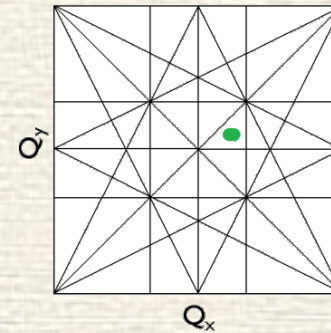
Resonances

The number of betatron oscillations per turn Q_x and Q_y should not be integers or rational numbers with small denominators, and neither should their sums and differences. In general one has to avoid

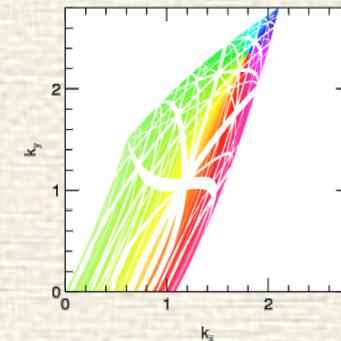
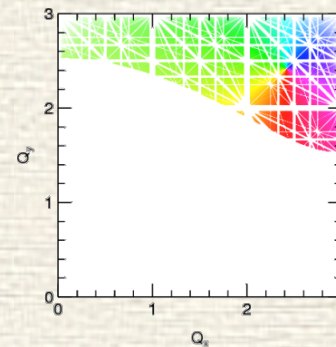
$$pQ_x + qQ_y = r$$

where p , q , and r are integers and p and q are small.

With, for instance, an integer Q a small magnet error (like a 10^{-5} too strong field in one magnet) gives an extra deflection of a particle at the same betatron phase at each turn, and the particle will soon hit the vacuum pipe. Like giving the swing a push at the right time all the time.



Resonances in a unit square for p , $q \leq 3$ and working point between resonance lines.



Left: Stable Q values (below 3), from $|\text{Tr } M| < 2$, and resonance lines in CRYRING. Working point is where colours meet.

Right: Same but as a function of quadrupole strengths, which can be plotted in two dimensions since CRYRING has only two quadrupole families.

What Have We Learnt?

- Types of linear accelerators
- Standing waves, travelling waves
- Cavity types
- RF power
- Longitudinal stability
- Types of circular accelerators
- Transverse stability
- Multipole magnets, quadrupole lenses
- Transfer matrices
- Stability criterion
- Beta functions
- Emittance
- Resonances

Not enough?

- E. Wilson: An Introduction to Particle Accelerators (Oxford University Press, 2001)
- D.A. Edwards and M.J. Syphers: An Introduction to the Physics of High Energy Accelerators (John Wiley & Sons, 1993)